The Vertical Distribution of Aerosols: Lidar Measurements vs. Model Simulations

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Outline

- Evaluation of upgraded ground based DOE ARM SGP CRF Raman lidar (CARL) aerosol extintion measurements
- Update on previous AEROCOM comparison results using ground based Raman lidar
- GOCART evaluation during TRACE-P, INTEX-NA missions
- New airborne HSRL system for aerosol measurements

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	Acronyms
	DOE = Department of Energy
	ARM = Atmospheric Radiation Measurement
	SGP = Southern Great Plains
	CRF = Climate Research Facility
	CARL = CRF Raman Lidar
	TRACE-P = Transport and Chemical Evolution
	over the Pacific
	INTEX-NA = Intercontinental Chemical Transport
	Experiment – North America
	DIAL = Differential Absorption Lidar
	HSRL = High Spectral Resolution Lidar
1	

Major Objective

Evaluate aerosol transport model simulations of aerosol profiles using lidar data

CART Raman Lidar (CARL)

- DOE ARM SGP CF site (Lamont, Oklahoma) (36° 37 ' N, 97° 30 ' W)
- Nd:YAG (355 nm) (day/night)
- Wavelengths
 - Rayleigh/Mie (355 nm)
 - Depolarization (355 nm)
 - Raman water vapor (408 nm)
 - Raman nitrogen (387 nm)
- 39 meter range resolution
- water vapor and aerosol profiles
- precipitable water vapor and aerosol optical thickness
- aerosol and cloud depolarization
- designed for continuous, autonomous operation

Data: available via ftp from ARM (http://www.arm.gov)





Additional information: http://www.arm.gov/docs/instruments/static/rl.html (Turner et al., JAOT, 2002)

CARL Aerosol Extinction Profile Evaluation

- CARL extinction profiles were evaluated using airborne remote sensing and in situ measurements acquired during May 2003 Aerosol IOP
- CARL extinction values generally larger (20-30 Mm⁻¹) than values from other sensors
- Largest differences were found for low (<50 Mm⁻¹) aerosol extinction values and were significantly less (~10%) for higher (150-300 km⁻¹) values of aerosol extinction.
- Larger differences were due to impacts of loss of sensitivity of CARL since early 2002
- Absolute differences (~30 Mm⁻¹) between the CARL aerosol extinction values and values from the other instruments are within the range deemed acceptable (larger of 50 Mm⁻¹ or 20%) when evaluating the lidars within the EARLINET project [*Pappalardo et al.,* 2004]
- Major upgrades and modifications were made to CARL in summer 2004 to improve performance





Evaluation of Raman Lidar Extinction

- ALIVE <u>Aerosol Li</u>dar <u>Validation Experiment</u> conducted in Sept. 2005 to evaluate upgraded SGP Raman Lidar (CARL) and MPL
- CARL modifications performed in 2004 significantly improved accuracy and temporal resolution of aerosol measurements
- CARL aerosol extinction bias was:
 - About 50% smaller than the bias derived from May 2003 Aerosol IOP
 - 0.011-0.015 km⁻¹ or 21-36% higher than airborne Sun photometer
 - About 10% of the annual median value of aerosol extinction within the lowest km
 - Well within the range deemed acceptable (larger of 0.05 km⁻¹ or 20%) when evaluating the lidars within the EARLINET project
- MPL aerosol extinction (523 nm) high bias was about 0.004 km⁻¹ (17%)
- AOT comparisons indicate that data used for AEROCOM comparisons has lower bias



- Aerosol measurements acquired over the SGP site are used to evaluate and hopefully improve global aerosol transport model simulations
- Although model simulations of total column AOT show agreement among themselves and with measurements, significant differences exist in vertical distributions
 - Deviations between mean aerosol extinction profiles are generally small for altitudes above 2 km, and grow considerably larger below 2 km
 - Models have lower aerosol extinction near the surface



Measured vs. Modeled AOT and Aerosol Extinction – 2000 vs. 2001

- Compared models and measurements for both 2000 and 2001
- 2001 results include IMPACT simulations (Chuang LLNL)
- Measured vs. model performance is essentially the same for both 2000 and 2001



Measured vs. Modeled AOT and Aerosol Extinction – Exp. A and B

- Significant differences in vertical distributions remain even when prescribed emissions and meteorology are used
- Model extinction profiles do not change appreciably when prescribed emissions and meteorology are used







ARM SGP

General agreement in total AOT

But...

Large differences in compositional mixtures



integrated up to 7.2 km



Global

Model representations of global annual AOT have become closer to observations between 2002 to 2005

But...

Large model differences compositional mixture

Kinne et al., 2005

Relative Humidity Profile Comparisons

- Higher extinction concentrated over smaller vertical extent at night
- Highest extinction and RH near surface near sunrise
- Models appear slightly drier within a few hundred meters of surface



Relative Humidity Profile Comparisons

- Measured vs. model performance is essentially the same for both 2000 and 2001
- Comparisons do not change appreciably when prescribed meteorology is used
- CARL (clear sky) measurements are drier than radiosonde (all sky) measurements



Aerosol Extinction Retrieval from Airborne Backscatter Lidar

NASA Langley Airborne UV DIAL Measurements

- Simultaneous Nadir & Zenith Ozone & Aerosol Profiling
- Deployed on NASA DC-8 for:
 - TRACE-P (2001) (western Pacific)
 - INTEX NA (2004) (eastern U.S.)
 - INTEX B (2006) (Mexico, southeast U.S., northwest U.S.)
- Aerosol extensive parameters (300, 576, 1064 nm)
 - aerosol scattering ratio
 - backscatter
 - extinction (derived using model and/or MODI AOT to constrain retrieval)
- Aerosol intensive parameters
 - backscatter wavelength dependence
 - depolarization



AATS14 data courtesy of Phil Russell, Jens Redemann, John Livingston (NASA) HIGEAR data courtesy of Tony Clarke (Univ. of Hawaii)

GOCART Aerosol Evaluation using Airborne Backscatter Lidar

- Lidar aerosol extinction profiles are used to evaluate GOCART aerosol simulations
- During TRACE-P, GOCART profiles were lower than lidar throughout troposphere, with smallest differences near the surface
- During INTEX-NA, GOCART and lidar profiles agreed above 1 km, largest differences near the surface
- Different behavior may be related to more frequent occurrence of elevated layers during TRACE-P
- GOCART shows less vertical variability in wavelength dependence (particle size) than lidar



- Aerosol types were grouped using intensive parameters derived from DIAL
 - Extinction color ratio
 - Backscatter color ratio
 - Depolarization
- Three main clusters were identified
 - Cluster 1 high ratio, elevated depol mix of dust, urban (sulfate)
 - Cluster 2 mid ratios, low depol mix of urban and oceanic (sea salt)
 Cluster 3 – low ratios, high depol dust





Initial attempts to use lidar profile measurements to evaluate GOCART model simulations of aerosol type







HSRL independently measures aerosol and molecular backscatter

- Can be internally calibrated
- No correction for extinction required to derive backscatter profiles
- More accurate aerosol layer top/base heights
- HSRL enables independent estimates of aerosol backscatter and extinction
 - Extinction and backscatter estimates require no S_a assumptions
 - Provide *intensive* optical data from which to infer aerosol type

Products

Extensive – depend on type and amount

Aerosol Backscatter 532 nm

Aerosol Backscatter 1064 nm (standard retrieval) Aerosol Extinction and Aerosol Optical Thickness

Intensive – depend on type

Extinction-to-Backscatter Ratio (S_a) (532nm) Aerosol Depolarization (532 & 1064 nm) Aerosol Depolarization Ratio (1064/532 nm) Aerosol Wavelength Dependence (1064/532 nm)

Atmospheric Scattering



Effect of Iodine Vapor Notch Filter



New



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Extinction-to-Backscatter Ratio (S_a) (532nm) Aerosol Depolarization (532 & 1064 nm) Aerosol Depolarization Ratio (1064/532 nm) Aerosol Wavelength Dependence (1064/532 nm)





AATS14 data courtesy of Phil Russell, Jens Redemann, John Livingston (NASA)
HIGEAR data courtesy of Tony Clarke (Univ. of Hawaii)



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Characterize the horizontal distribution of aerosol types

LaRC Airborne HSRL Measurements over Mexico City, March 13, 2006

- western part of city- high S_a, high WVD, low depolarization urban aerosol
- eastern part of city low Sa, low WVD, high depolarization dust
- Currently using HSRL measurements to assess RAQMS and STEM models



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Summary

- AEROCOM vs. ground-based Raman lidar
 - AEROCOM average aerosol extinction profiles
 - In good agreement with the Raman lidar profiles above about 2 km
 - Below 2 km the average model profiles are significantly (30-50%) lower
 - Vertical variability in the average model aerosol extinction profiles is less than the variability in the corresponding Raman lidar profiles
 - Measured vs. model performance is essentially the same for both 2000 and 2001
 - Model extinction profiles do not change appreciably when prescribed emissions and meteorology are used
 - AEROCOM average relative humidity profiles
 - Typically between CARL (clear sky) and radiosonde (all sky) measurements
 - Measured vs. model performance is essentially the same for both 2000 and 2001
 - Comparisons do not change appreciably when prescribed meteorology is used
- GOCART vs. airborne lidar
 - During TRACE-P, GOCART profiles were lower than lidar throughout troposphere
 - During INTEX-NA, GOCART and lidar profiles agreed above 1 km, largest differences near the surface
 - GOCART shows less vertical variability in wavelength dependence (particle size) than lidar

Future

- Evaluating model profiles with CALIPSO aerosol profiles
- Use airborne DIAL and HSRL measurements from recent missions to evaluate model simulations of particle type

What future space-based aerosol measurements would be most useful for models?

Backup Slides

NASA LaRC High Spectral Resolution Lidar (HSRL) Backscatter



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have been detected.

CALIPSO* validation Leg:

•Both attenuated backscatter measurements show elevated layer of enhanced aerosol on northern portion of CALIPSO leg (dash).

•Aerosol observed by HSRL on southern portion (solid) is obscured by high cirrus along CALIPSO orbit



HSRL Model Verification: aerosol backscatter RAQMS_{regional} (80km)

- RAQMS provides a good prediction of the magnitude of BL aerosol backscatter, but:
- misses elevated aerosol suspected of being smoke (B¹, C¹, A², B²,C²) and BL enhancement near Houston (A¹)
- predicts elevated aerosol layer at beginning of CALIPSO underflight that is not observed (dash)





NASA Langley Airborne UV DIAL Measurements

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- Aerosol extensive parameters (300, 576, 1064 nm)
 - aerosol scattering ratio
 - backscatter
 - extinction (derived using model and/or MODIS AOT to constrain retrieval)
- Aerosol intensive parameters
 - backscatter wavelength dependence
 - depolarization
- Use lidar extinction profiles to evaluate GOCART model



Aerosol Classification Using DIAL Measurements



• Problem - Backscatter lidar equation (1 equation with 2 unknowns)



• Solution – we use aerosol optical thickness (e.g. total aerosol transmission) derived from MODIS and/or model (e.g. GOCART) to constrain solution and derive average lidar ratio 5th AeroCom Workshop October 2006 High Spectral Resolution Lidar (HSRL)

HSRL relies on spectral separation of aerosol and molecular backscatter in lidar receiver.

- HSRL independently measures aerosol and molecular backscatter
 - Can be internally calibrated
 - No correction for extinction required to derive backscatter profiles
 - More accurate aerosol layer top/base heights
- HSRL enables independent estimates of aerosol backscatter and extinction
 - Extinction and backscatter estimates require no S_a assumptions
 - Provide *intensive* optical data from which to infer aerosol type
 - Measurements of extinction at 2 wavelengths and backscatter at 3 wavelengths enables retrieval of aerosol microphysical parameters and concentration





Effect of Iodine Vapor Notch Filter



Measured Signal on Molecular Scatter (MS) Channel:

$$P_{MS}(r) = \frac{C_{MS}}{r^2} F(r) \beta_m(r) \exp\left\{-2\int_0^r \left[\sigma_m(r') + \frac{\sigma_p(r')}{r}\right] dr'\right\}$$
Particulate

Measured Signal on Total Scatter (TS) Channel:

$$P_{TS}(r) = \frac{C_{TS}}{r^2} \Big[\beta_m(r) + \beta_p(r) \Big] \exp \left\{ -2 \int_0^r \Big[\sigma_m(r') + \sigma_p(r') \Big] dr' \right\}$$

$$\frac{\sigma_p(r)}{\beta_p(r)} = \underbrace{S_p}$$

Ext/Backscatter
Parameters

- Seasonal variation of total AOT varies among the models
- Proportion of AOT due to various aerosol components varies



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GOCART and MODIS AOT Comparisons



Aerosol module inter-Comparison in global models (AEROCOM)

Goals:

- Compare an ensemble of global aerosol models
- Eliminate weak components
- Reduce uncertainty in simulated radiative forcing

Strategy:

- Multi-model evaluation with observations
 - surface (AERONET, IMPROVE, GAW, ARM)
 - profile (EARLINET, ARM)
 - satellite (MODIS, AVHRR, TOMS, POLDER, MISR)
- Analyze and improve critical parameters and processes
- Experiments
 - A models as they are
 - B models with prescribed 2000 emissions and meteorology

http://nansen.ipsl.jussieu.fr/AEROCOM/ http://nansen.ipsl.jussieu.fr/AEROCOM/DATA/lidar.html

Participating Models

Sprintars, Kyushu University, Kyushu (KYU) Toshihiko Takemura et al. LMDzT-INCA, Lab Science Climat et de l'Enivonnement, Paris (LSCE) Michael Schulz, Yves Balkanski, Christiane Textor, Sylvia Generoso, Sarah Guibert, Didier Hauglustaine

- GCM/ CAM, ARQM Met Service Canda, Toronto (ARQM) Sunling Gong et al.
- MIRAGE, Battelle, Pacific Northwest National Laboratory, Richland (PNNL) Steve Ghan and Richard Easter

CTM2, Univ. of Oslo, Oslo (UIO- CTM) Gunnar Myhre et al.

- ULAQ- CCM, Universita degli Studi L'Aquila (ULAQ) Giovanni Pitari, Eva Mancini and Veronica Montanaro
- CCM- Oslo, Univ. of Oslo, Oslo(UIO- GCM) Trond Yversen, Oyvind Seland, J. E. Kristjansson
- MATCH, NCAR, Boulder (MATCH) David Fillmore, Phil Rasch, Bill Collins
- IMPACT/ DAO, Univ Michigan, Ann Arbor (UMI) Joyce Penner et al.
- GISS, Dorothy Koch und Susanne Bauer
- TM5 (IMAU) Maarten Krol, Frank Dentener
- GOCART, Mian Chin, Paul Ginoux
- MOZART- GFDL- NCAR (MOZGN) (NOAA- GFDL& NCAR) Larry Horowitz, Xuexi Tie, Jean-Francois Lamarque, Paul Ginoux

Periodic (EARLINET) vs. Continuous (ARM) Measurements



EARLINET

CARL

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Average Diurnal Variation of Aerosol

Extinction Profiles and AOT



• Large changes in vertical profile Smaller changes in AOT



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Monthly Average Aerosol Extinction Profiles





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Yearly Average Aerosol Extinction Profiles

- Large variability in modeled vertical distributions and aerosol components
- Profile behavior of various aerosol constituents may give indication of model strengths and weaknesses



Problem Area – Model Aerosol Profiles Vary Widely

Global Annual Mean Aerosol Concentration (µg/m³)



Raman Lidar Measurements

EARLINET



- ARM SGP CRF Raman Lidar (CARL)
- Measurements during 2000 and 2001
- Measurements (24/7): Every 10 minutes
- Extinction coefficient, scattering ratio, backscatter coefficient, optical depth relative humidity, cloud detection
- Additional measurements:
 airborne in situ, surface



- Measurements during 2000 and 2001
- Measurements twice a week : Monday and Thursday
- Measurements at sunset
- Raman lidar : extinction coefficient without hypothesis on lidar ratio

ARM SGP

Correlation between Aerosol Extinction and Relative Humidity

- CARL aerosol extinction profiles averaged between Mar. 1, 1998 Dec. 31, 2001
- Higher extinction concentrated over smaller vertical extent at night
- Highest aerosol extinction and RH found near surface at night



CARL Continuous vs. Periodic Measurements

Periodic measurements show more variability







Aerosol Extinction Regression Results

- Regressions computed using monthly averages from 0-8 km
- Slopes 0.4-1.0, indicative of differences in the lowest few km
- Correlation coefficients 0.7-0.9; Bias differences 0-30 Mm⁻¹



Aerosol Extinction Regression Results

• Using continuous instead of periodic data, reduces bias errors, increases correlation, and increases slopes





Relative Humidity Regression Results

• Slopes 0.6-1.0; Correlation coefficients 0.4-0.8; Bias differences 4-8 %



Impact 2001 all sky



Impact 2001 all sky



Includes Impact 2001 all sky



Integrated up to 7.2 km



Impact 2001 all sky



askv model











AOT (355 nm)











- Measured vs. Model performance is essentially the same for both 2000 and 2001
- Model extinction profiles do not change appreciably when prescribed emissions and meteorology are used

Includes Impact 2001 all sky





NASA King Air 09/17/06 Objectives: NASA

NASA King Air Flight Plan



·60 -55 -50 -45 -40 -35 -30 -25 -20 -15 -10 -5 0 5 10 15 20 25 🔅



HSRL Model Verification: aerosol extinction STEM (60km)

STEM provides a better prediction of elevated aerosols (C¹,A²,C²) but:

- also misses elevated lower backscatter features (B¹,B²) and aerosol loading near Houston (A¹)
- 2) underestimates aerosol extinction, particularly above 2 km.

Simulated Aerosol Optical Depth at 9UTC, 09717/2006

90%

80%

40N

30N

20N

120W



Simulated Aerosol Extinction (/km) along with the King Air Flight Path on 09/17/2006



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