

Direct aerosol radiative forcing based on combined A-Train observations and comparisons to IPCC-2007 results

Jens Redemann, Y. Shinozuka, M. Kacenelenbogen,
J. Livingston, M. Vaughan, P. Russell, O. Torres, L. Remer

BAERI – SRI - NASA Langley - NASA Ames – NASA Goddard - UMBC

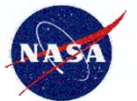
<http://geo.arc.nasa.gov/AATS-website/>

email: Jens.Redemann-1@nasa.gov

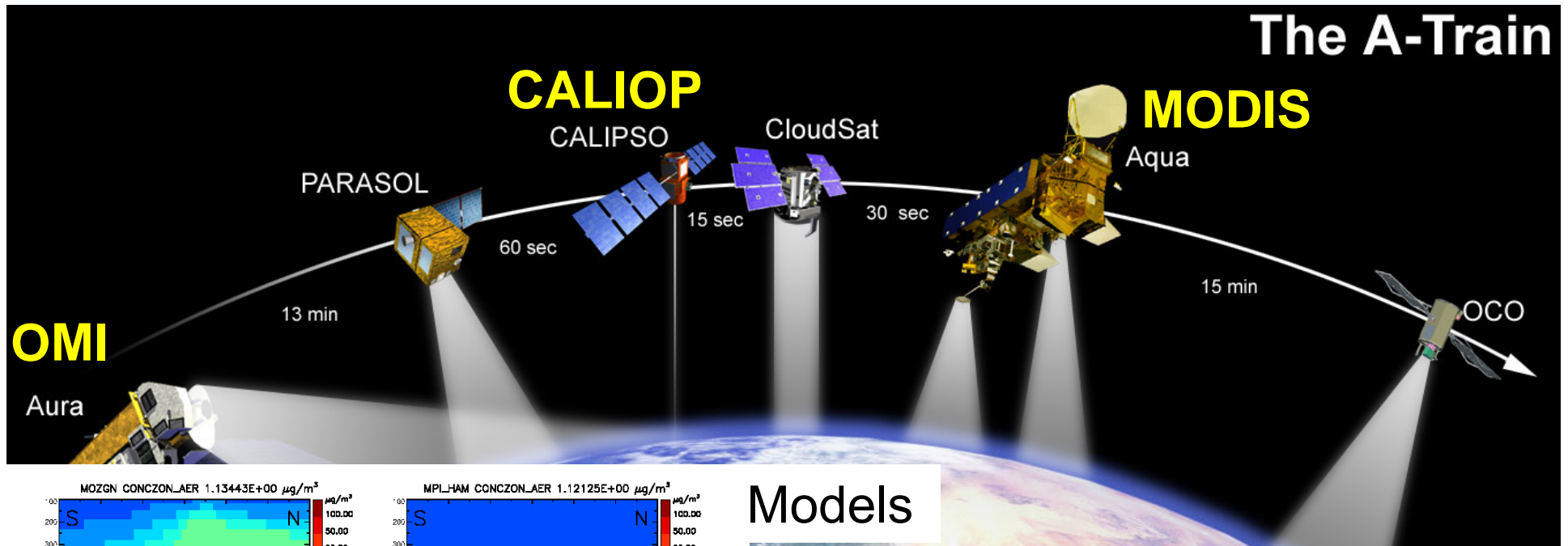


Outline

- ➔ Goal: To devise a new, methodology to derive direct aerosol radiative effects - $\Delta F_{\text{aerosol}}(z)$ including sensible uncertainty estimates, based on MODIS, OMI and CALIOP
- ➔ Motivation
- ➔ Philosophy and choices in multi-satellite-sensor (MOC) retrieval
- ➔ Methodology for combining CALIOP, OMI and MODIS data
- ➔ Checking consistency of input data
- ➔ 12-month data set – Jan. – Dec. 2007
 - ➔ Impact of input data sets
 - ➔ Comparisons to AERONET
- ➔ Conclusions

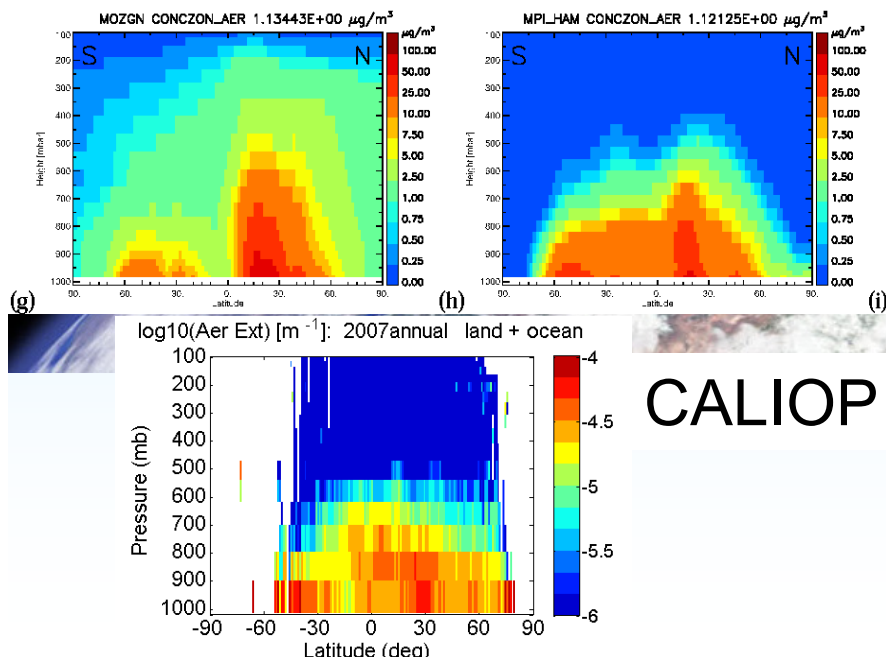


Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$



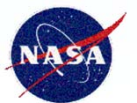
Models

- IPCC, Myhre[2009], CCSP-2009, Kahn [2011]:
- 1) Observation-based methods for DARF too large
 - 2) Models show great divergence in regional and vertical distribution of DARF.
 - 3) “remaining uncertainty (in DARF) is probably related to the vertical profiles of the aerosols and their location in relation to clouds”.



Philosophy and choices behind A-Train multi-sensor data set:

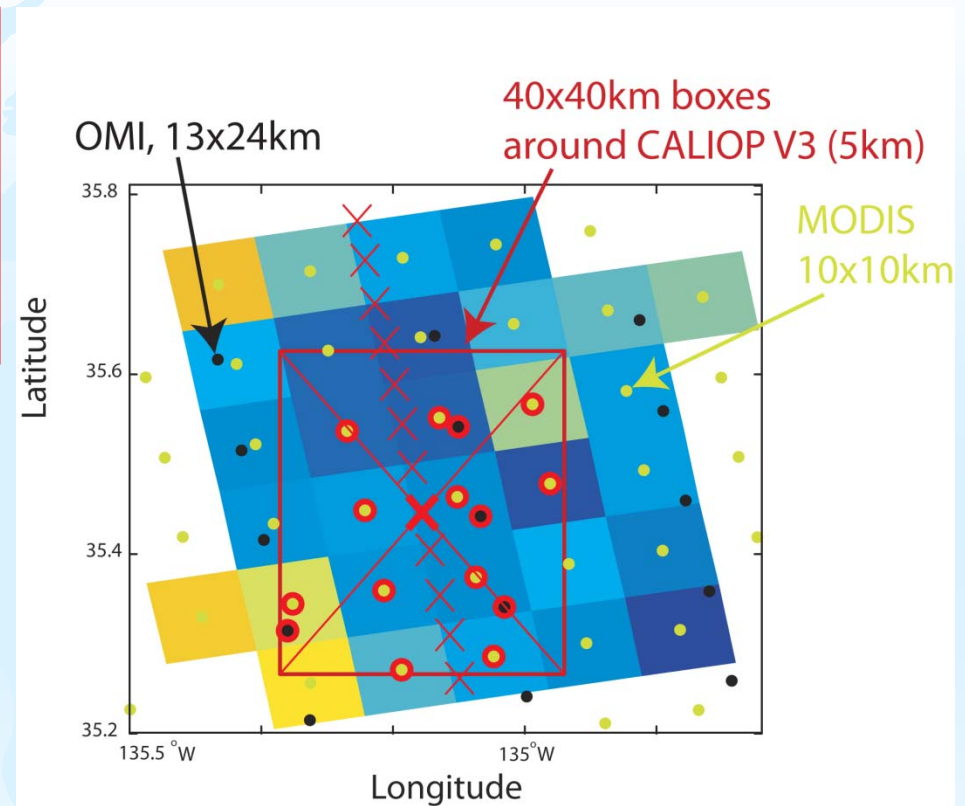
- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)



Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$

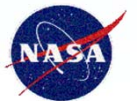
Constraints/Input:

- MODIS AOD ($7/2 \lambda$) + δAOD
- OMI AAOD (388 nm) + δAAOD
- CALIPSO ext (532, 1064 nm) + δext
- CALIPSO back (532, 1064 nm) + δback



Philosophy and choices behind A-Train multi-sensor data set:

- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)



Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$

Constraints/Input:

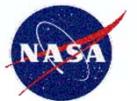
- MODIS AOD ($7/2 \lambda$) + δAOD
- OMI AAOD (388 nm) + δAAOD
- CALIPSO ext (532, 1064 nm) + δext
- CALIPSO back (532, 1064 nm) + δback

DATA set	Original parameter used
CALIPSO V3-01 (LARC DAAC)	YYYY-MM-DD, hhmm, Longitude, Latitude, Lidar_Data_Altitudes, Extinction_Coefficient_532&1064, Total_Backscatter_Coefficient_532&1064
MODIS Coll5 (LADSWEB)	Effective_Optical_Depth_Average_Ocean (AOD at 7 bands 0.47 - 2.13 μm) Corrected_Optical_Depth_Land (Corrected AOD at 0.47, 0.55, 0.66 μm)
OMAERO 2011V3 (Goddard DAAC)	AerosolOpticalThicknessMW (spectral AOD (best fit model) derived with Multi-Wavelength method using 14 bands between 342.5 and 483.5 nm) SingleScatteringAlbedoMW (Corresponding Spectral Single Scattering Albedo) AAOD (calculated as $\text{AOD} \cdot (1 - \text{SSA})$ from data above)
OMAERUV 2011V3	FinalAerosolOpticalDepth, FinalAerosolSingleScattAlb, AAOD, FinalAlgFlags, AerosolOpticalDepthVsHeight & AerosolSingleScattAlbVsHeight at 5 levels: 0, 1.5, 3.0, 6.0, 10.0km and 3 bands: 354, 388, 500nm.
AERONET L2.0 (AERONET website)	Station, long., lat., Date, Time, AOT (340-1640nm), Water(cm), AOTExt (total, fine, coarse at 441, 676, 870, 1020 nm), SSA, REFR & REFI, ASYM(total, fine, coarse), Inflection_Point, VolCon & EffRad & VolMedianRad & StdDev, Downward & Upward Flux(BOA&TOA), last_processing_date, %sphericity.
CCCM ReleaseB1 (Langley DAAC)	CERES SW TOA flux – up&down, CERES downw. SW surface flux – Model A, CERES net SW SFC flux – Model A, Clear area % coverage at subpixel res.

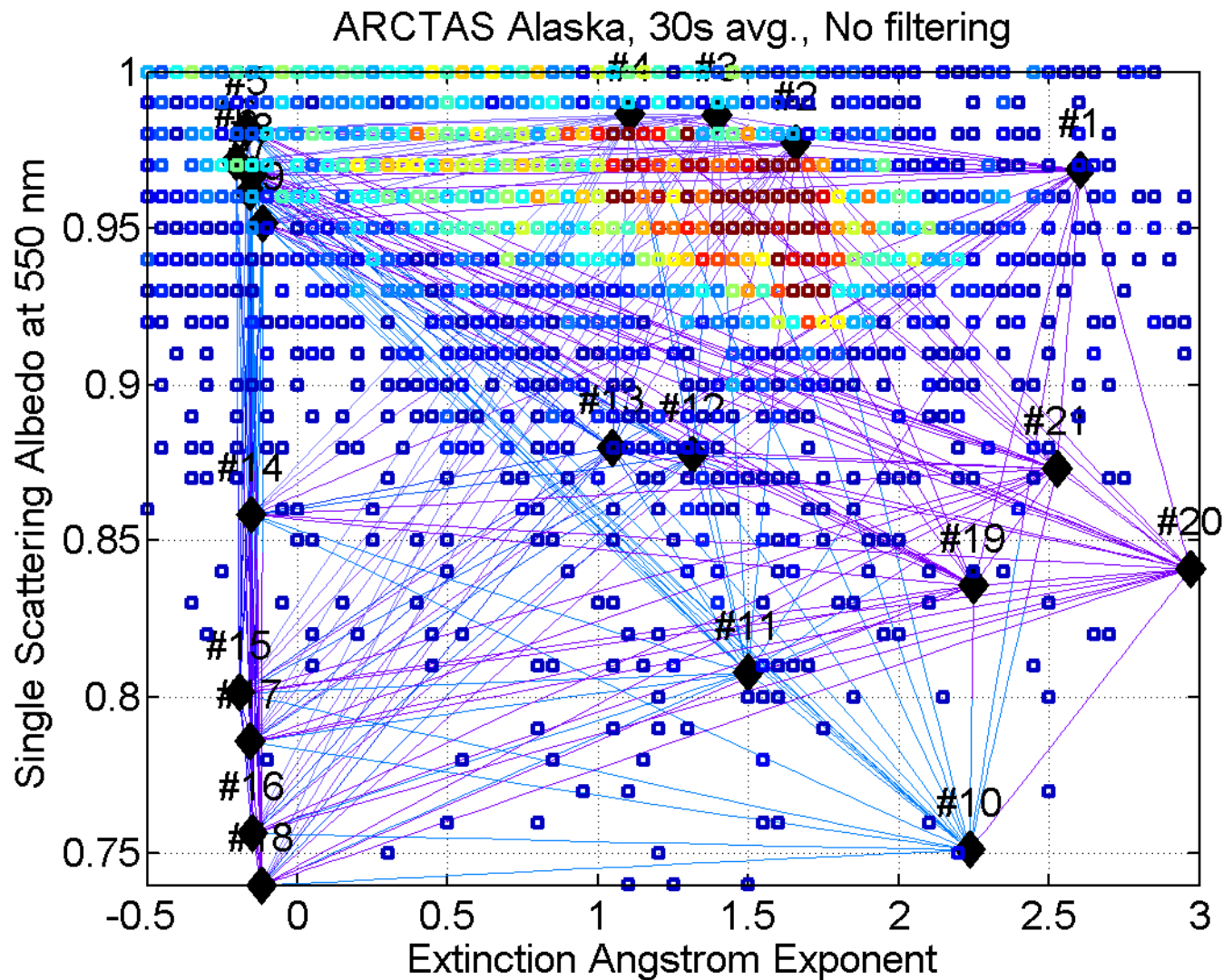


Philosophy and choices behind A-Train multi-sensor data set:

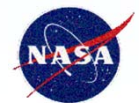
- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)
- 3) **Use suborbital observations** to guide choice of aerosol models



Aerosol models: Based on field observations, optimized to span observed range of ssa vs. EAE and ssa vs. lidar ratio



ARCTAS data are corrected after Virkkula [2010].



Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$

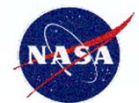
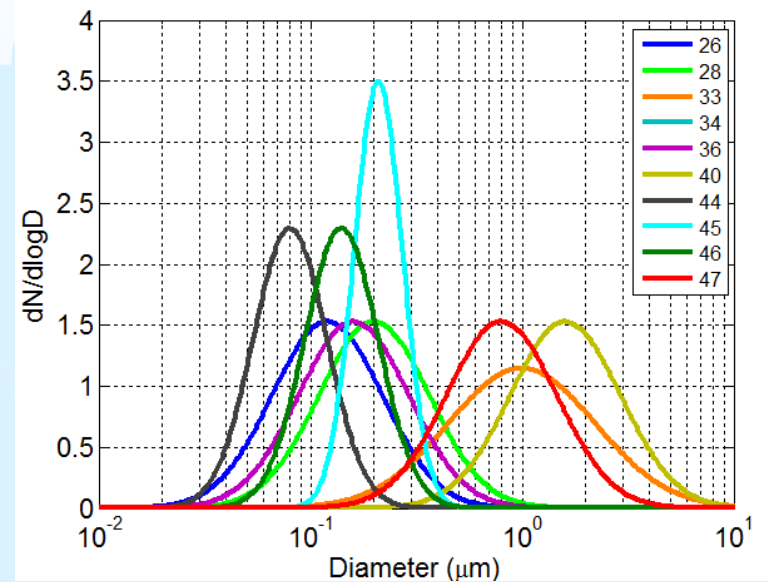
Constraints/Input:

- MODIS AOD ($7/2 \lambda$) + δAOD
- OMI AAOD (388 nm) + δAAOD
- CALIPSO ext (532, 1064 nm) + δext
- CALIPSO back (532, 1064 nm) + δback

Aerosol models:

7 fine and 3 coarse mode models define size and refractive indices of bi-modal log-normal size distribution \rightarrow 100 combinations

Free parameters: N_{fine} , N_{coarse}



Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$

Constraints/Input:

- MODIS AOD ($7/2 \lambda$) + δAOD
- OMI AAOD (388 nm) + δAAOD
- CALIPSO ext (532, 1064 nm) + δext
- CALIPSO back (532, 1064 nm) + δback

Issues to consider

- Differences in data quality land/ocean
- Impact of model assumptions
- Spatial variability
- Aerosols above & near clouds

Aerosol models:

7 fine and 3 coarse mode models define size and refractive indices of bi-modal log-normal size distribution \rightarrow 100 combinations

Free parameters: N_{fine} , N_{coarse}

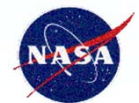
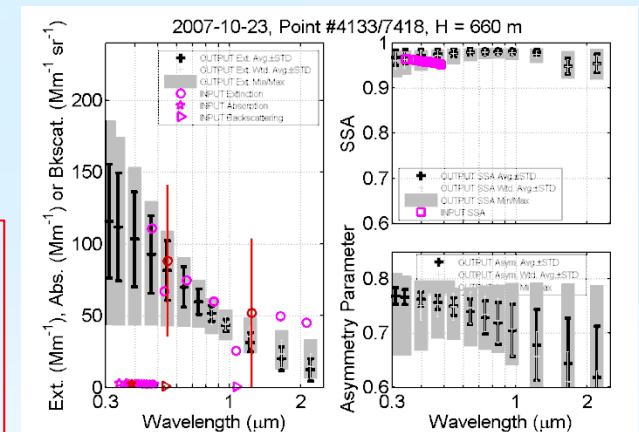
Rtx code

Target:

$$\Delta F_{\text{aerosol}}(z) + \delta \Delta F_{\text{aerosol}}(z)$$

Retrieval:

$$\begin{aligned} &\text{ext}(\lambda, z) + \delta\text{ext} \\ &\text{ssa}(\lambda, z) + \delta\text{ssa} \\ &g(\lambda, z) + \delta g \end{aligned}$$

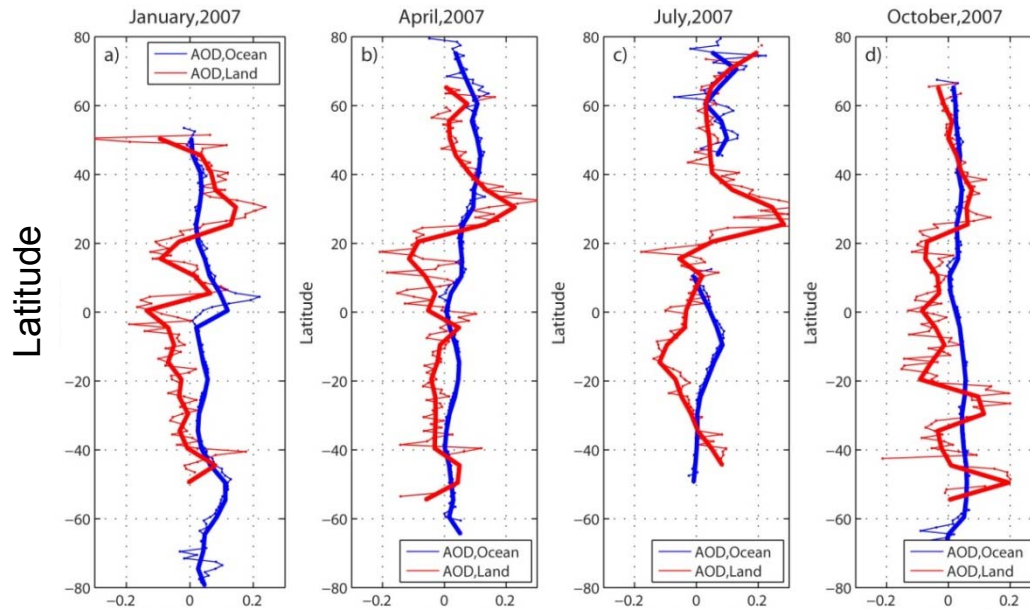


Philosophy and choices behind A-Train multi-sensor data set:

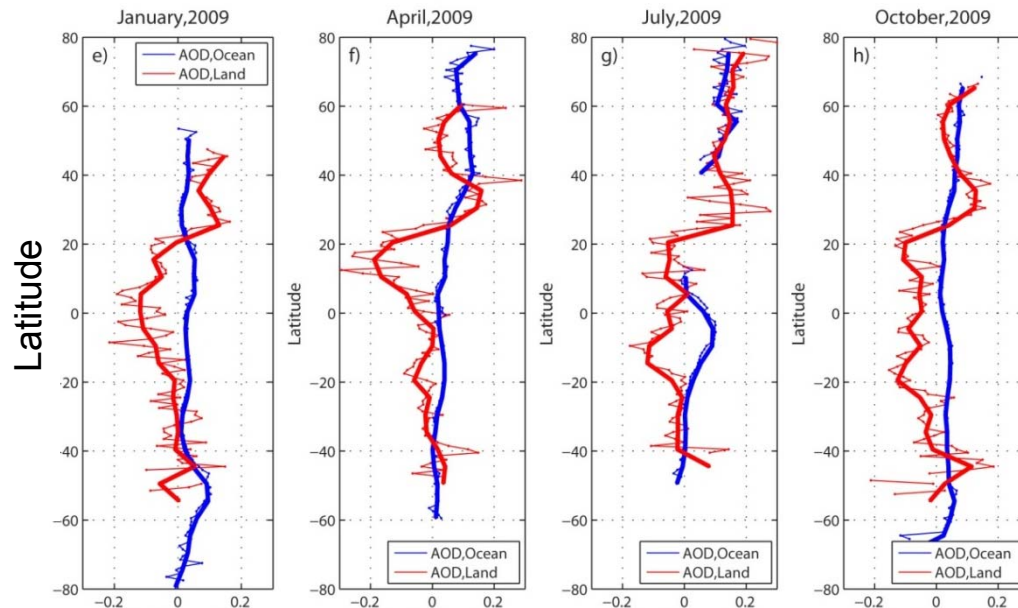
- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)
- 3) **Use suborbital observations** to guide choice of aerosol models
- 4) **Screen each data set** with best knowledge of **quality flags**
- 5) Involve **satellite sensor teams** in production of multi-sensor retrieval



Latitudinal distribution of AOD differences between MODIS and CALIOP V3



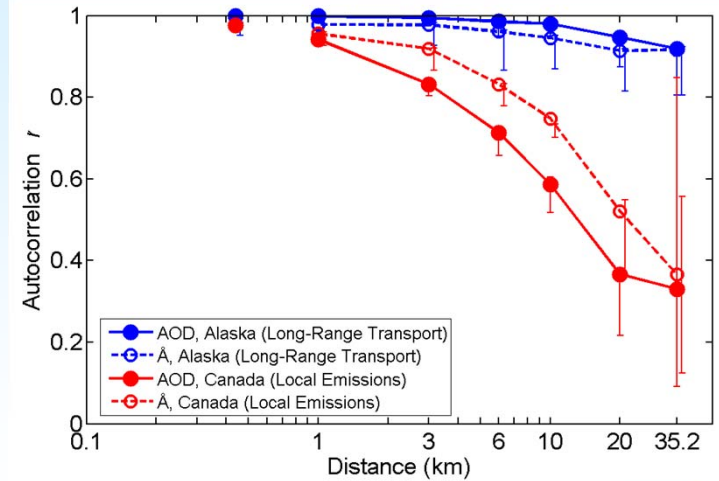
MODIS-CALIOP AOD



MODIS-CALIOP AOD

Main findings, ocean:
 1. bias differences of 0.03 - 0.04 (with CALIOP < MODIS for all months),
 2. RMS Δ of 0.09 - 0.12
 3. r^2 is $\sim 0.4-0.5$
 ...all after judicious use of quality flags

Redemann et al., ACP, 2012



Shinozuka and Redemann, ACP, 2011



Current choices in retrieval method:

1) Metric / error / cost function

$$X = \left(\sum_i w_i \left(\frac{x_i - \hat{x}_i}{\delta \tilde{x}_i} \right)^2 \right)^{1/2}$$

x_i : retrieved parameters

\hat{x}_i : observables

$\delta \tilde{x}_i$: uncertainties in obs.

w_i : weighting factors

2) 4 Observables

$x_i = \text{AOD } 550\text{nm } (\pm 0.03 \pm 5\%)$

AOD 1240 nm ($\pm 0.03 \pm 5\%$)

- MODIS

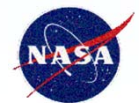
AAOD 388 nm ($\pm 0.05 \pm 30\%$)

- OMI

$\beta_{532} \pm (0.1 \text{Mm}^{-1}\text{sr}^{-1} \pm 30\%),$

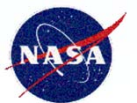
- CALIOP

3) Minimize X and select the top 3% of solutions that meet $|x_i - \hat{x}_i| \leq \delta \tilde{x}_i$ for all i

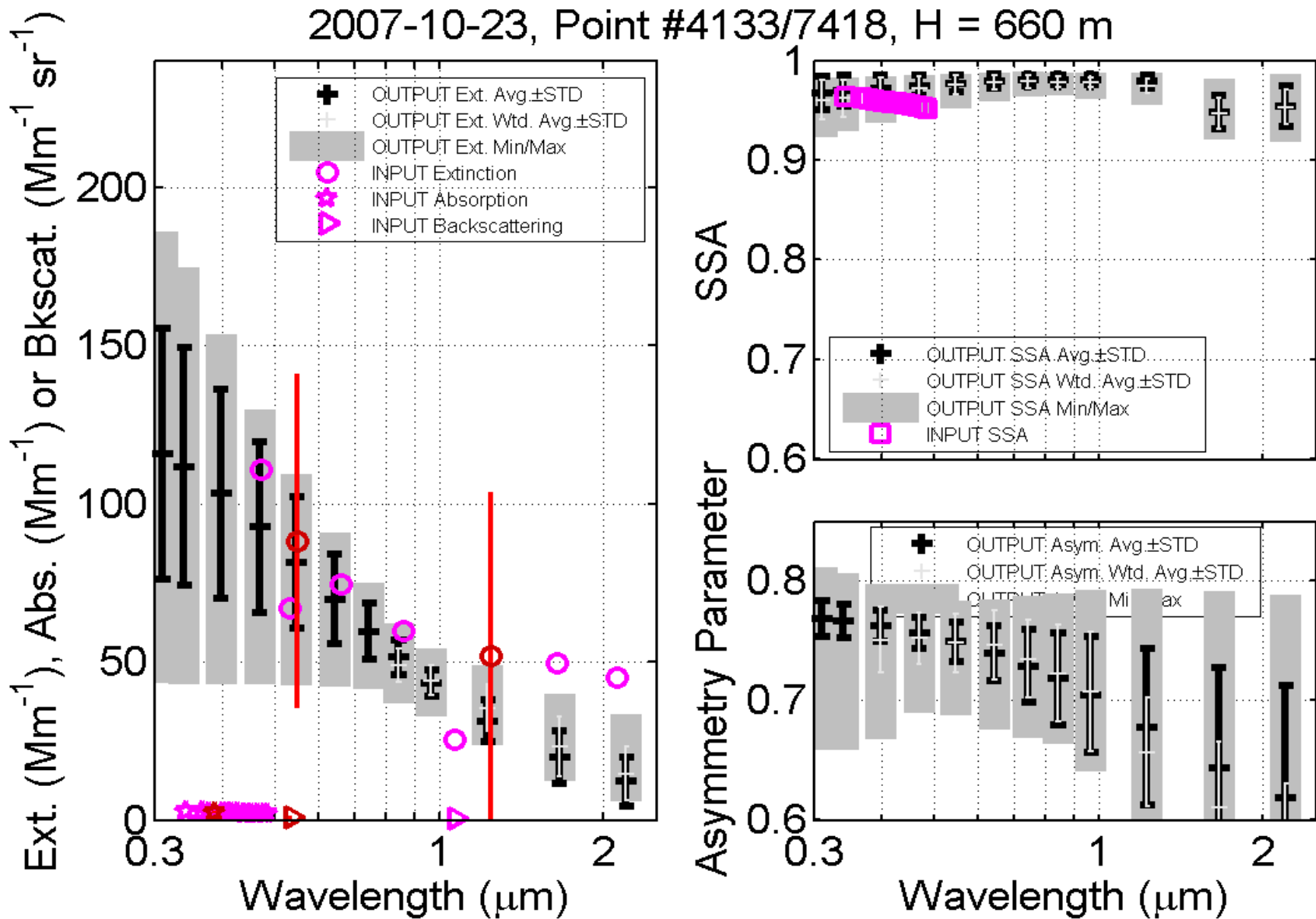


Philosophy and choices behind A-Train multi-sensor data set:

- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)
- 3) Use **suborbital observations** to guide choice of aerosol models
- 4) Screen each data set with best knowledge of **quality flags**
- 5) Involve **satellite sensor teams** in production of multi-sensor retrieval
- 6) Use **CALIOP backscatter** profiles, instead of extinction (Wandinger et al., 2010, Tesche et al., in prep., Redemann et al., 2002)
- 7) Provide **uncertainty estimates** based on range of aerosol models that are consistent with satellite measurements

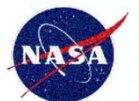


Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$



Philosophy and choices behind A-Train multi-sensor data set:

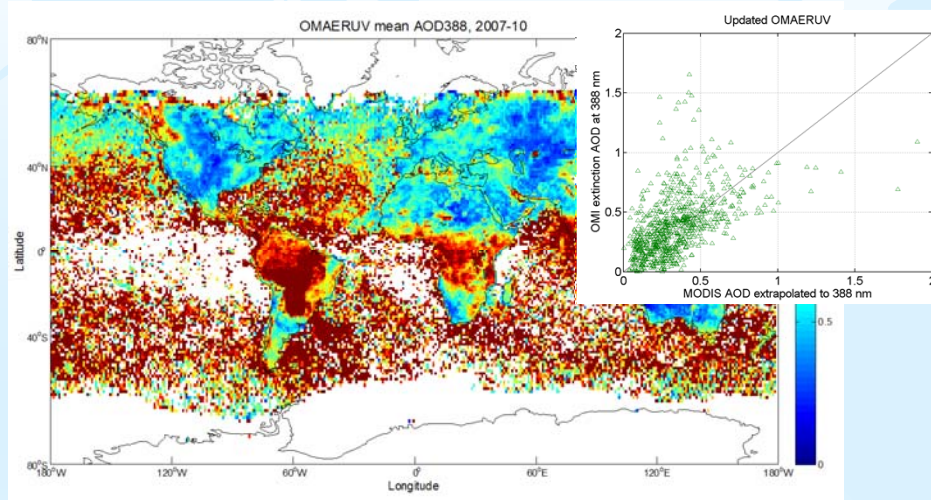
- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)
- 3) Use **suborbital observations** to guide choice of aerosol models
- 4) Screen each data set with best knowledge of **quality flags**
- 5) Involve **satellite sensor teams** in production of multi-sensor retrieval
- 6) Use **CALIOP backscatter** profiles, instead of extinction (Wandinger et al., 2010, Tesche et al., in prep., Redemann et al., 2002)
- 7) Provide **uncertainty estimates** based on range of aerosol models that are consistent with satellite measurements
- 8) Test **representativeness** of MOC retrieval inputs
- 9) Use **CALIOP layer heights** to constrain OMAERUV AAOD retrievals



Consistency/Sampling issues: Choice of OMI data

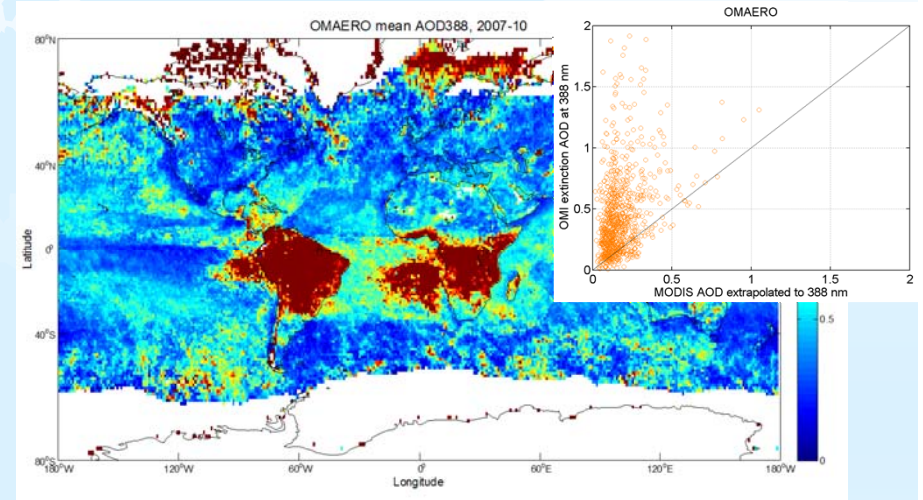
OMAERUV (Torres group)

AOD 380nm

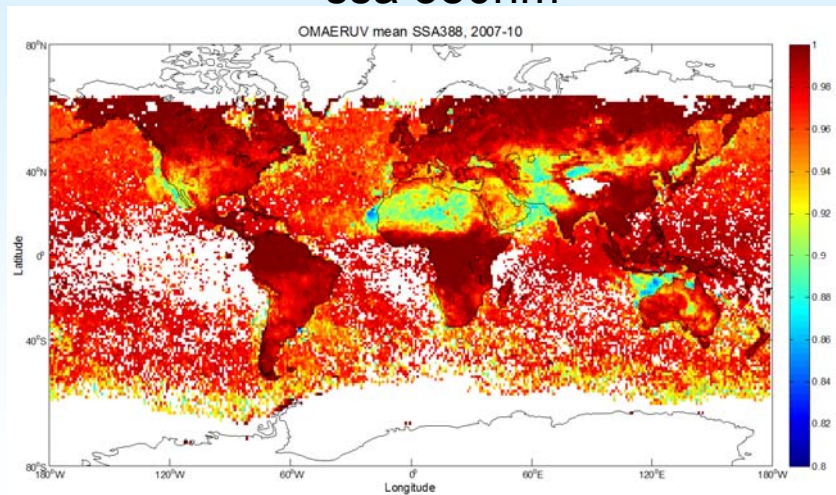


OMAERO (KNMI group)

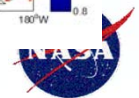
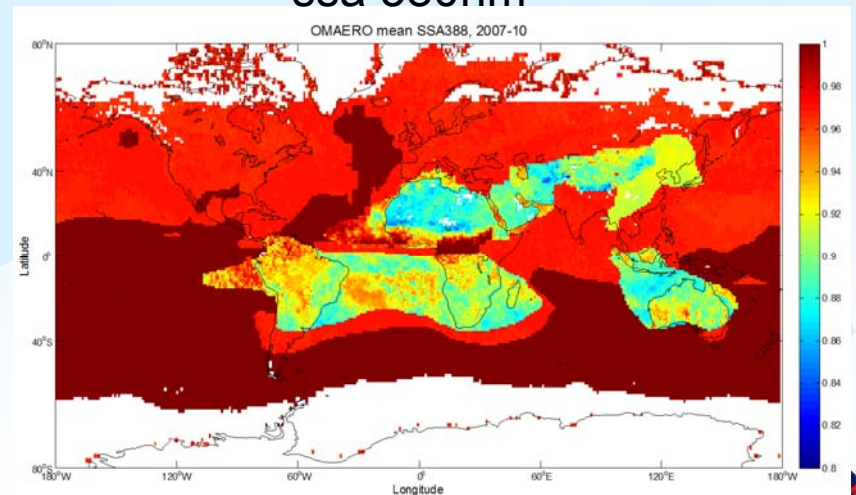
AOD 380nm



ssa 380nm



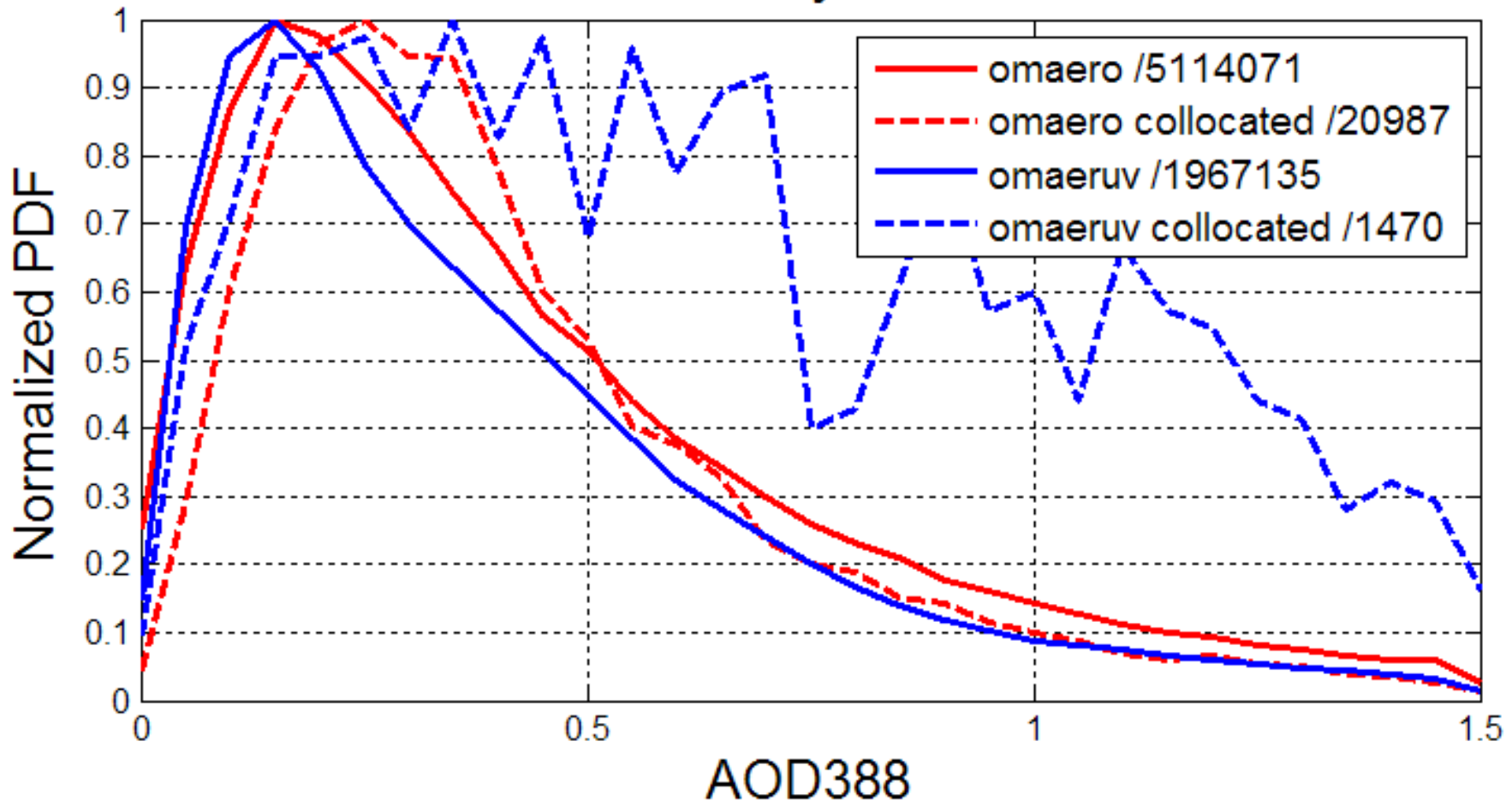
ssa 380nm



OMAERO data collocated with MODIS and CALIOP is a reasonable representation of **global OMAERO** over ocean

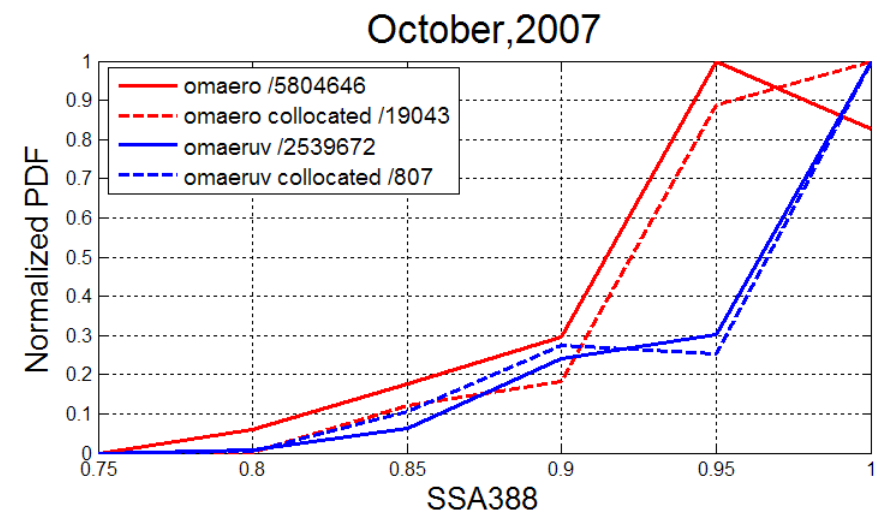
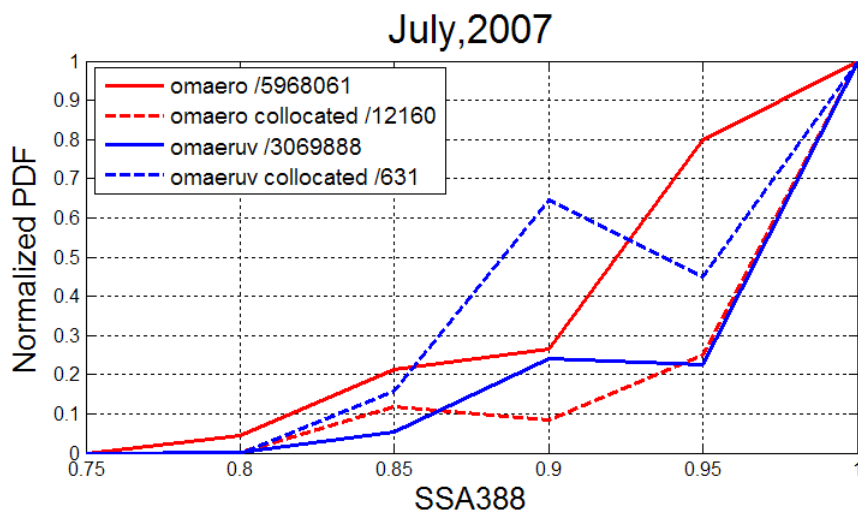
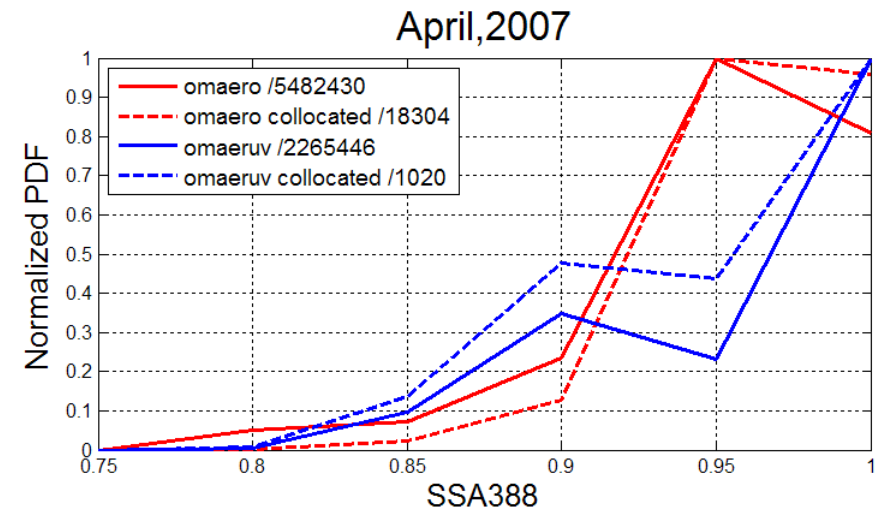
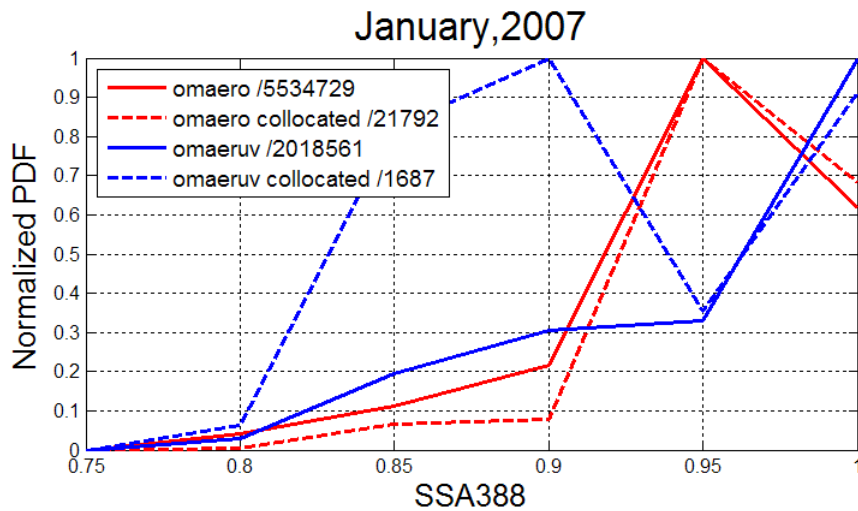
OMAERUV data collocated with MODIS and CALIOP is a poor representation of **global OMAERUV** over ocean

January, 2007



OMAERO data collocated with MODIS and CALIOP is a reasonable representation of **global OMAERO** over ocean

OMAERUV data collocated with MODIS and CALIOP is a poor representation of **global OMAERUV** over ocean



Philosophy and choices behind A-Train multi-sensor data set:

- 1) Use **instantaneously collocated** A-Train observations (MODIS, OMI, CALIOP)
- 2) Make consistent retrieval from **Level-2 aerosol** data (eliminates the problem of collocating radiances and cloud-screening, but exposes the problem of different aerosol models)
- 3) Use **suborbital observations** to guide choice of aerosol models
- 4) Screen each data set with best knowledge of **quality flags**
- 5) Involve **satellite sensor teams** in production of multi-sensor retrieval
- 6) Use **CALIOP backscatter** profiles, instead of extinction (Wandinger et al., 2010, Tesche et al., in prep., Redemann et al., 2002)
- 7) Provide **uncertainty estimates** based on range of aerosol models that are consistent with satellite measurements
- 8) Test **representativeness** of MOC retrieval inputs
- 9) Use **CALIOP layer heights** to constrain OMAERUV AAOD retrievals
- 10) Test multi-sensor retrievals against **AERONET** and field obs



Goal: To use A-Train aerosol obs to constrain aerosol radiative properties to calculate $\Delta F_{\text{aerosol}}(z)$

Constraints/Input:

- MODIS AOD ($7/2 \lambda$) + δAOD
- OMI AAOD (388 nm) + δAAOD
- CALIPSO ext (532, 1064 nm) + δext
- CALIPSO back (532, 1064 nm) + δback

Aerosol models:

7 fine and 3 coarse mode models define size and refractive indices of bi-modal log-normal size distribution \rightarrow 100 combinations

Free parameters: N_{fine} , N_{coarse}

Rtx code

Target:

$$\Delta F_{\text{aerosol}}(z) + \delta \Delta F_{\text{aerosol}}(z)$$

Comparison:

CERES F_{clear}
Airborne F_{clear}

Comparison:

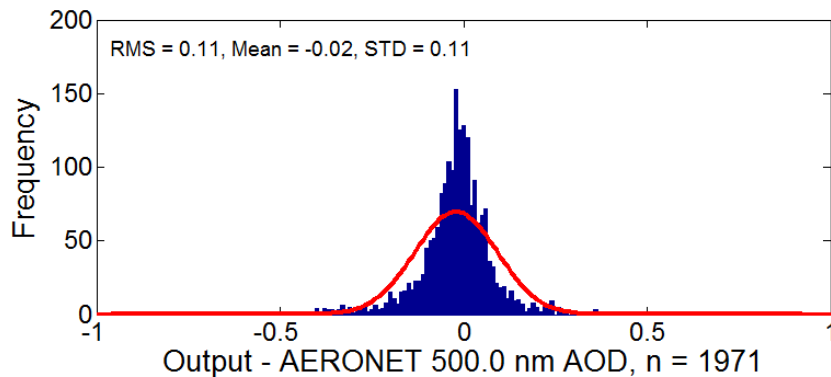
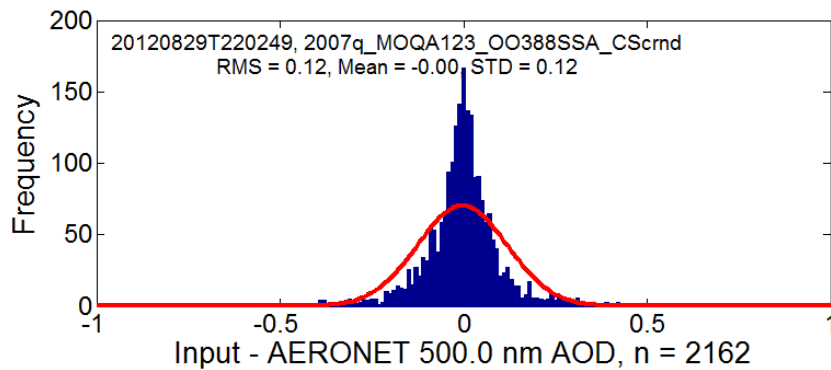
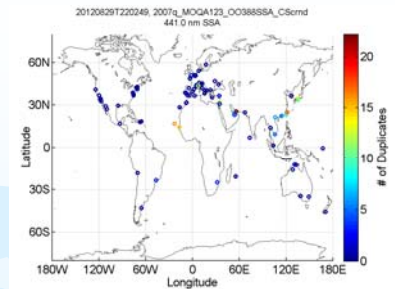
AERONET AOD, ssa, g
Airborne test bed data

Retrieval:

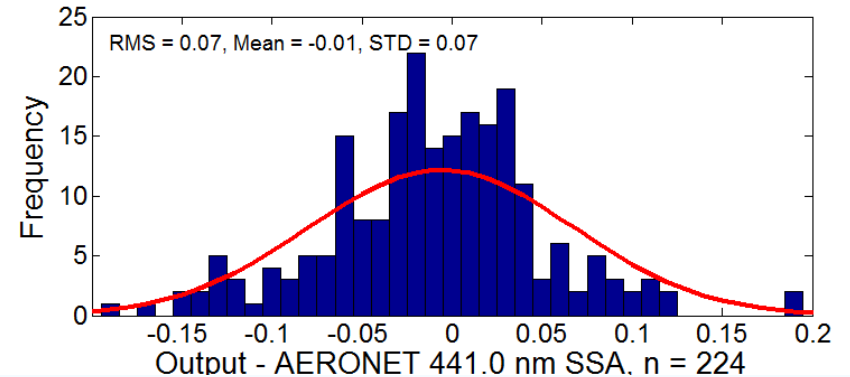
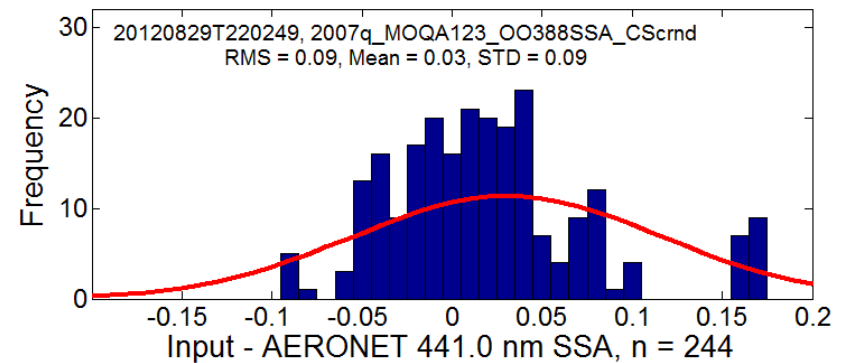
ext (λ, z) + δext
ssa (λ, z) + δssa
g (λ, z) + δg



MOC retrievals vs. AERONET (V2.0) Ocean (OMAERO)



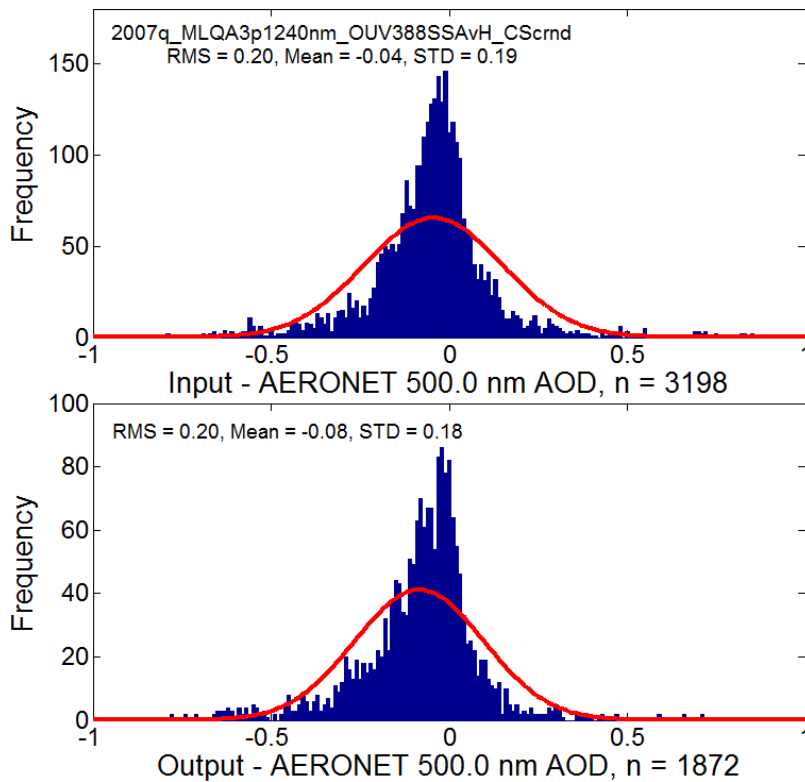
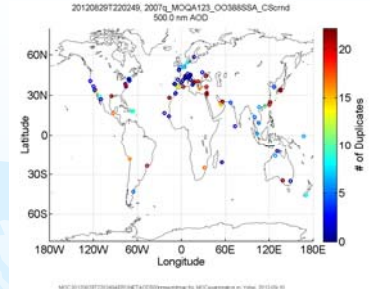
Small bias in retrieved AOD already existed in the input data



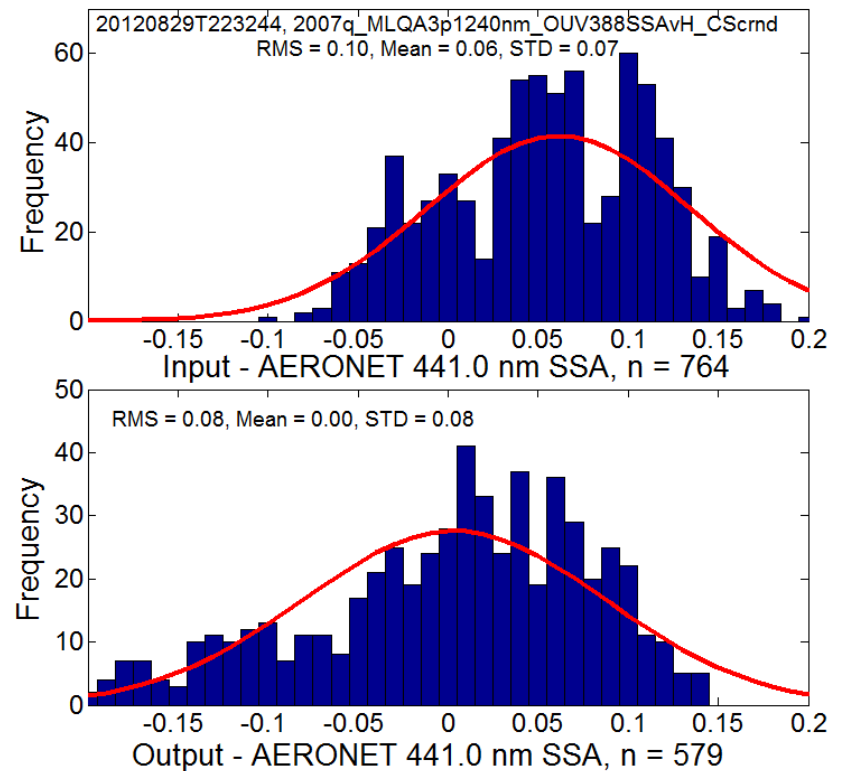
No bias in retrieved ssa, although small positive bias existed in the input data



MOC retrievals vs. AERONET (V2.0) Land (OMAERUV)



Negative bias in retrieved AOD is increased from input data

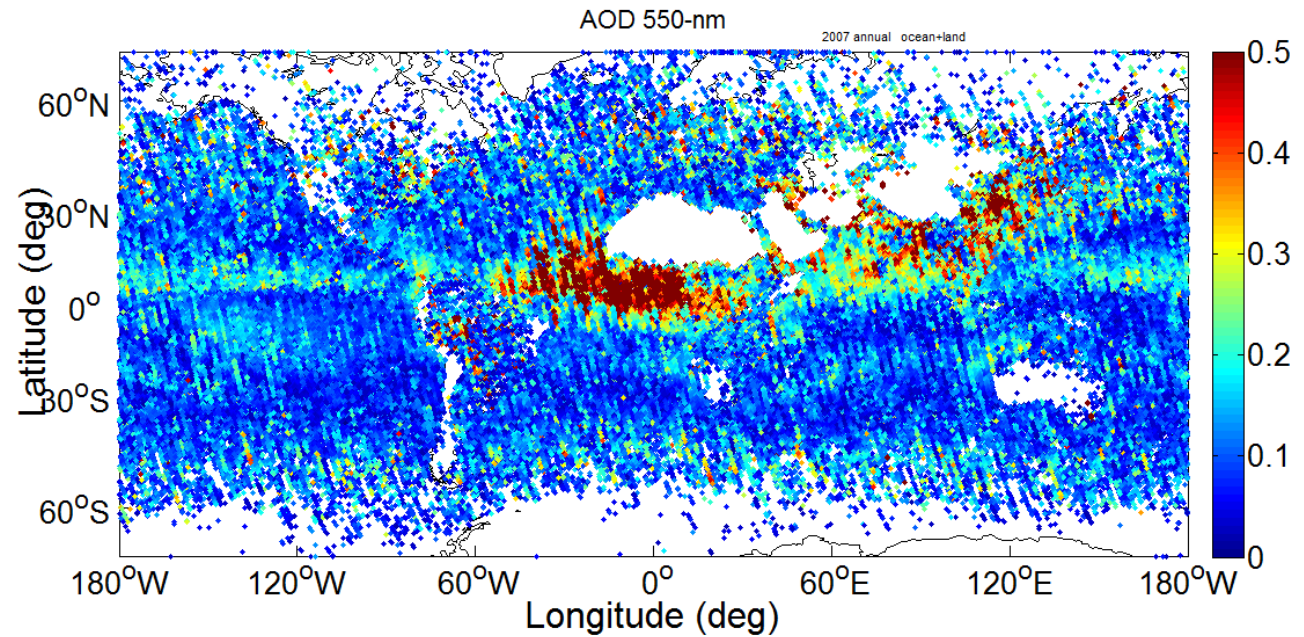


No bias in retrieved ssa, although positive bias existed in the input data

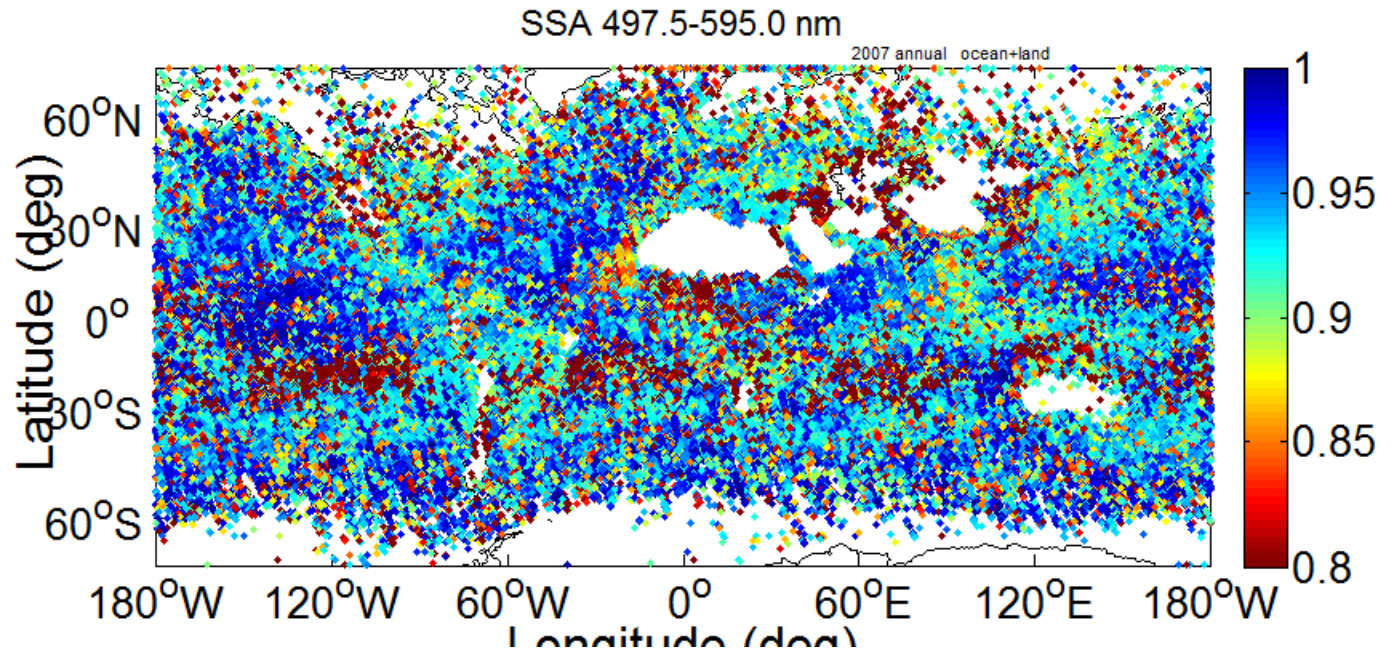


AOD and SSA retrievals from MODIS – OMI - CALIPSO

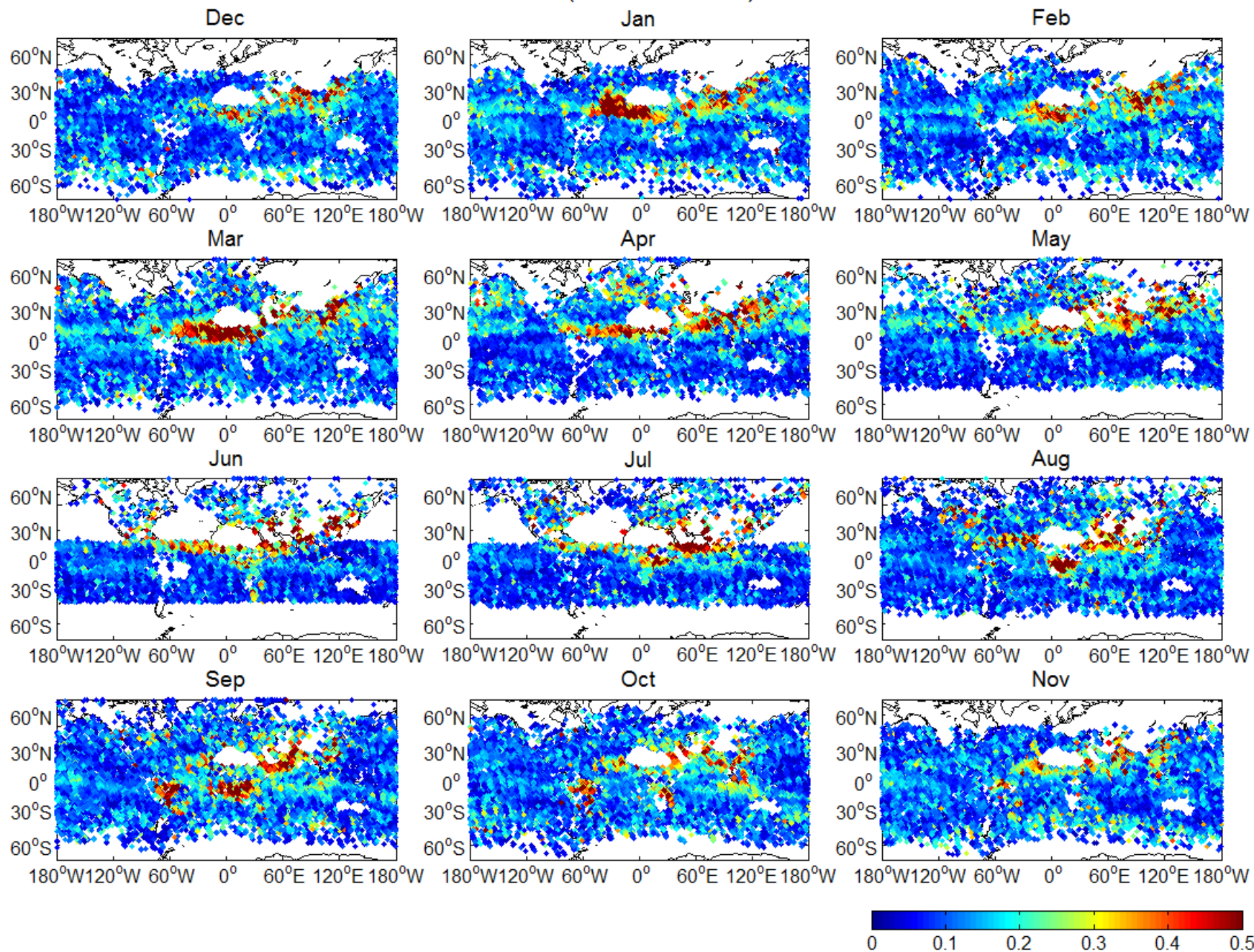
AOD
~540nm



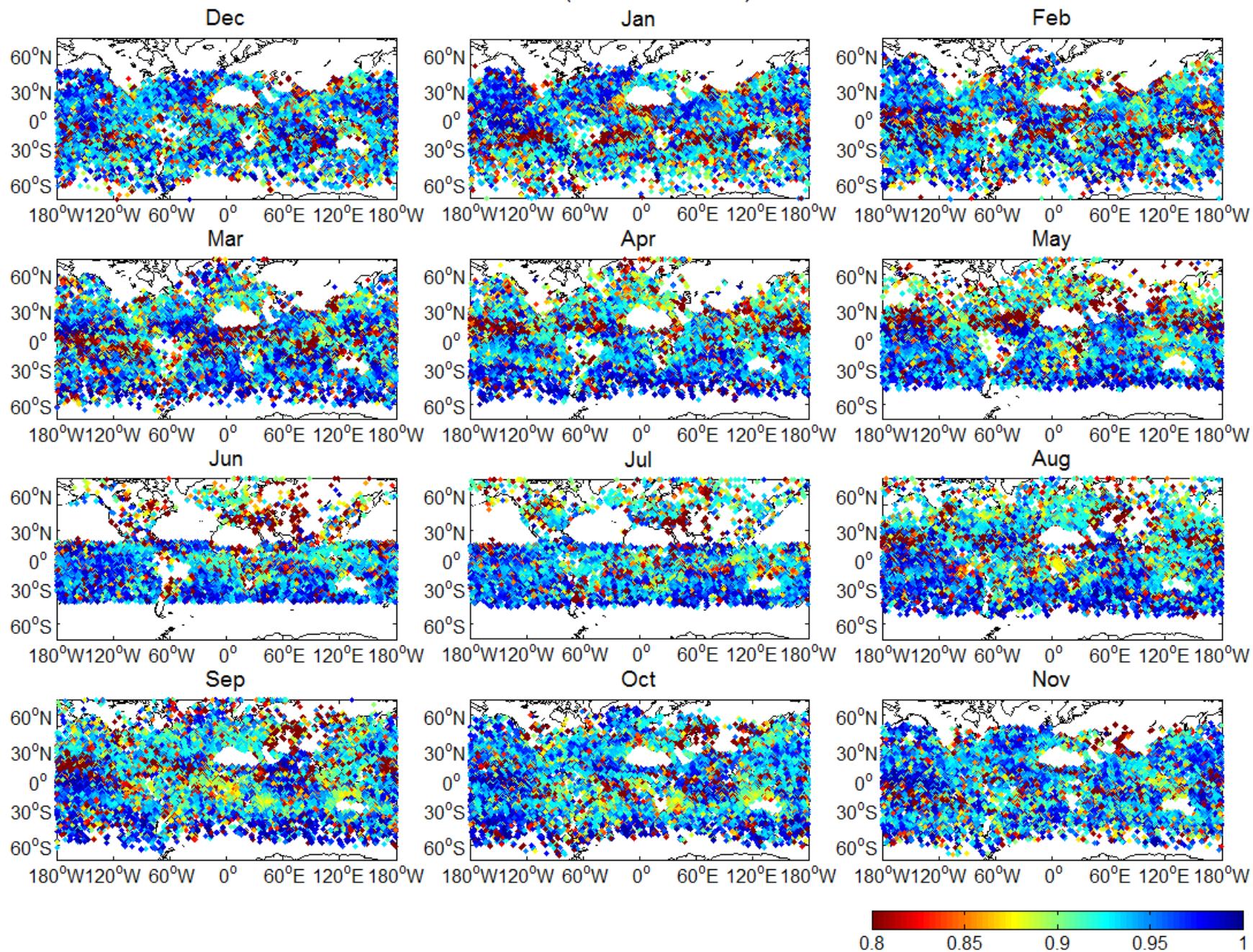
SSA
~540nm



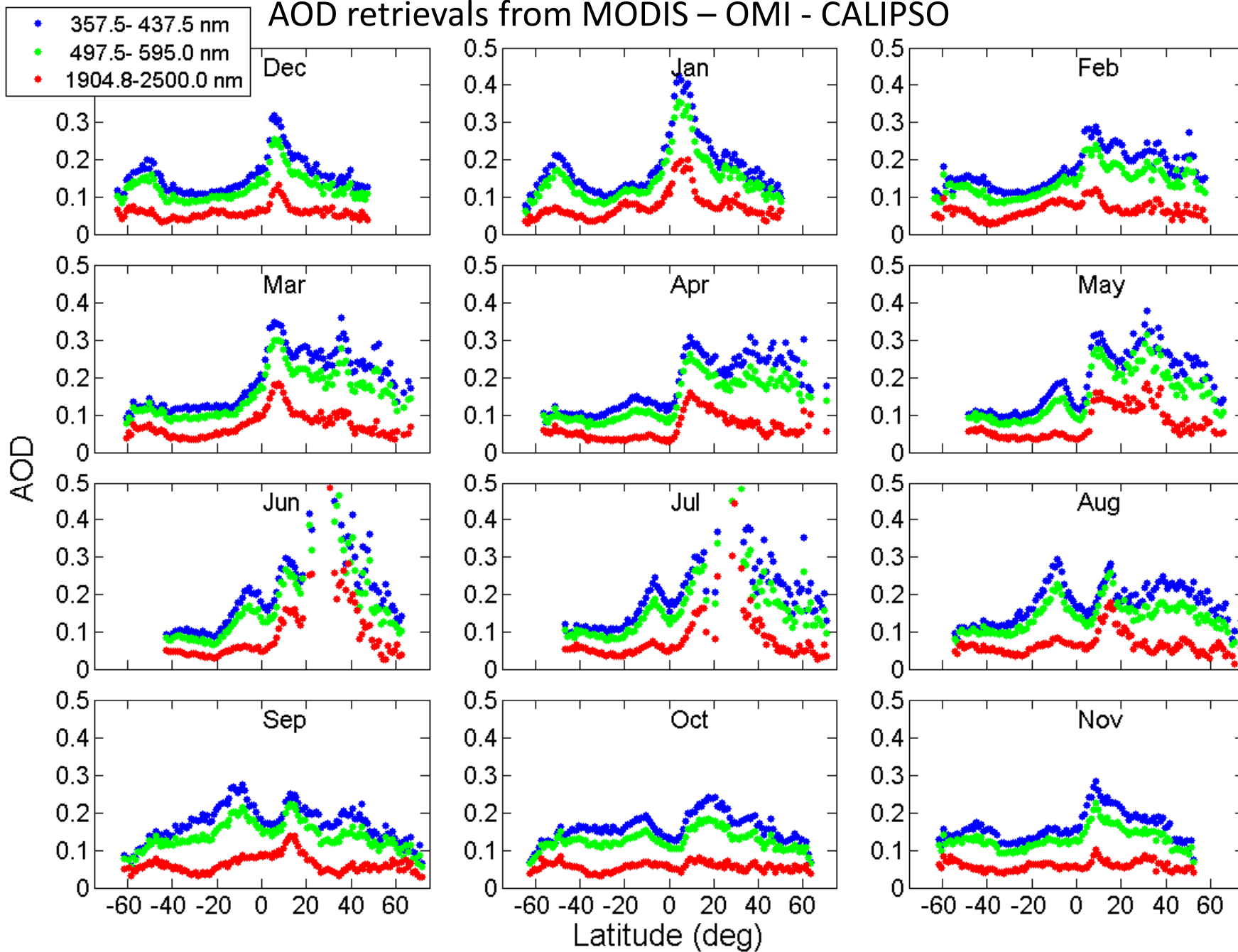
AOD (497.5-595.0 nm)



SSA (497.5-595.0 nm)

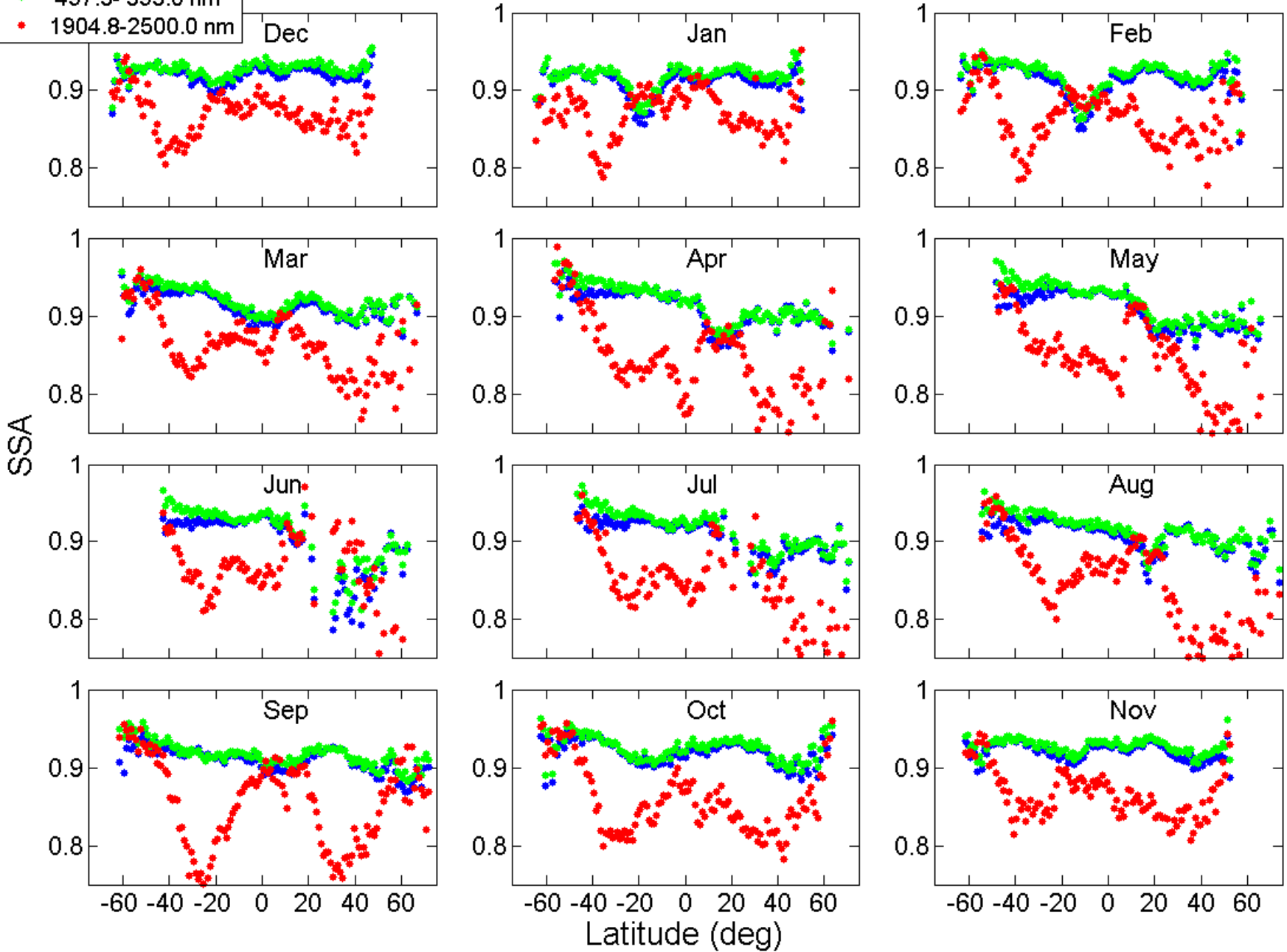


AOD retrievals from MODIS – OMI - CALIPSO

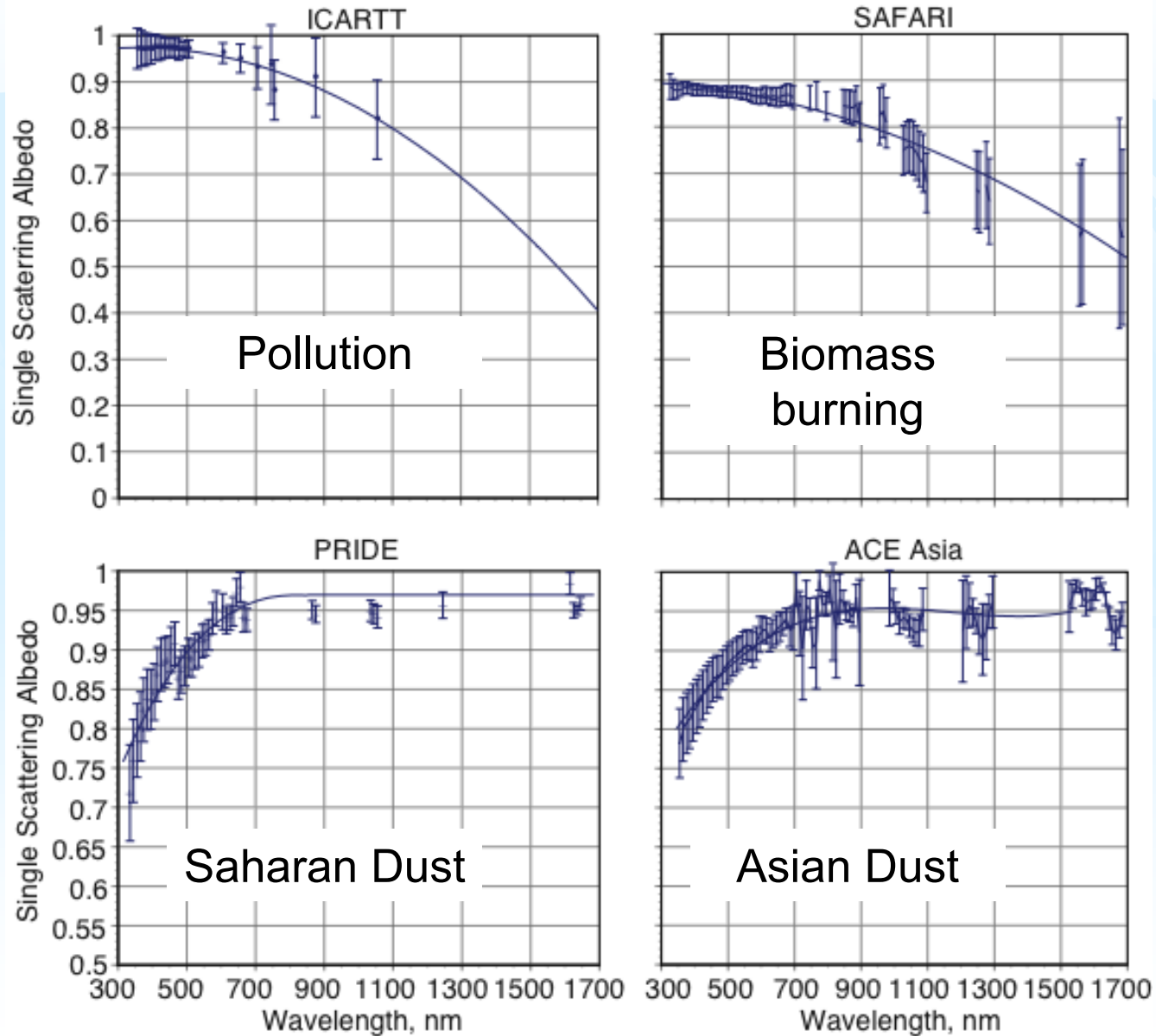


SSA retrievals from MODIS – OMI - CALIPSO

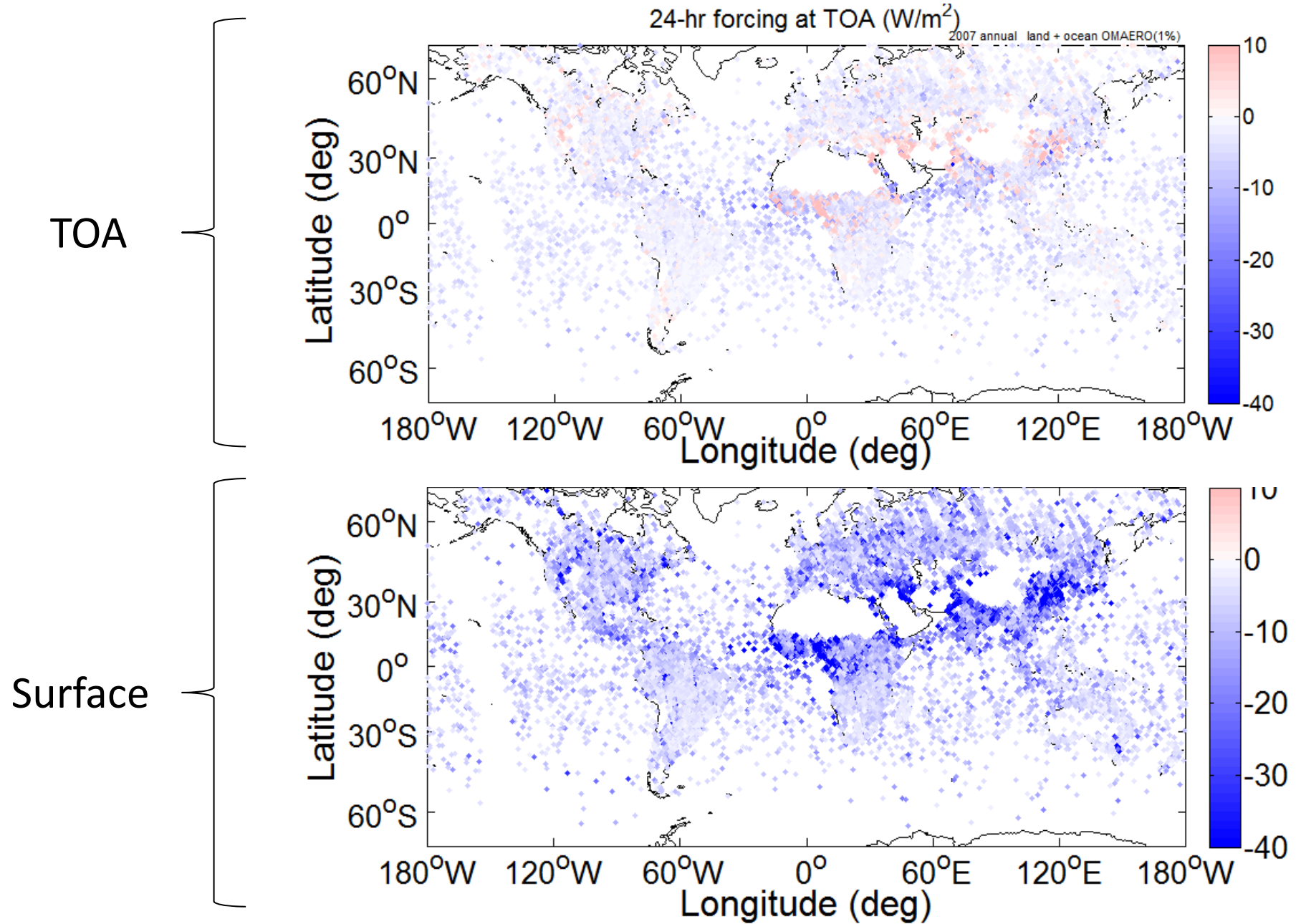
- 357.5- 437.5 nm
- 497.5- 595.0 nm
- 1904.8-2500.0 nm



AOD and SSA retrievals from MODIS – OMI - CALIPSO



24h avg direct radiative forcing from MODIS – OMI - CALIPSO



Seasonal clear-sky DARF results at TOA and SFC from models and observations [W/m^2] after CCSP, adapted from Yu et al. 2006.

Products	DJF		MAM		JJA		SON		ANN	
	TOA	SFC	TOA	SFC	TOA	SFC	TOA	SFC	TOA	SFC
Ocean										
Observations (11) - Median	-5.5	-8.1	-5.7	-9.3	-5.5	-9.5	-5.4	-8.8	-5.5	-8.8
Our study - preliminary	-4.6	-9.5	-4.7	-10.2	-4.7	-8.6	-4.9	-9.7	-4.8	-9.8
Observations - error	0.23	0.56	0.2	0.85	0.29	0.94	0.26	0.78	0.21	0.67
Models (5) - Median	-3.3	-4.1	-3.5	-4.6	-3.5	-4.9	-3.8	-5.4	-3.5	-4.8
Models - error	0.61	0.66	0.66	0.92	0.67	0.91	0.68	0.81	0.64	0.8
Models/Observations	0.6	0.51	0.61	0.5	0.64	0.52	0.7	0.61	0.64	0.55
Land										
Observations (4) - Median	-3.7	-8.1	-5.1	-13	-5.8	-14.8	-4.7	-10.8	-4.9	-11.7
Our study - preliminary	-1.5	-10.6	-1.7	-11.5	-1.8	-11.8	-2.5	-12.1	-2.0	-11.8
Observations - error	0.17	0.49	0.26	0.74	0.31	0.85	0.27	0.75	0.26	0.7
Models (5) - Median	-1.6	-5.4	-3.2	-7.9	-3.6	-9.3	-2.5	-6.7	-2.8	-7.2
Models - error	0.42	0.51	0.65	1.22	0.8	1.37	0.62	0.79	0.59	0.93
Models/Observations	0.43	0.67	0.63	0.61	0.62	0.63	0.53	0.62	0.58	0.62

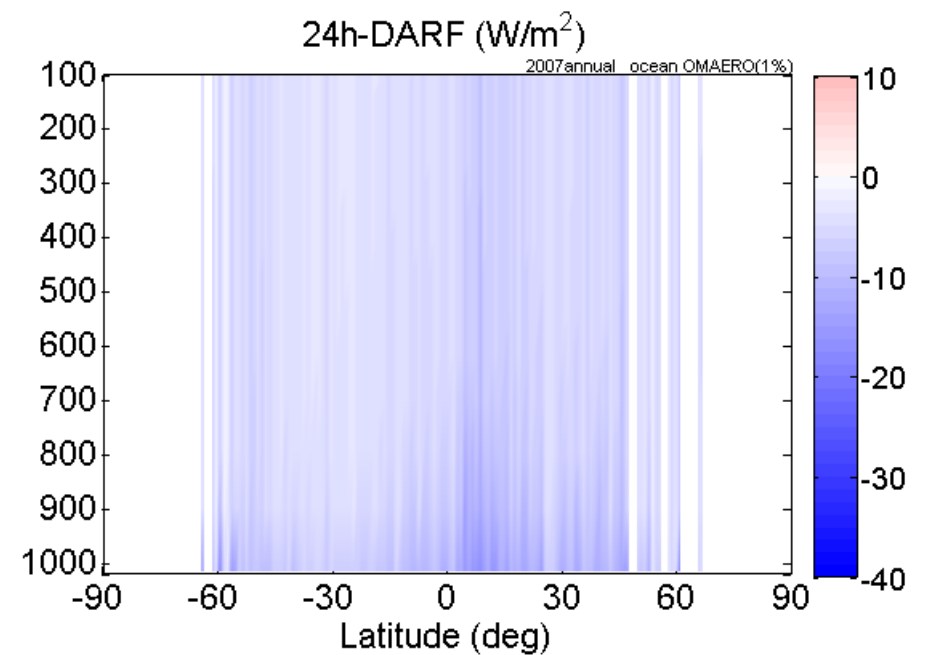
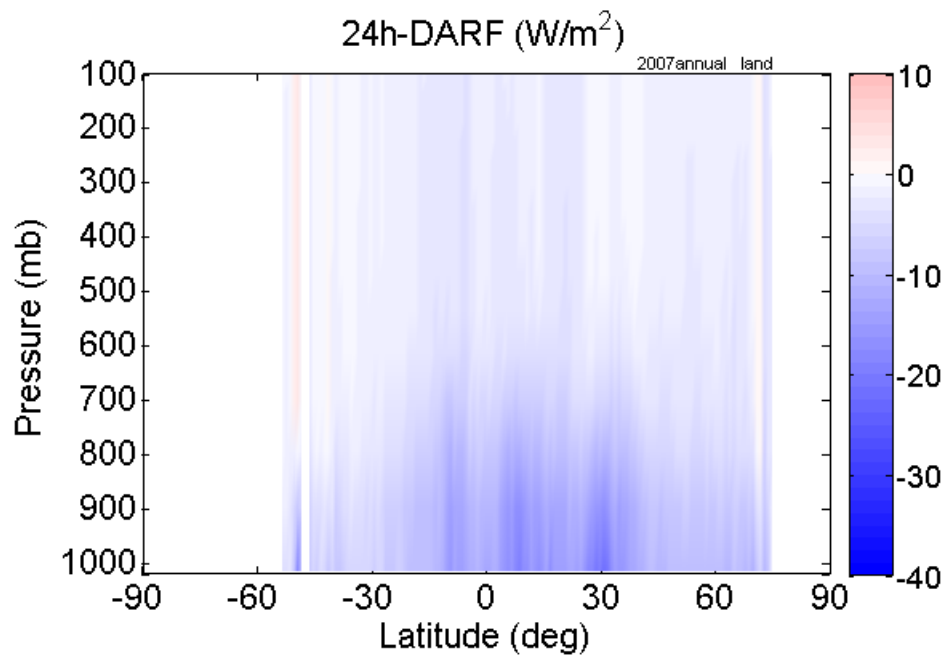
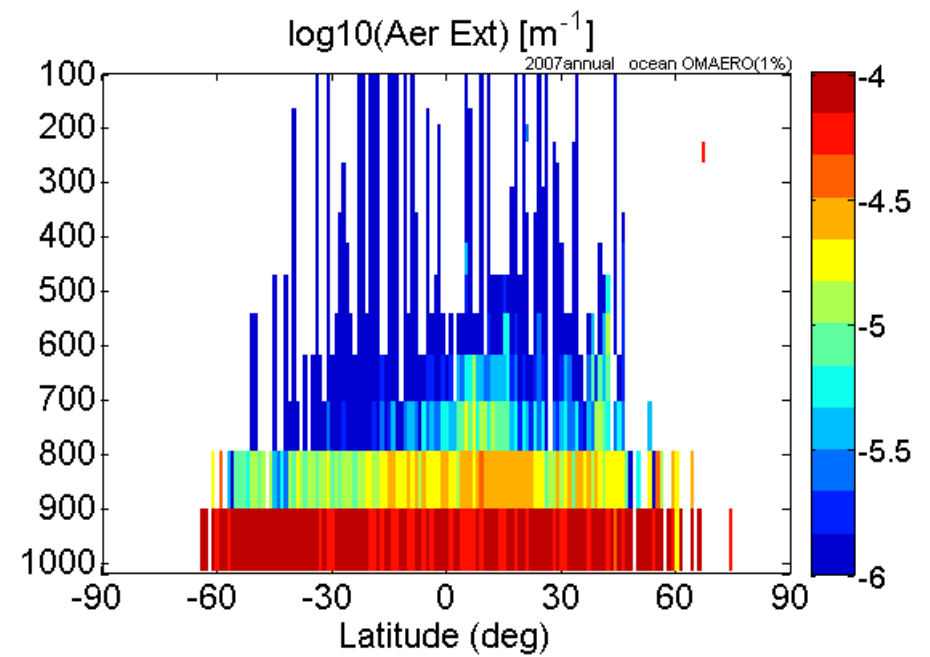
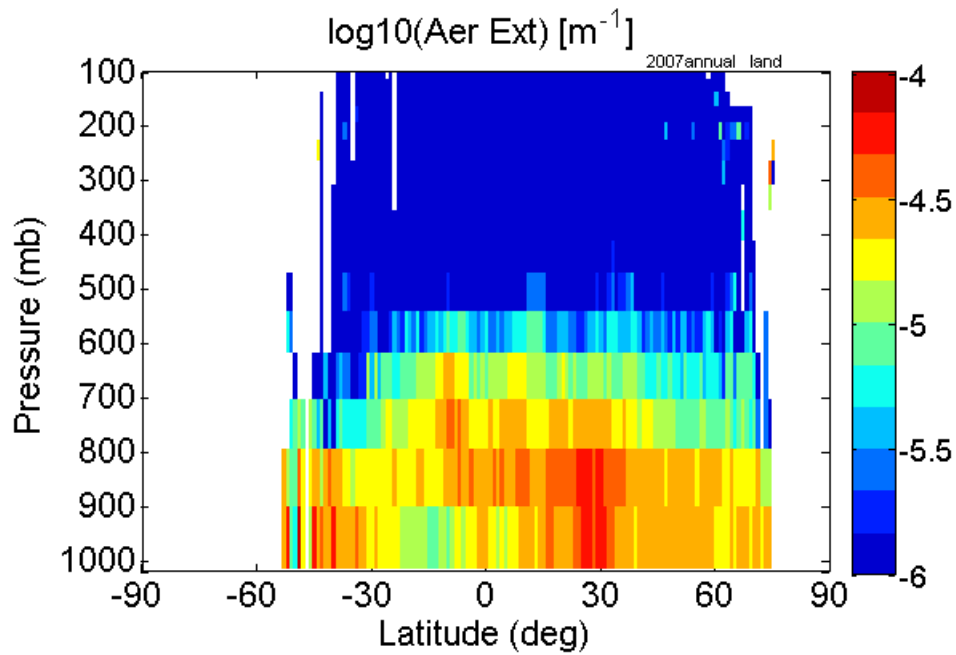


Seasonal clear-sky DARF results at TOA and SFC from models and observations [W/m^2] after CCSP, adapted from Yu et al. 2006.

Products	DJF		MAM		JJA		SON		ANN	
	TOA	SFC	TOA	SFC	TOA	SFC	TOA	SFC	TOA	SFC
Ocean										
Observations (11) - Median	-5.5	-8.1	-5.7	-9.3	-5.5	-9.5	-5.4	-8.8	-5.5	-8.8
Our study - preliminary	-4.6	-9.5	-4.7	-10.2	-4.7	-8.6	-4.9	-9.7	-4.8	-9.8
Observations - error	0.23	0.56	0.2	0.85	0.29	0.94	0.26	0.78	0.21	0.67
Models (5) - Median	-3.3	-4.1	-3.5	-4.6	-3.5	-4.9	-3.8	-5.4	-3.5	-4.8
Models - error	0.61	0.66	0.66	0.92	0.67	0.91	0.68	0.81	0.64	0.8
Models/Observations	0.6	0.51	0.61	0.5	0.64	0.52	0.7	0.61	0.64	0.55
Land										
Observations (4) - Median	-3.7	-8.1	-5.1	-13	-5.8	-14.8	-4.7	-10.8	-4.9	-11.7
Our study - preliminary	-1.5	-10.6	-1.7	-11.5	-1.8	-11.8	-2.5	-12.1	-2.0	-11.8
Observations - error	0.17	0.49	0.26	0.74	0.31	0.85	0.27	0.75	0.26	0.7
Models (5) - Median	-1.6	-5.4	-3.2	-7.9	-3.6	-9.3	-2.5	-6.7	-2.8	-7.2
Models - error	0.42	0.51	0.65	1.22	0.8	1.37	0.62	0.79	0.59	0.93
Models/Observations	0.43	0.67	0.63	0.61	0.62	0.63	0.53	0.62	0.58	0.62



Land vs. ocean



Conclusions – what this data set is and is not

This data set:

- Is intended to lead to a PURELY observational estimate of DARF(z)
- Contains 12 months (2007) of aerosol extinction, ssa and g as $f(t, \text{lat}, \text{long}, \lambda, z)$
- Is based on instantaneously collocated level 2 MODIS AOD dark target retrievals at 2λ , OMI AAOD at 388nm, and CALIOP aerosol backscatter at 532nm
- Is based on quality-screened MODIS, OMI and CALIOP data
- Is based on aerosol models that are supported by suborbital observations
- Uses OMAERUV over land and OMAERO over ocean (representativeness)
- Agrees better with AERONET in terms of ssa(441nm) than input OMI data
- Uses CALIOP layer heights to constrain OMAERUV AAOD
- Provides uncertainty estimates based on range of aerosol models that are consistent with observation within their uncertainties
- Can be used to calculate clear-sky, direct, aerosol radiative forcing that compares favorably with previous observationally-based estimates and better with model based estimates over ocean at TOA

This data set:

- Is not based on passive sensor radiances
- Does not utilize MODIS DB data (yet)
- Does not cover all of the available collocated MODIS, OMI, CALIOP data (June 2006-Dec. 2008) (yet)
- Does not provide aerosol type from Mahalanobis cluster analysis (yet)



Conclusions – what this data set is and is not

So, is this useful to AeroCom?

If so, in what format?

Spectral aerosol radiative
properties or radiative fluxes?

