

What is the aerosol indirect effect in CAM-Oslo sensitive to and why?

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Overview of talk

- General comments
- Sensitivity to background aerosols
- Sensitivity to imposed constraints on cloud droplet number
- Sensitivity to treatment of anthropogenic ice nuclei



Figure from NASA

RADIATIVE FORCING COMPONENTS



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Aerosol Indirect Effect

- <u>Definition</u>: Change in Radiative Forcing from Clouds due to Present-Day Aerosols minus Pre-Industrial Aerosols
- Model estimates vary greatly due to:
- Sensitivity to Parameterizations of Aerosol-Cloud Interactions
- Sensitivity to Aerosol Scheme, in particular to the Treatment of Natural Aerosols: Few natural CCN => Large Indirect Effect (strong cooling)
- Sensitivity to the Atmospheric State in the host model, in particular to Cloud Properties: Dense Clouds => Large Indirect Effect (strong cooling)

Sensitivity to background aerosols

TABLE 4. Globally averaged annual means of the AIE evaluated by the model from the difference in cloud radiative forcing. Also included are the NH and SH, and land and ocean averages. NR-DE refers to the AIE calculated as the difference between net radiation and the direct aerosol effect. Global annual Δ LCC and Δ LWP between PD and PI emissions are also given.

	AIE (W m^{-2})			NR-DE (W m ⁻²)			ΔLWP	
Experiment	Land	Ocean	NH	SH	Global	global	$\Delta LCC (\%)$	(g m ⁻²)
CTRL-R NEWCLD-R NEWCLD-M-7.5 NEWCLD-M-5.0 NEWCLD-M-5.0-P	-3.13 -2.39 -7.83 -2.91 -4.08	-1.31 -1.22 -2.99 -1.42 -1.75	-2.56 -1.82 -6.16 -2.39 -3.41	-1.09 -1.27 -2.56 -1.29 -1.41	-1.82 -1.55 -4.36 -1.84 -2.41	-1.95 -1.72 -4.68 -1.81 -2.53	0.15 0.22 1.18 0.33 0.56	-1.10 -0.30 7.80 0.90 1.90

Indirect Forcing varies between -1.55 W m⁻² and -4.36 W m⁻² depending on treatment of background aerosols

Menon et al. (2002: J.Atmos.Sci.)

Cloud Susceptibility



Figure 6 The change in the albedo of a cloud per unit change in the droplet concentration $(\Delta A/\Delta N)$ as a function of the cloud albedo (A) and the droplet concentration (N), for a cloud with a constant liquid-water content. (Adapted from Twomey, 1991.)

Hobbs (1993: Academic Press)

Constraining CDNC influences the indirect effect

- In many models cloud droplet number concentration (CDNC) is not allowed to become smaller than e.g., 40 cm⁻³
- How realistic is such a constraint?
- What is the implication of it?

Reports of low measured cloud droplet number values

- Bower et al. (2006: Atm. Res.): In-situ ship measurement from remote area SH ocean: 8 cm⁻³
- Yum and Hudson (2004: JGR): Southern Hemisphere Oceans: 20-40 cm⁻³
- Bennartz (2007: JGR): MODIS-based retrievals: Average values of 41±17 cm⁻³ in PBL clouds in South Pacific and South Indian Oceans
- McFarquhar et al. (2007: JGR): Arctic measurements in mixed-phase clouds (M-PACE) of between 23±10 cm⁻³ and 72±34 cm⁻³



 Table 2. Statistics of Cloud Droplet Number Concentration for Remote Ocean Areas With at Least 1500 km Distance to the Next Major Landmass^a

Area	N All, cm ⁻³	N No Drizzle, cm ⁻³	N Drizzle, cm ⁻³	Fraction Drizzle, %
North Atlantic	89(99) ± 27	$118(120) \pm 23$	$50(56) \pm 10$	56(48) ± 20
South Atlantic	$67(77) \pm 29$	$93(96) \pm 17$	$34(39) \pm 7$	$64(59) \pm 30$
North Pacific	$64(74) \pm 22$	$84(88) \pm 19$	$38(44) \pm 9$	$57(49) \pm 27$
South Pacific	$40(49) \pm 16$	$69(74) \pm 15$	$32(38) \pm 7$	$86(82) \pm 23$
South Indian	$42(51) \pm 18$	$76(80) \pm 14$	$32(38) \pm 7$	79(72) ± 21

^aThe values given are two and a half year mean value with one standard deviation. Results are presented for all stratiform boundary layer clouds and separated in clouds with high/low likelihood of drizzle. The values in parentheses give the estimates that are derived using the parameterization of k derived by Lu and Seinfeld [2006]. Standard deviations for the estimates using Lu and Seinfeld [2006] are almost identical to the standard deviations for k = 0.8 and are not reported.



Model tool: CAM-Oslo

- CAM-Oslo = NCAR CAM3 atmospheric climate model + Oslo aerosol schemes
- Prognostic SO4, BC, OM, SS, DU
- Prognostic CDNC, (ICNC)
- T42 horizontal resolution, 26 vertical levels
- SST prescribed

Seland et al. (2008: Tellus), Storelvmo et al. (2008: JAS, in press)

standard CDNC treatment (no lower cut-off)



Change in effective radius as seen from satellite

1+2. indirect radiative forcing

Change in cloud liquid water path



<u>Present day:</u> LWP = 133.1 g m⁻² Reff = 12.93 μm

CDNCint = $3.95e6 \text{ cm}^{-2}$

Pre-industrial:

LWP = 127.2 g m⁻² Reff = 13.85 μ m CDNCint = 2.57e6 cm⁻²

$$CDNC_{min} = 1 \text{ cm}^{-3}$$

60N 30N EQ 30S 60S -0.91 µm 120E 60W 60E 120W -0.25 -5 -2.5 -1.5 -0.75 60N 30N EQ 30S-60S--1.49 W m⁻² 60E 120E 120W 60W 180

Change in effective radius as seen from satellite



Change in cloud liquid water path



 $\begin{array}{l} \underline{Present \ day:} \\ LWP &= 133.6 \ g \ m^{-2} \\ Reff &= 12.95 \ \mu m \\ CDNCint = 3.95e6 \ cm^{-2} \end{array}$

 $\begin{array}{l} \underline{Pre-industrial:} \\ LWP &= 127.8 \ g \ m^{-2} \\ Reff &= 13.87 \ \mu m \\ CDNCint = 2.57e6 \ cm^{-2} \end{array}$

$CDNC_{min} = 10 \text{ cm}^{-3}$

60N 30N EQ 30S 60S -0.79 µm 120E 60W 60**E** 120W -0.25 -5 -2.5 -1.5 -0.75 601 30N EQ 30S 60S · -1.30 W m⁻² 60**E** 120E 180 120W

Change in effective radius as seen from satellite

1+2. indirect radiative forcing

Change in cloud liquid water path



Present day:

60N

LWP = 136.3 g m⁻² Reff = 12.64 μm CDNCint = 3.95e6 cm⁻²

Pre-industrial:

= 132.4 g m⁻² LWP Reff = 13.44 µm CDNCint = 2.57e6 cm⁻²

$CDNC_{min} = 40 \text{ cm}^{-3}$

60N 30N EQ 30S 60S -0.44 µm 120E 60W 60E 120W -0.25 -5 -2.5 -1.5 -0.75 60N 30N EQ 30S 60S --0.53 W m⁻²

Change in effective radius as seen from satellite





 $\label{eq:linear} \begin{array}{ll} \underline{\text{Present day:}}\\ \text{LWP} &= 149.4 \text{ g m}^{-2}\\ \text{Reff} &= 10.99 \ \mu\text{m} \end{array}$

CDNCint = 3.95e6 cm⁻²

<u>Pre-industrial:</u> LWP = 148.1 g m⁻² Reff = 11.43 μm

CDNCint = 2.57e6 cm⁻²



120W

180

60W

60E

120E

Anthropogenic Ice Nuclei



Picture from Daniel Rosenfeld

Anthropogenic Ice Nuclei

- BC and DU assumed to be both contact nuclei and immersion nuclei; DU less numerous, but operate at higher temperatures than BC
- Parameterization of ice nucleation based on Lohmann and Diehl (2006: JAS)
- Storelvmo et al. (2008: ERL, accepted) introduced a new treatment of the Wegener-Bergeron-Findeisen mechanism, based on Korolev (2007): a gradual transition from supercooled water to ice, rather than an artificial threshold value of IWC

(Wegener-)Bergeron-Findeisen effect: Ice Crystal growth at the expense of water droplets



Changes due to anthropogenic IN and CCN



IWC is increased due to more numerous IN

LWC is decreased at relatively low temperatures due to more IN => - lifetime effect LWC is increased at relatively high temperature due to more CCN => + lifetime effect

Storelvmo et al. (2008: ERL, accepted)

Aerosol Indirect Effect Estimates with CAM3-Oslo

- Aerosol → cloud interactions for warm clouds only: –
 1.8 W m⁻², due to decreased Droplet Size, increased Liquid Water Path
- Aerosol → cloud interactions for warm and mixed-phase clouds: Between 1.7 W m⁻² and + 0.05 W m⁻², depending on assumptions on coating; mineral dust treatment, treatment of WBF process, etc.
- This reduction in AIE is caused by a reduced cloud lifetime of the clouds due to enhanced glaciation
- In mixed-phase clouds there is a significant contribution from changes in longwave radiative forcing

Summary and Conclusions

 The Aerosol Indirect Effect (AIE) is Highly Sensitive to Treatment of Background Aerosols: Thin Background => Large AIE

NorClim

- Constraining AIE with imposed thresholds on cloud properties is problematic
- AIE for Warm Clouds => Cooling due to larger cloud albedo and positive lifetime effect
- AIE for Mixed-Phase Clouds => considerable cancellation of the cooling because of a negative lifetime effect



The End

http://www.uio.no/~jegill

Photo: Michael Gauss

Continuity equation for cloud droplet number concentration



Ghan/Abdul-Razzak (2000)

Rasch/Kristjánsson (1998)

- N₁ = Cloud droplet number concentration (#/cm³)
- A_{NI}= Transport (advection, convection & turbulence) of cloud droplets.
- q_I= Mixing ratio for cloud water (liquid phase)
- Nucl = Nucleation of cloud droplets
- AC = Loss of cloud droplets due to auto-conversion of cloud droplets
- Coll = Loss of cloud droplets due to collection of cloud droplets by rain
- Accr = Loss of cloud droplets due to collection of cloud droplets by snow
- E = Evaporation of cloud droplets
- Self = Self-collection of cloud droplets
- Mlt = Melting of ice crystals
- Frz = Freezing of cloud droplets

Storelvmo et al. (2006): JGR

Continuity equation for ICNC

$$\frac{dN_i}{dt} = A_{N_i} - \frac{N_i}{q_i} (AC_{ice} + Agg + Subl) - Dep + Frz - Mlt + Multi$$

 N_i = Ice crystal number concentration (#/cm³).

A_{Ni}= Transport (advection, convection & turbulence) of ice crystals.

q_i= Mixing ratio for ice crystals (inside cloud).

AC_{ice} = Loss of ice crystal number due to "auto-conversion" of ice crystals.

Agg = Loss of ice crystal number due to collection of ice crystals by snow.

- Subl = Sublimation of ice crystals.
- Dep = Deposition of water vapor onto ice crystals
- Frz = Freezing of cloud droplets
- Mlt = Melting of ice crystals
- Multi = Ice multiplication (Hallett-Mossop)

Storelvmo et al. (2008: JAS, in press)

Improved treatment of Bergeron-Findeisen mechanism

- Korolev (2007: JAS): B-F mechanism only effective if e_i < e < e_s
- Criterion can be reformulated in terms of updraft speed
- Condition for B-F can be determined from (resolved) environmental conditions



Storelvmo et al. (2008: ERL, accepted)

Heterogeneous Nucleation



Median freezing temperatures for different IN from lab experiments. Drop radii 250-350 µm. Adapted from *Diehl et al. (subm.)*.

- The activation temperature, T_m, varies between different nuclei
- T_m higher for contact freezing than for immersion freezing

From: Corinna Hoose

Problem Definition

- Most GCMs give an aerosol indirect effect which is too high compared to results from residual calculations – Why?
- Many models have built in constraints on parameter values that keep the indirect effect within reasonable bounds – Is this justifiable?
- What can be done?



Aerosol -> cloud interactions

- CCN: Hygroscopic particles (Sea Salt, Sulfate)
- More CCN: Warm clouds more reflective to solar radiation (Albedo Effect, Lifetime Effect)
- More CCN: Delayed freezing and enhanced vertical extent of mixed-phase clouds (*Thermodynamic Effect*)
- IN are non-hygroscopic, crystalline aerosols (Min. Dust, Black Carbon)
- More IN: Enhanced precipitation release from mixed-phase clouds (*Glaciation Effect*)
- Suggestions that coating may in extreme cases lead to deactivation of IN (*Girard et al., 2005: Atm.Res.*)

Indirect forcing in 3 GCMs

Penner et al. (2006: ACP)

Aerosol Indirect Effect Estimates with CAM2-Oslo

- Aerosol → cloud interactions for warm clouds only: - 0.49 Wm⁻², due to decreased Droplet Size, increased Liquid Water Path
- Aerosol → cloud interactions for warm and mixedphase clouds: Between - 0.07 Wm⁻² and - 0.27 Wm⁻², depending on assumptions on coating; significant changes in Ice Water Path and Liquid Water Path
- This reduction in AIE is caused by: a) a reduced cloud life-time of the clouds due to glaciation; b) longwave radiative forcing

Cloud Fraction vs. AOD: GCM and MODIS

North Atlantic Ocean

(a)

Myhre et al. (2007: ACP)

(b)

Myhre et al. (2007: ACP)

Constraining the indirect effect with observations

ORIGINAL

ADJUSTED

Quaas et al. (2006: ACP)

Constraining the indirect effect with observations

 Table 1. Global annual mean radiative forcings by the total aerosol indirect effect.

Experiment	Standard (Wm^{-2})	$Modified (Wm^{-2})$
LMDZ	-0.84	-0.53
ECHAM4	-1.54	-0.29

Quaas et al. (2006: ACP)

MCFARQUHAR ET AL.: MIXED-PHASE ARCTIC CLOUD OBSERVATIONS

Table 2. N_i, N_w, r_{ei}, r_{ew}, LWC, and TWC Averaged Over All Spirals Flown Through Single-Layer Mixed-Phase Clouds on 9 October, 10 October, and 12 October^a

Date	LWC, g m ^{-3}	IWC, gm ⁻³	r _{ew} , μm	r _{ei} , μm	Nw, x10 ³ L ⁻¹	N_i, L^{-1}
9 Oct	0.193 ± 0.131	0.025 ± 0.060	9.37 ± 2.23	25.48 ± 1.30	72.21 ± 34.37	5.62 ± 12.10
10 Oct (a)	0.174 ± 0.120	0.015 ± 0.032	9.04 ± 2.41	24.61 ± 2.35	25.74 ± 13.43	1.60 ± 2.40
10 Oct (b)	0.154 ± 0.116	0.006 ± 0.006	10.93 ± 2.57	25.76 ± 5.72	23.00 ± 9.97	2.04 ± 2.06
12 Oct	0.193 ± 0.116	0.006 ± 0.018	9.07 ± 2.29	25.15 ± 7.28	51.73 ± 16.60	2.07 ± 4.97

^aStandard deviations correspond to deviations of the average value of each spiral from the average value integrated over all of the spirals.

McFarquhar et al. (2007: JGR)

Tests with CAM3-Oslo (1-year runs)

no cut-off on CDNC

60N

30N

EQ

30S -

60S

180

$$CDNC_{min} = 10 \text{ cm}^{-3}$$

60W

120W

 $CDNC_{min} = 40 \text{ cm}^{-3}$

Anthropogenic Ice Nuclei Weaken Cloud Lifetime Effect

Exp. →	LIQ-	CON-	KAO-	LESS	COAT-
	UID	TROL	LINITE	DUST	ING
ΔLWP	+ 0.87	- 0.07	- 0.32	+ 0.68	+ 0.64
	0.04	+ 0 20	+ 0.36	+ 0.52	0.46
(g m ⁻²)	- 0.04	+ 0.20	+ 0.30	+ 0.52	- 0.40
ΔR_{eff}	- 0.44	- 0.33	- 0.32	- 0.41	- 0.43
(µm)					
INDIR	(- 0.49)	- 0.07	- 0.10	- 0.18	- 0.27
(W m ⁻²)					

Storelvmo et al. (2008: J.Atm.Sci., in press)

Cloud Amount

CONTROL: With mixed-phase param.

Cloud Fraction, CAM-Oslo, AIE_ctl (ave. 64.8%)

LIQUID: Without mixed-phase param.

Observed by MODIS

Storelvmo et al. (2008: JAS, in press)

Ice Water Path (CAM3-Oslo)

Ice Water Path simulations improved due to new B-F formulation

Storelvmo et al. (2008: ERL, accepted)

Ice Water Path (CAM2-Oslo)

Ice Water Path simulations improved, but overestimated in the Arctic

Storelvmo et al. (2008: JAS, in press)

Sensitivity to coating by ammonium sulfate

Present-day aerosols

Reduced freezing rate in polluted conditions, due to enhanced sulfate coating

Storelvmo et al. (2008: JAS, in press)

Pre-industrial aerosols

Sensitivity of heterogeneous freezing to mineral dust

CONTROL

Heterogeneous freezing (s⁻¹m⁻³), AIE ctl, PD simulation

Reduced mineral dust concentrations = Reduced freezing = Larger indirect forcing

Storelvmo et al. (2008: J.Atm.Sci., in press)

DUST: Min. dust concentrations reduced

Ice Crystal Number Concentrations

Ice crystal number concentration (cm⁻³) 100-200 300 400 Pressure (hPa) S 500 600 700 800 900 1000 60S 305 EÛ 30N 60N Latitude 0.01 0.03 0.1 0.3

CONTROL (CAM2-Oslo)

CAM3-Oslo with prognostic dust

Storelvmo et al. (2008: J.Atm.Sci., in press)

Liquid / ice phase in clouds predicted, not prescribed

- Previously, cloud liquid / ice distinction was a prescribed function of temperature (dashed)
- Now it is computed, based on sources and sinks of condensate (solid)
- Low T: More supercooled water than before
- High T: More cloud ice than before

Storelvmo et al. (2008: J. Atm. Sci., in press)

Indirect forcing vs. optical depth

Kiehl et al. (2000: JGR) / Storelvmo et al. (2006: JGR)

Models tend to overestimate the aerosol indirect effect

Anderson et al. (2003: Science)

Models tend to overestimate the aerosol indirect effect?

Lohmann and Feichter (2005: ACP)

IPCC (2007)

Major extensions to NCAR CAM3:

- Aerosol lifecycling and physical properties
 - Sea-Salt, Dust, SO₄, OM, BC
 - Size-modes of emitted primary particles are presumed
 - Concentrations are tagged to production and size mode
 - Process-specific mixing state
 - Tables for size, optical, and physical properties
- Aerosol interactions with radiation
 - Refractive index according to mixing state and size
 - Optical Mie scattering and absorption
- Aerosol interaction with clouds
 - CCN activation by prescribed vs. realized super-saturations
 - Cloud droplet aging and auto-conversion

Prescribed lognormal externally mixed modes (before growth)

modes	modal median radius (μm)
SO ₄ (n/a), BC(n/a)	0.0118
BC(ac)	0.1
OC(a)	0.04
OC&BC(a)	0.04
SO4(ac)	0.075
MINERAL	0.22, 0.63
SEA-SALT	0.022, 0.13, 0.74

Seland et al. (2008: Tellus)

Aerosol Column burdens in CAM3-Oslo

Seland et al. (2008: Tellus)

Seland et al. (2008: Tellus)

Regular Surface Measurement verification: Modeled vs. Measured annual concentrations

Parameterization of vertical velocity

$\sigma_{\rm w}\,{\propto}$ K / Δ Z,

where K is the eddy diffusion coefficient and ΔZ is the thickness of the model layer.

Due to coarse vertical resolution in the GCMs it is necessary to apply a lower limit for σ_w , e.g., 0.3 m s⁻¹

Ghan et al. (1997): JGR

Observational evidence of the competition effect

Verheggen et al. (2007)

- Measurements in warm clouds at Jungfraujoch, Switzerland
- Aerosol fraction activated to form cloud droplets decreases with increasing aerosol concentration

McFarquhar and Zhang, U. Illinois

LWC>0.05g/m³

McFarquhar and Zhang, U. Illinois

LWC>0.05g/m³

McFarquhar and Zhang, U. Illinois

Figure 4.7: Model results for M-PACE period B, averaged over the 12h simulation period. Simulations B1 and B2 lie close together. Observations are aircraft data from McFarquhar et al. (2007), averaged over several vertical spirals for two flights during period B. The standard deviations correspond to deviations of the vertically averaged value of each spiral to the average value over all spirals per flight.

C. Hoose (2008: Ph.D. thesis)

Warm and cold clouds

Warm clouds \longrightarrow clouds with T > 0°C

mixed-phase clouds (~ -35°C < T < 0°C)

Cold clouds

ice clouds (cirrus) (T < ~ -35°C)</p>

Aerosol Indirect Forcing in CAM3-Oslo

Diagnostic CDNC

Prognostic CDNC

Indirect forcing reduced by 35%, largely due to competition effect!

Seland et al. (2008: Tellus A)

Model Estimates of the Aerosol Indirect Effect

Lohmann & Feichter (2005: ACP)

Cloud Feedback

FIG. 13. The response of a single climate model to an imposed doubling of CO_2 as different feedbacks are systematically added in the model (adapted from Senior and Mitchell 1993). Different treatments of cloud processes in the model produce a large spread in predicted surface temperature due to CO_2 doubling. Sensitivity to the treatment of clouds and cloud-radiative processes

Stephens (2005: J. Climate)

Log-normal fits to aerosol size distributions: Influence on number of activated CCN

and CCX, related as, working

Figure 1: Scatter plots of CCN activated into cloud droplets for each background type for a number of values of Ctot (ranging from 1-10⁻¹⁹ to 20 µg/m³, increasing from A to E), fc, foc, faq (all ranging from 0 to 1) and r_e (ranging from 0.1 µm to 10 µm). Abscissa: CCN activated in simplified size-distribution. Ordinate: CCN activated in original size-distribution. Each dot represents a parameter-combination, lines are linear regressions to the data points.

Storelvmo et al. (2006: JGR)

Aerosol Optical Depth vs. Cloud Droplet Size

Storelvmo et al. (2006: ACP)

28