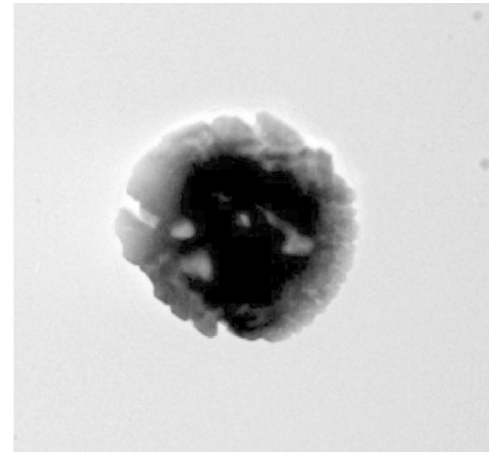


← 36 % RH  
33 % RH →

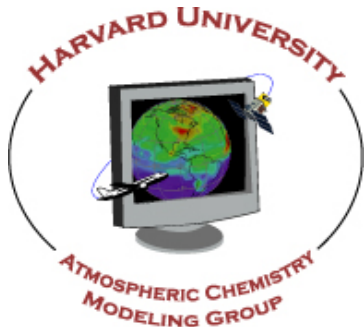
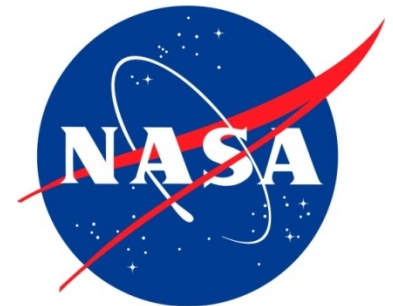


## Sulfate Phase Transition in GEOS-Chem



**Jun Wang**

Department of Geosciences  
University of Nebraska – Lincoln



Scot Martin, Harvard; Daniel Jacob, Harvard;  
Yogesh Sud, GSFC; Partha S. Bhattacharjee, GMU





## **Global distribution of solid and aqueous sulfate aerosols: Effect of the hysteresis of particle phase transitions**

Jun Wang,<sup>1,2</sup> Andrew A. Hoffmann,<sup>1</sup> Rokjin J. Park,<sup>1,3</sup> Daniel J. Jacob,<sup>1</sup>  
and Scot T. Martin<sup>1</sup>

Received 9 September 2007; revised 14 February 2008; accepted 14 March 2008; published 10 June 2008.

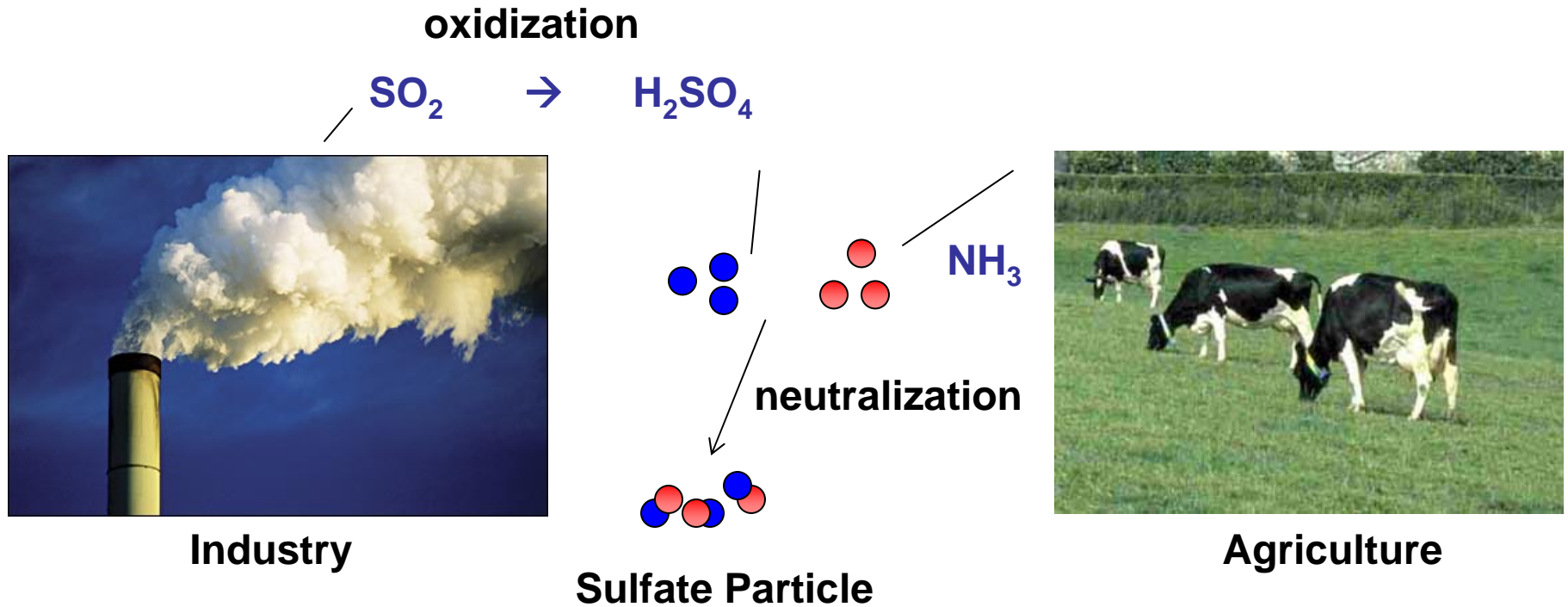


## **Sensitivity of sulfate direct climate forcing to the hysteresis of particle phase transitions**

Jun Wang,<sup>1,2</sup> Daniel J. Jacob,<sup>1</sup> and Scot T. Martin<sup>1</sup>

Received 9 September 2007; revised 5 February 2008; accepted 3 March 2008; published 10 June 2008.

# FORMATION OF SULFATE PARTICLES



Sulfate particles generally include pure sulfate acid particles ( $\text{H}_2\text{SO}_4$ ) and those fully or partially neutralized sulfate particles such as  $(\text{NH}_4)_2\text{SO}_4$ ,  $\text{NH}_4\text{HSO}_4$ ,  $(\text{NH}_4)_3\text{HSO}_4$ .

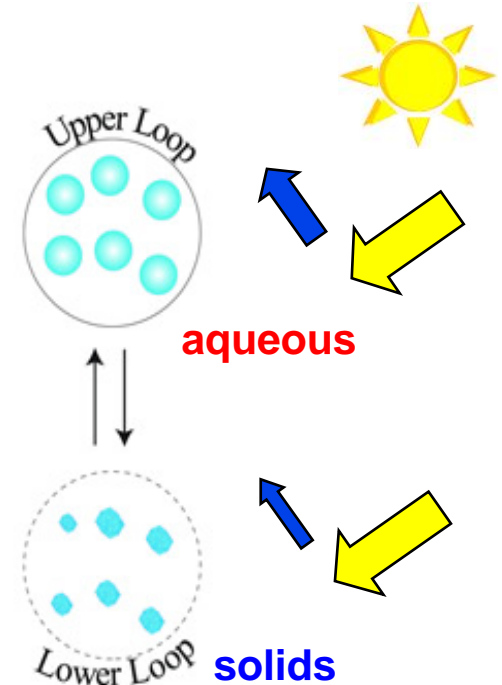
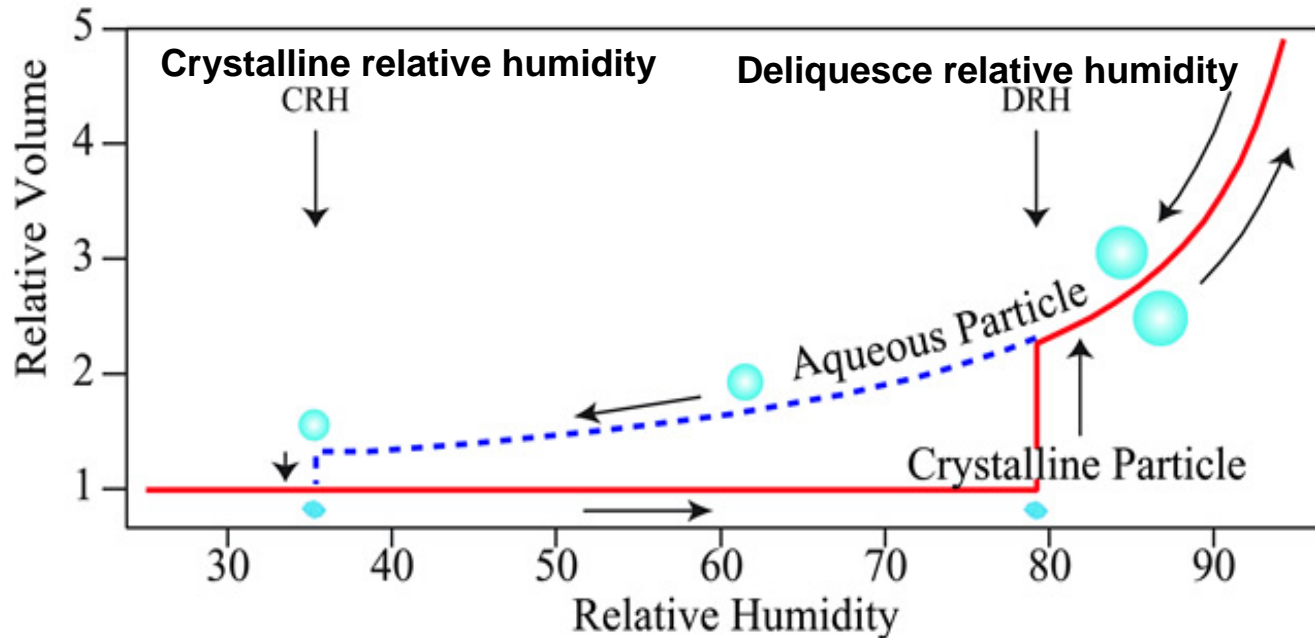
# THE HYSTERESIS OF SULFATE PHASE TRANSITION

Aerosol phase transition



Aerosol direct forcing on climate?

The Hysteresis Effect for Ammonium Sulfate Particles



To predict the phase transition requires:

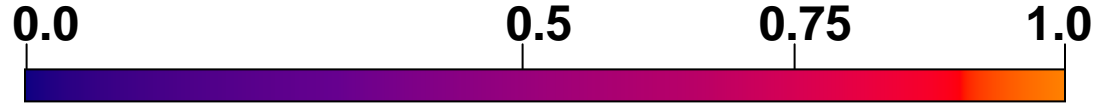
- (a) **Current phase** (RH back-trajectory)
- (b) RH in current and next model time step
- (c) **CRH(X) & DRH(X)**



which curve ?  
which direction?  
phase changes?

# SULFATE PHASE, CRH, AND DRH

Neutralization  
 $X = [\text{NH}_4]/2[\text{SO}_4]$

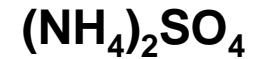
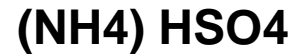
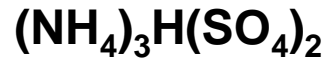


**DRH:**

**35%**

**69%**

**80%**

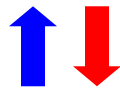


**AHS**

**LET**

**AS**

**Solids:**



phase transition

**Aqueous:**

mixed solutions:  $\text{H}_2\text{O}$ ,  $\text{NH}_4^+$ ,  $\text{H}^+$ ,  $\text{SO}_4^{2-}$

**CRH(X): 0**

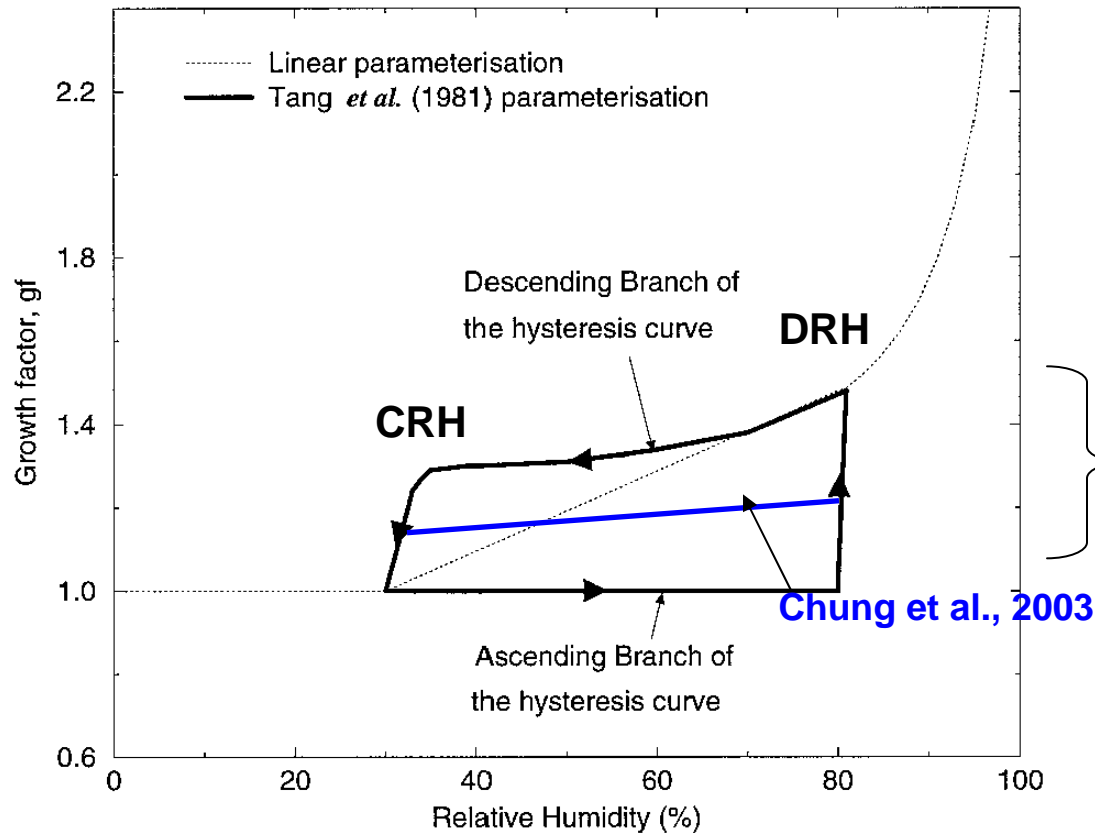
**5%**

**30%**

**37%**

**SA  $\text{H}_2\text{SO}_4$**

# HYSTERESIS EFFECT IN PREVIOUS CTMS



## Limiting case studies

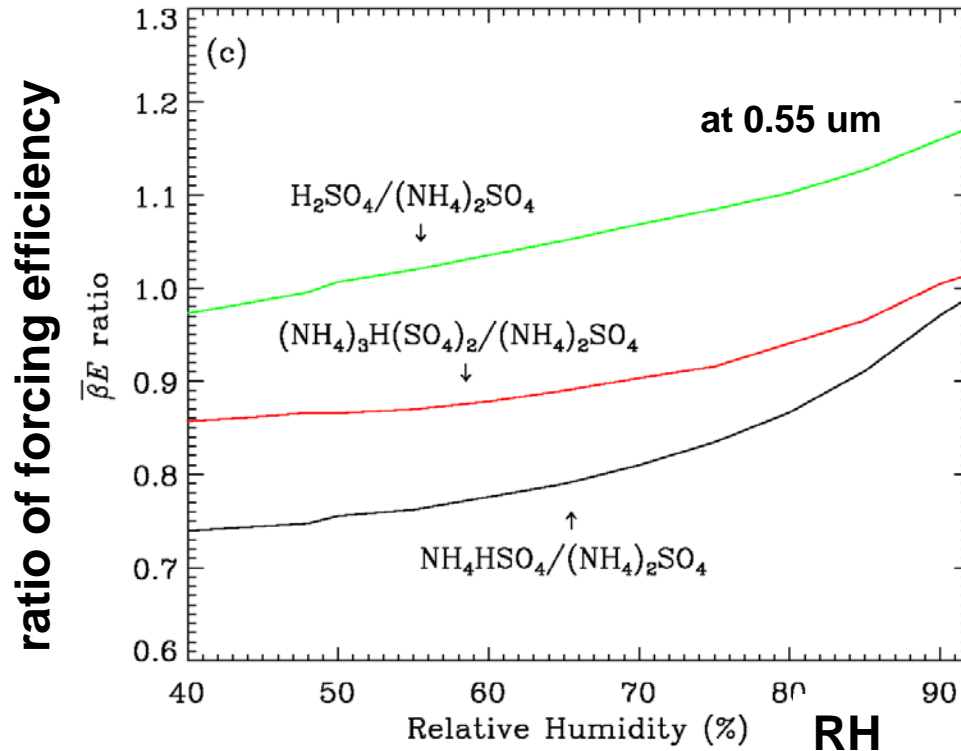
$\Delta F$ :

18%, Haywood et al., 1997

24%, Martin et al., 2004

**A full consideration of the hysteresis effect has not been made in the past estimate of sulfate climate forcing.**

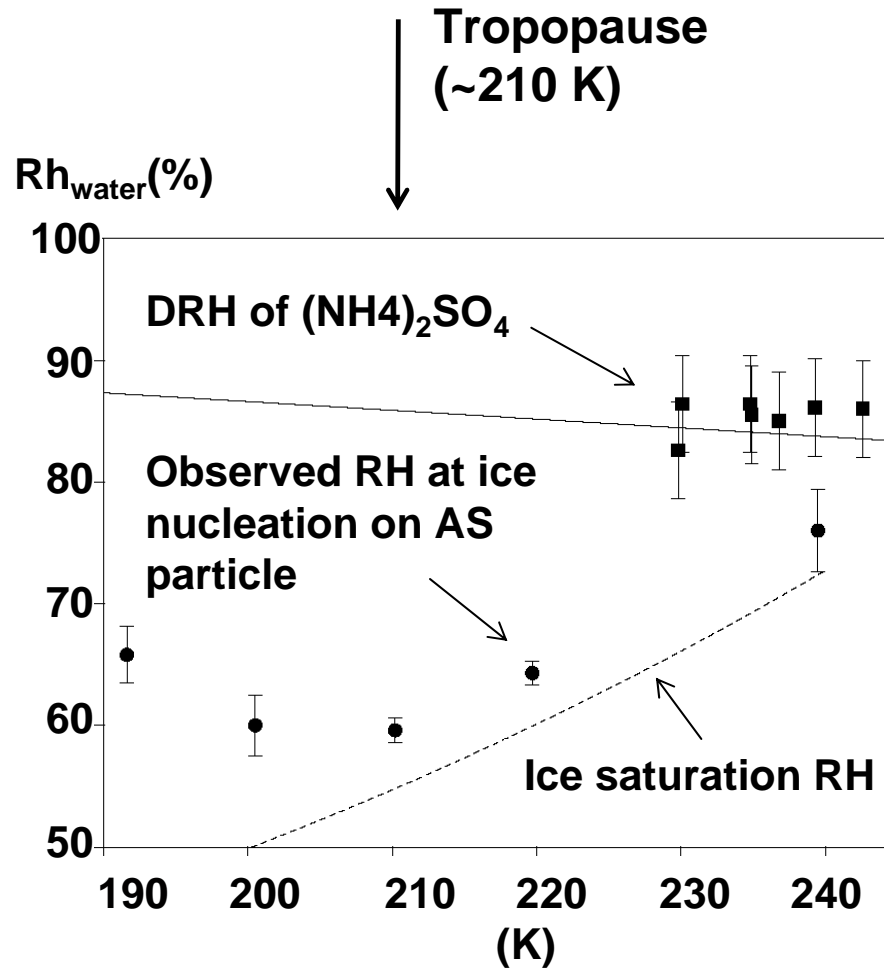
# IMPACT OF SULFATE COMPOSITION ON THE FORCING EFFICIENCY OF SULFATE PARTICLES



**At the same RH > 40%, sulfate particle composition can result in 20-30% differences in forcing efficiency (per unit mass burden).**

Forcing efficiency = mass extinction efficiency ( $\text{m}^2/\text{g}$ )  $\times$  daytime-averaged backscattering fraction.  
Refractive index and hygroscopic growth from Tang et al (1996); lognormal distribution of dry particles  $r_g=0.07\mu\text{m}$ ,  $\sigma_g=1.8$ .

# LAB EVIDENCE FOR DEPOSITION NUCLEATION OF ICE ON SOLID AMMONIUM SULFATE



Shilling et al., 2006, Depositional ice nucleation on crystalline organic and inorganic solids, JGR, 2006.



# Solid Ammonium Sulfate Aerosols as Ice Nuclei: A Pathway for Cirrus Cloud Formation

J. P. D. Abbatt,<sup>1\*</sup> S. Benz,<sup>2</sup> D. J. Cziczo,<sup>3</sup> Z. Kanji,<sup>1</sup> U. Lohmann,<sup>3</sup> O. Möhler<sup>2</sup>

22 SEPTEMBER 2006 VOL 313 SCIENCE

**Table 1.** Global annual mean shortwave, longwave, and net cloud forcing (difference between all-sky and clear-sky conditions) at the top of the atmosphere; ice water path; and vertically integrated ice crystal number concentration for the different model simulations. HOM: only homogeneous freezing; DU1 and DU10: heterogeneous freezing whenever the dust ice nuclei concentration exceeds  $1 \text{ cm}^{-3}$  or  $0.1 \text{ cm}^{-3}$ , respectively, and homogeneous freezing otherwise; AS1, AS10, and AS100: same categorization as DU1, but instead of dust, 1, 10, or 100% of the  $(\text{NH}_4)_2\text{SO}_4$  concentration, respectively, serve as ice nuclei once the  $(\text{NH}_4)_2\text{SO}_4$  number concentration exceeds  $1 \text{ cm}^{-3}$ .

	HOM	DU1	DU10	AS1	AS10	AS100
Shortwave cloud forcing ( $\text{W m}^{-2}$ )	-48.2	-48.3	-47.0	-47.7	-47.7	-47.3
Longwave cloud forcing ( $\text{W m}^{-2}$ )	29.5	29.3	25.8	29.0	28.7	28.4
Net cloud forcing ( $\text{W m}^{-2}$ )	-18.7	-19.0	-21.2	-18.7	-19.0	-18.9
Ice water path ( $\text{g m}^{-2}$ )	22.3	21.7	14.1	21.2	20.3	19.4
Ice crystal number ( $10^6 \text{ cm}^{-2}$ )	1.01	0.925	0.521	0.789	0.716	0.650

– (0 - 0.3)  $\text{Wm}^{-2}$

Counteract ~20% of  $\text{CO}_2$  forcing

One-sentence summary:

Knowledge of 4D distribution of the composition and **phase** of sulfate particles is needed to improve the estimate of anthropogenic sulfate direct and indirect forcing.

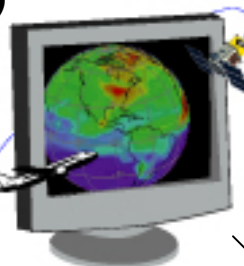
# APPROACH

Larger X, larger CRH



Lab data  
Martin et al. (2003)

GEOS-Chem CTM  
Park et al. (2003)



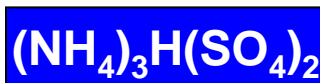
Optical properties  
Wang & Martin (2007)

Surface reflectance  
Koelemeijer et al. (2003)

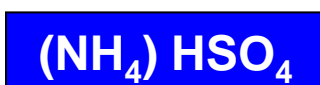
RTM (Fu & Liou, 1998)

CRH(x)

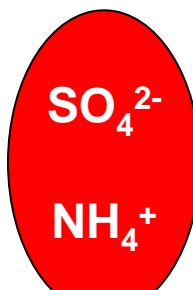
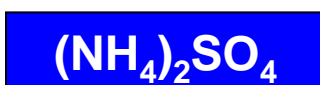
$DRH_{LET}$



$DRH_{AHS}$



$DRH_{AS}$



aqueous

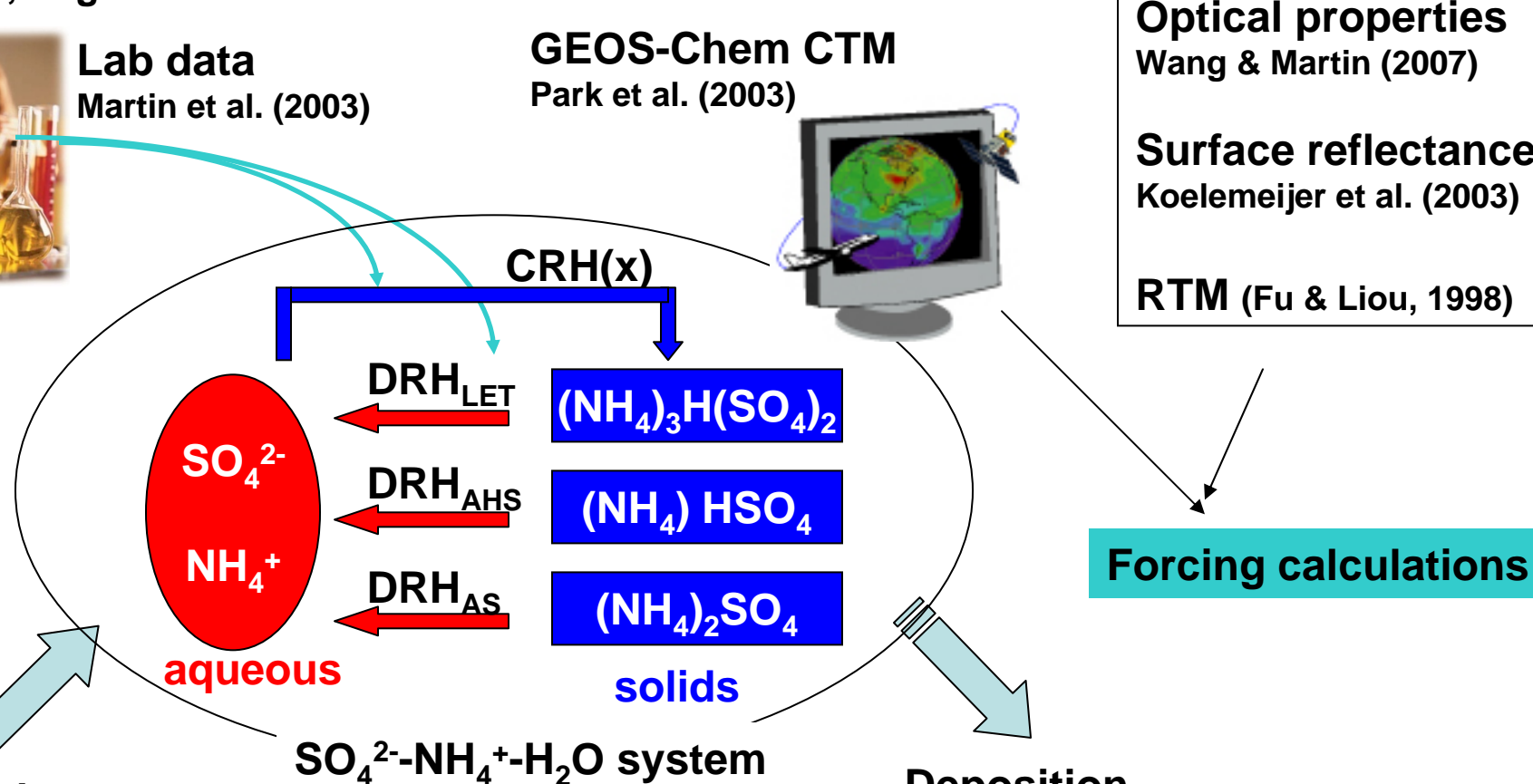
solids

$SO_4^{2-}-NH_4^+-H_2O$  system

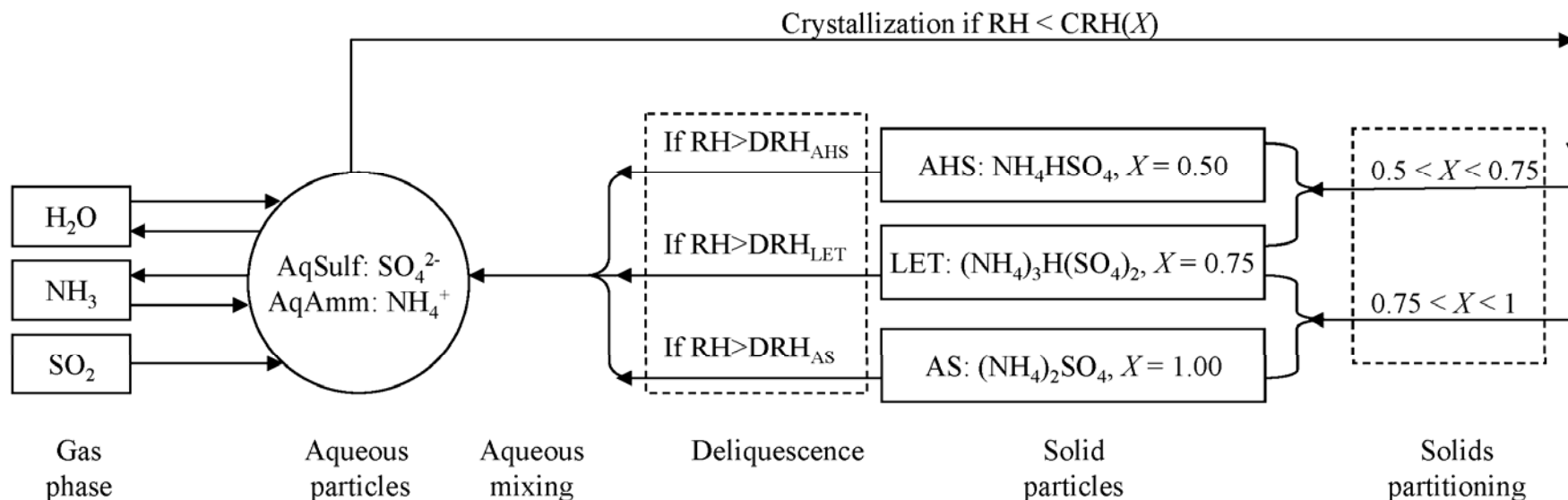
Emission  
( $SO_2$  and  $NH_3$ )

Deposition  
(dry and wet)

Forcing calculations



# SULFATE PHASE TRANSITION SCHEME: DETAILS



Parameterization of CRH of sulfate particles (from Martin et al., 2003, GRL):

$$CRH_0(X) = -71925 + 1690X - 139X^2 + \frac{1770760}{25 + 0.5(X - 0.7)}$$

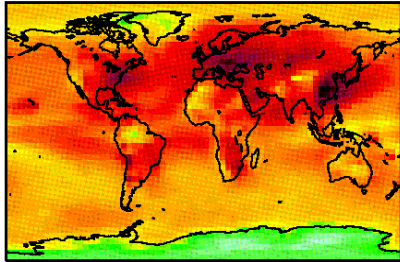
$$CRH_0(X) = 0$$

# ANNUAL AVERAGES IN BOUNDARY LAYER

## Burden (natural + anthropogenic)

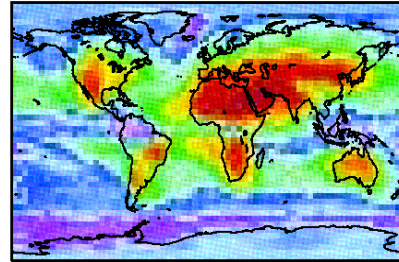
Aqueous Sulfate

606.07



AS

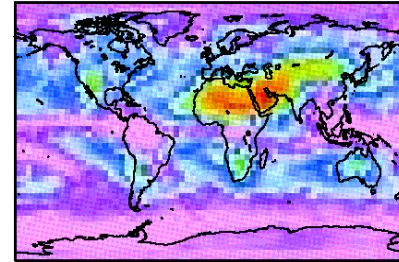
155.35



Solids

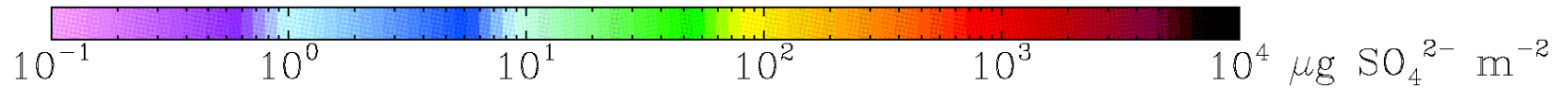
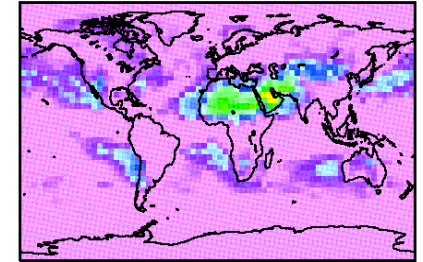
LET

16.28



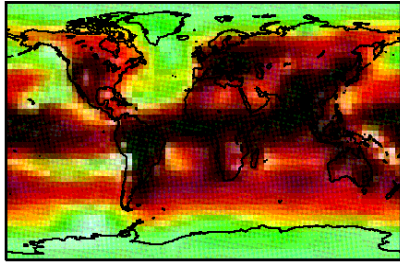
AHS

1.22



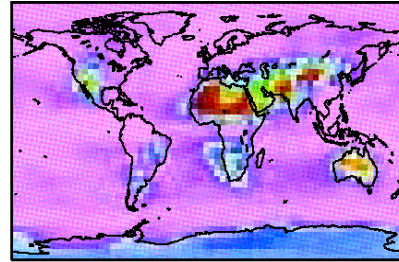
Extent of Neutralization ( $X$ )

0.81



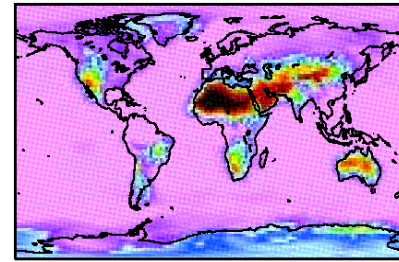
Solids Mass Fraction

0.22



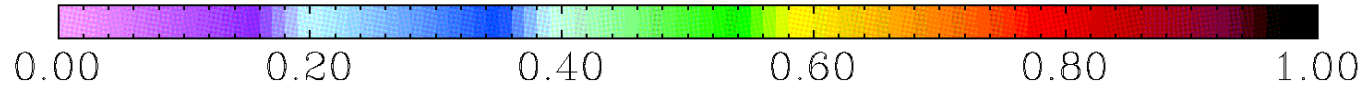
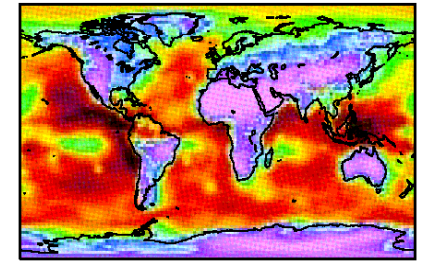
Probability of RH < 40%

0.10



Probability of RH > 80%

0.56



# Phase transition measured in SGP site

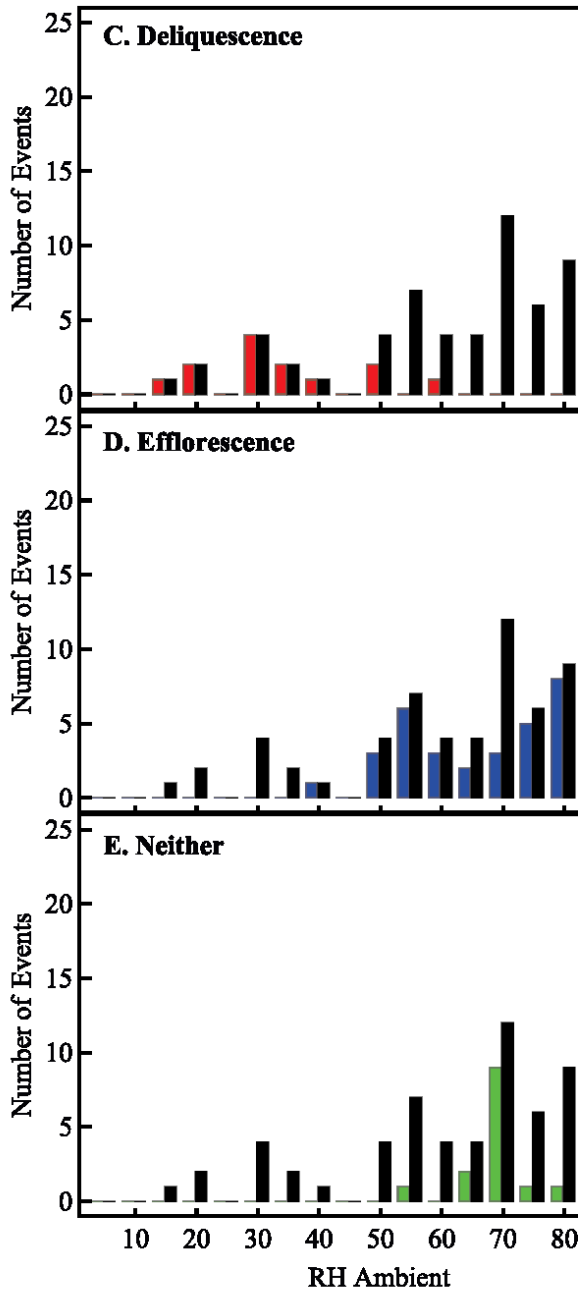
## Legend

- Deliquescence
- Both deliq and effl
- Efflorescence
- No transitions observed

**Black:** total number of events

In 101 runs in June 2007, efflorescence occurred 72% of the time for particles sampled at ambient RH. Deliquescence occurred in 13% of the runs.

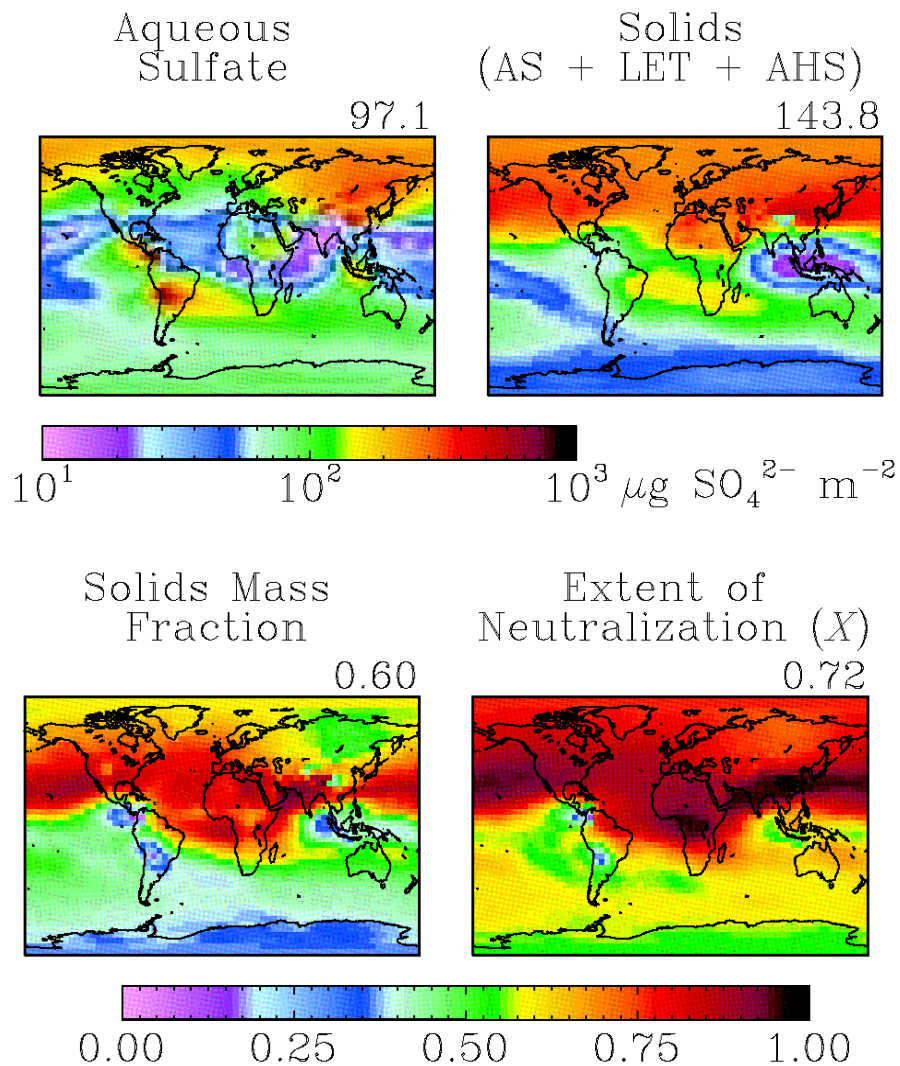
Martin et al., 2008, GRL.



# ANNUAL AVERAGES IN UPPER TROPOSPHERE

(above 500 mb)

## Burden (natural + anthropogenic)





# CONSISTENCY & DISCREPANCIES WITH PAST STUDIES

$[\text{NH}_4] / [\text{SO}_4]$

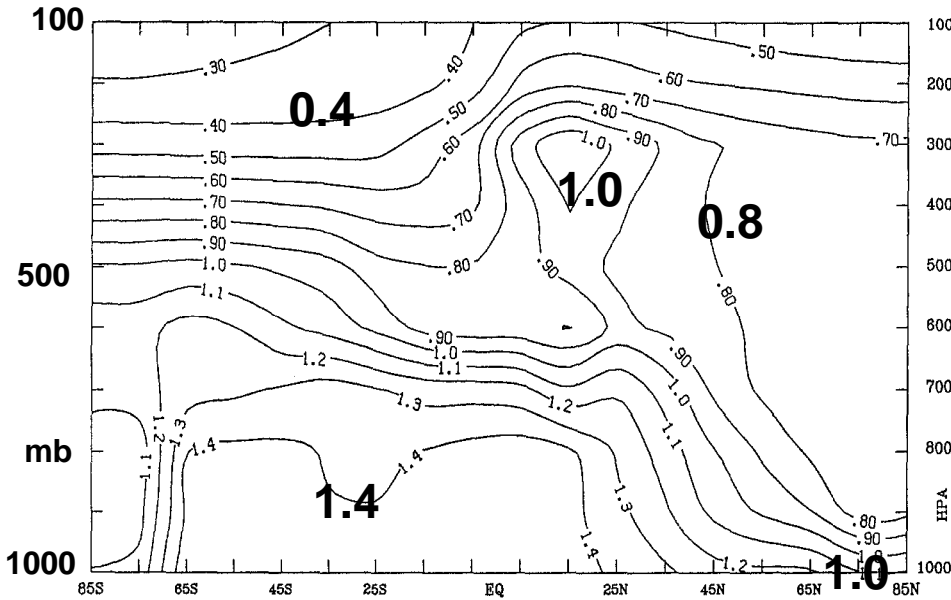
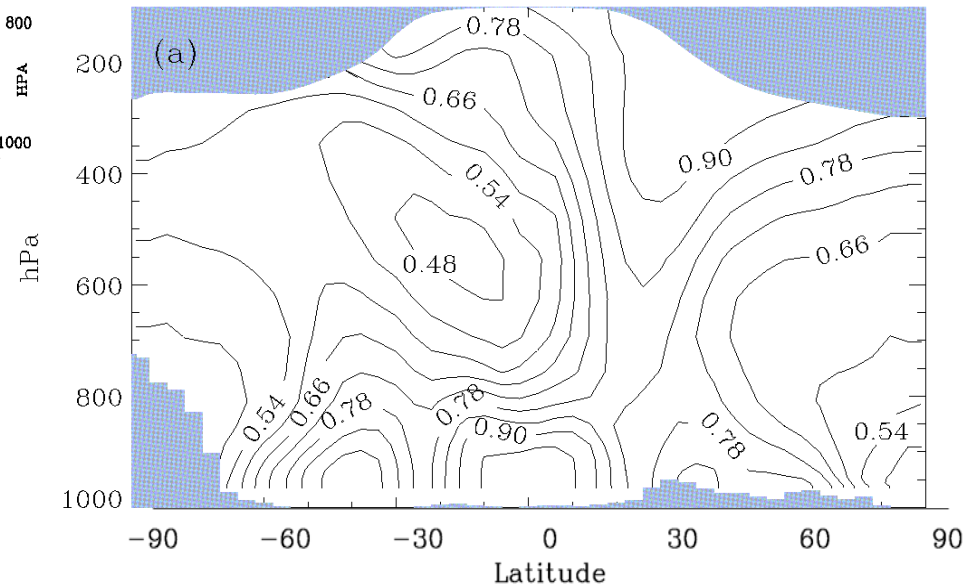


Fig. 4b.

(grids: 18 X 36 X 10)

Dentener and Crutzen, 1994.

$X = [\text{NH}_4] / [\text{SO}_4] / 2$

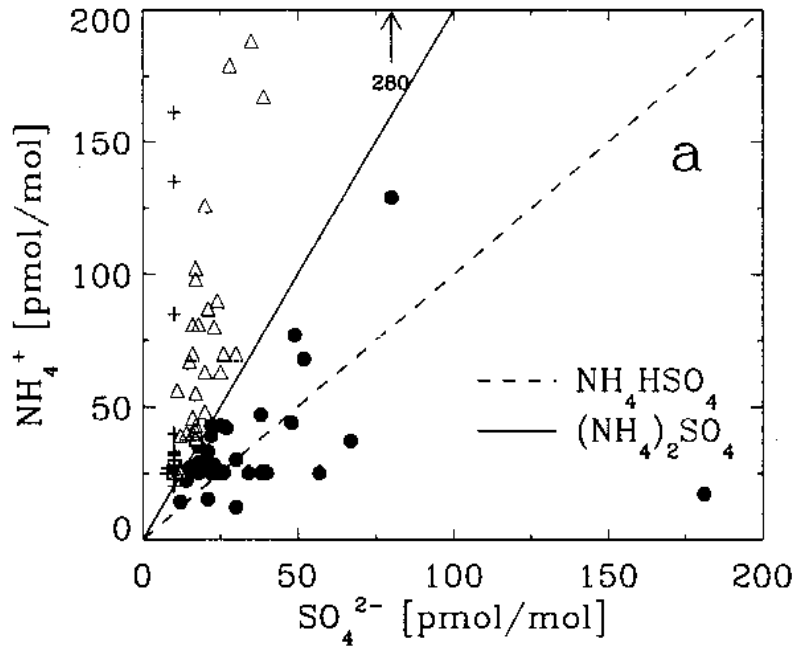


(grids: 46 X 72 X 30)

**Our simulation is against the traditional view that sulfate should be less neutralized in upper troposphere due to efficient scavenging of  $\text{NH}_3$ .**



# OBSERVATION DATA (S-Hemisphere)



6-12km, southern Pacific

**PEM-Tropics A**

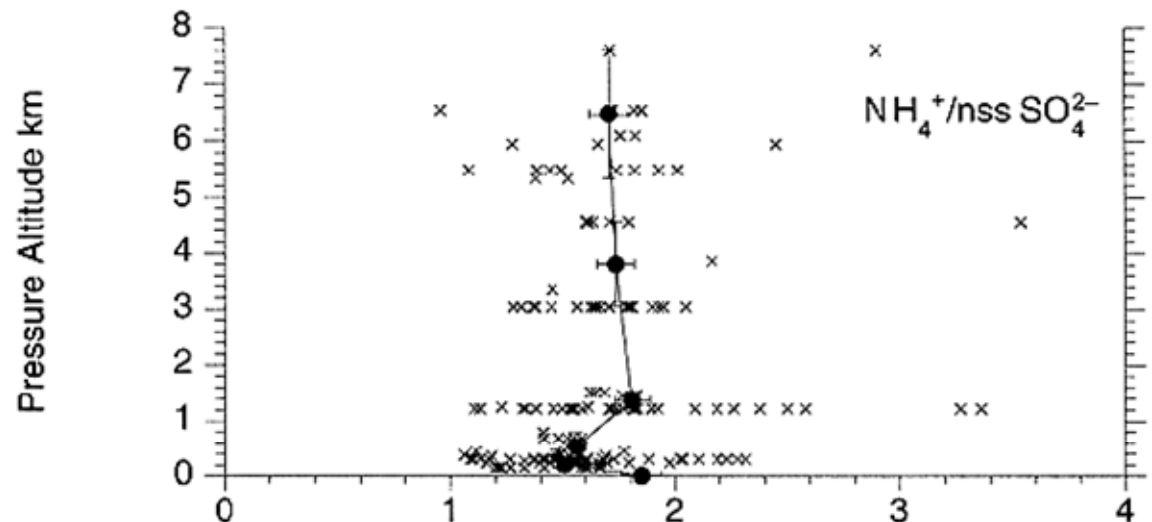
(Schultz et al., 2000)



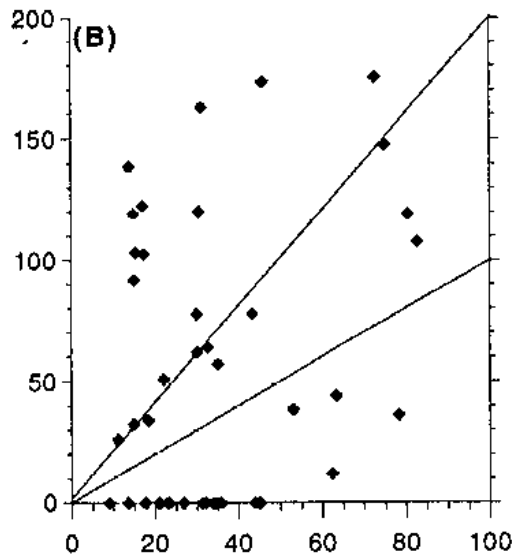
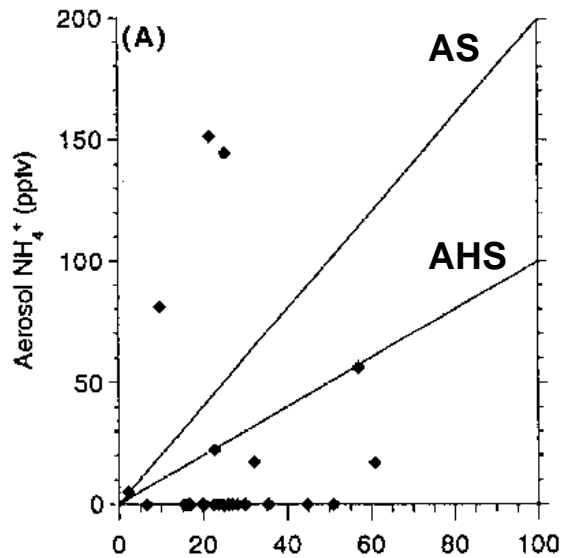
NASA ER2, 65,000 feet (~20km) ASL

**PEM-Tropics B**

(Dibb et al., 2003)

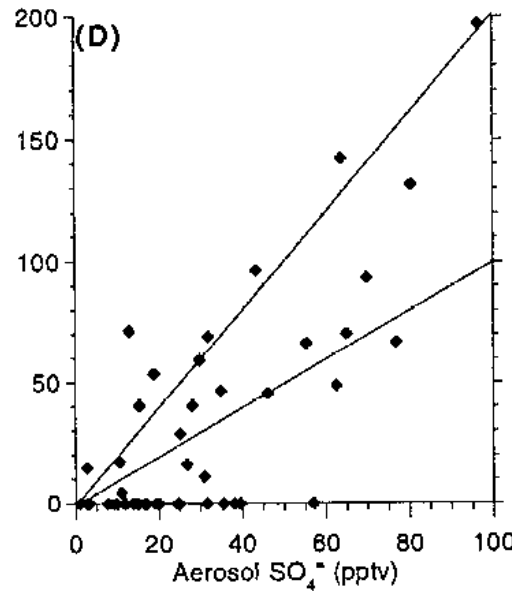
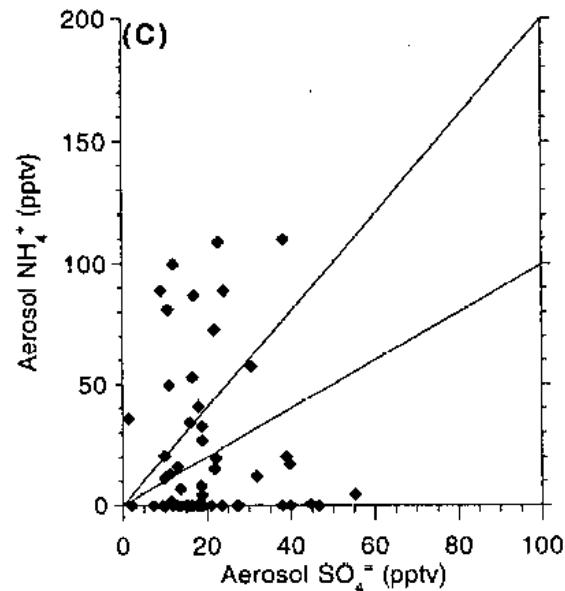


# OBSERVATIONAL EVIDENCE (N-Hemisphere)



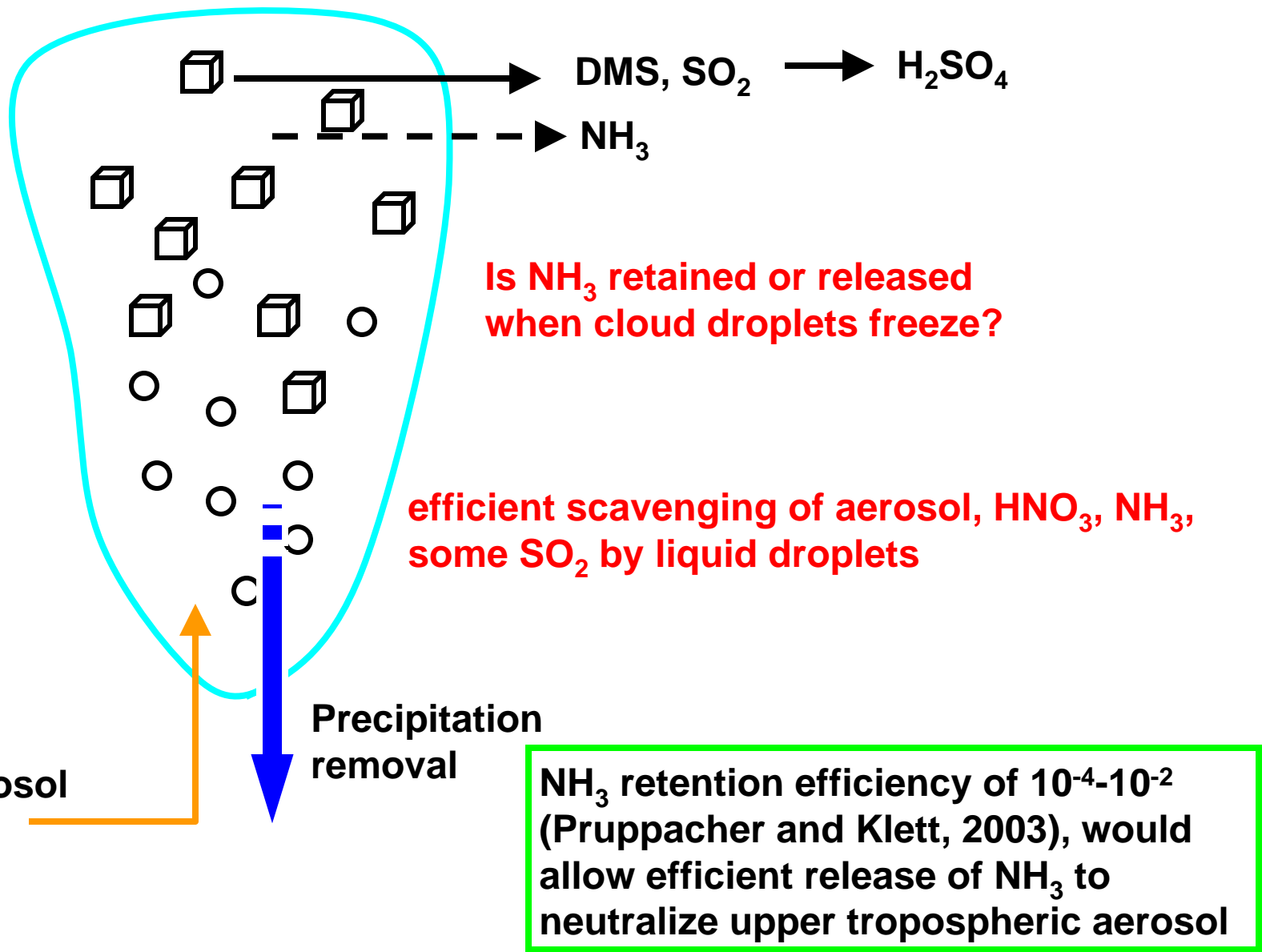
8km ASL, Atlantic ocean  
**SONEX**  
(Dibb et al., 2000)

50N



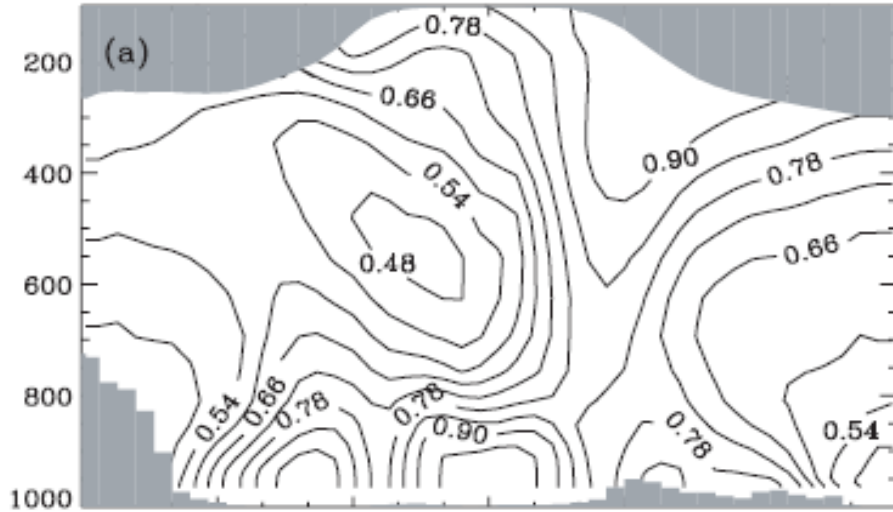
50W

# EXPLAINING PERSISTENT OBSERVATIONS OF NEUTRALIZED SULFATE IN UPPER TROPOSPHERE

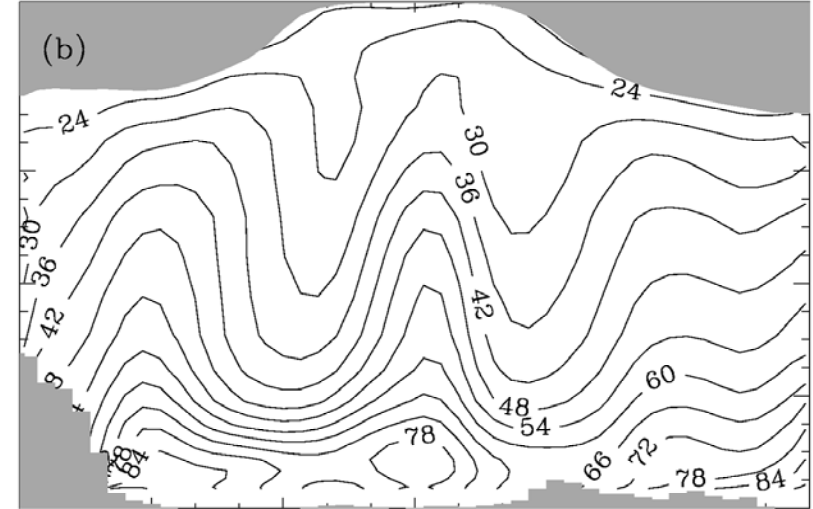


# SIMULATED IMPACT OF SULFATE NEUTRALIZATION (X) AND SULFATE PHASE

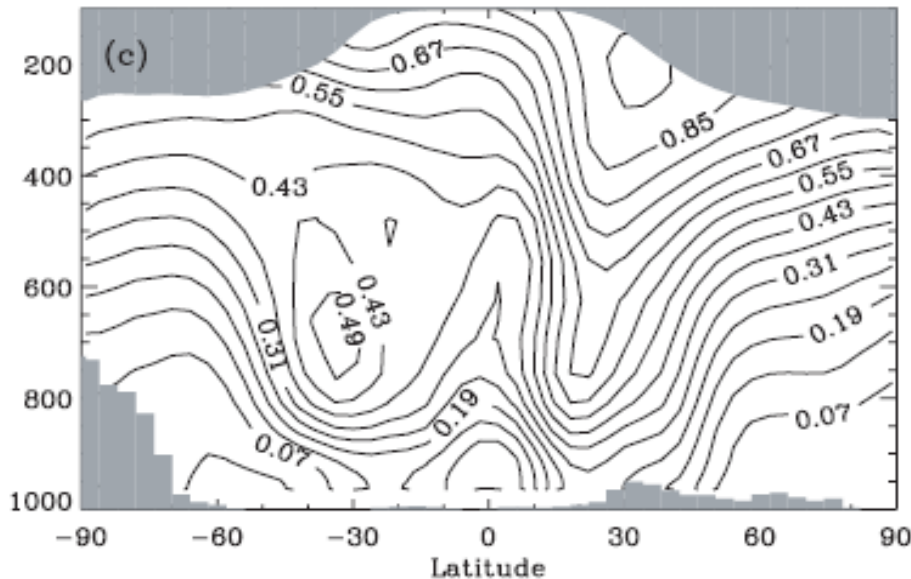
Extent of Neutralization (X)



Relative Humidity (%)

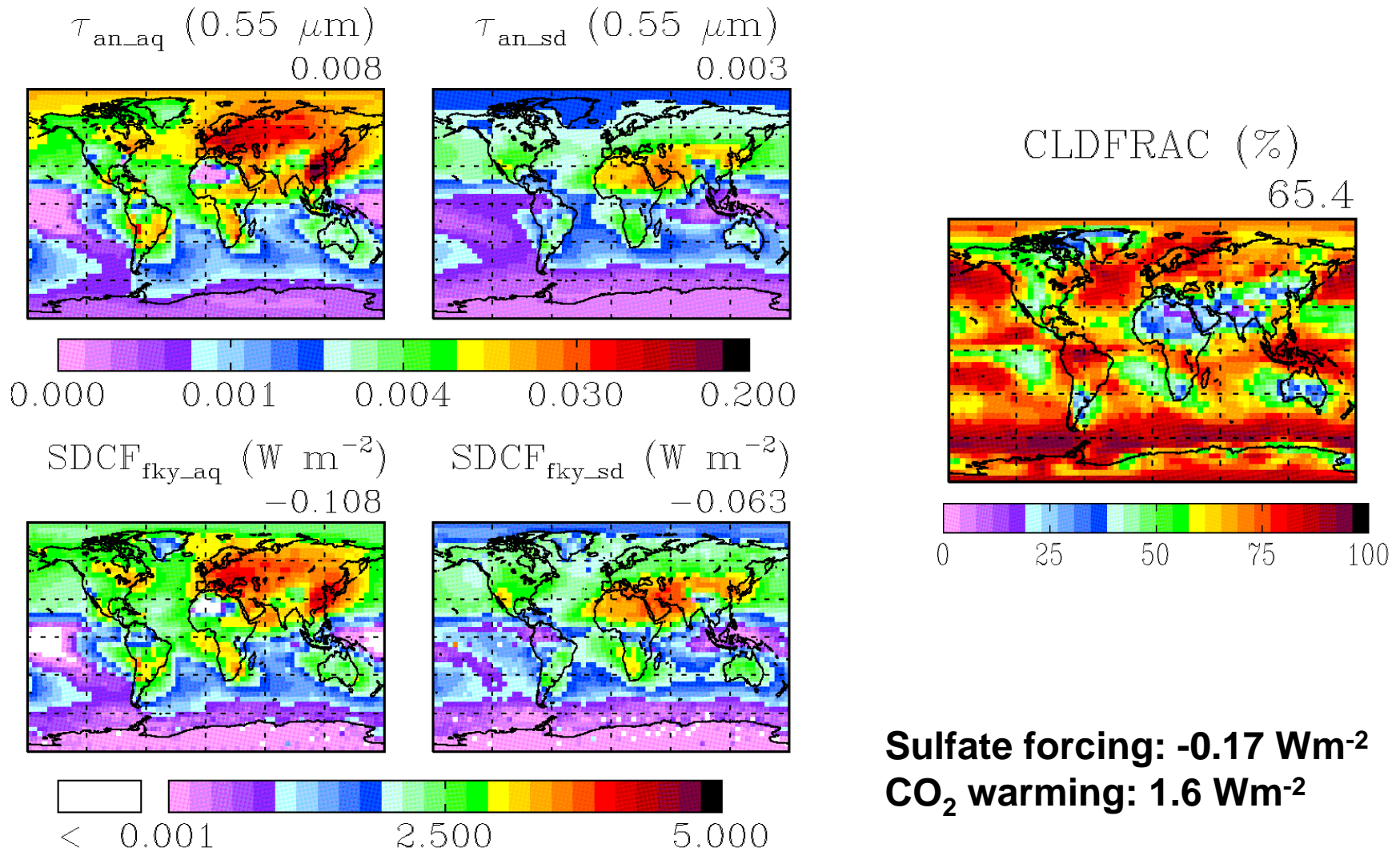


Solids Mass Fraction



**Upper tropospheric sulfate is mostly neutralized and solid!  
Implications for atmospheric chemistry, cirrus formation...**

# ANTHROPOGENIC SULFATE AOT & DIRECT FORCING

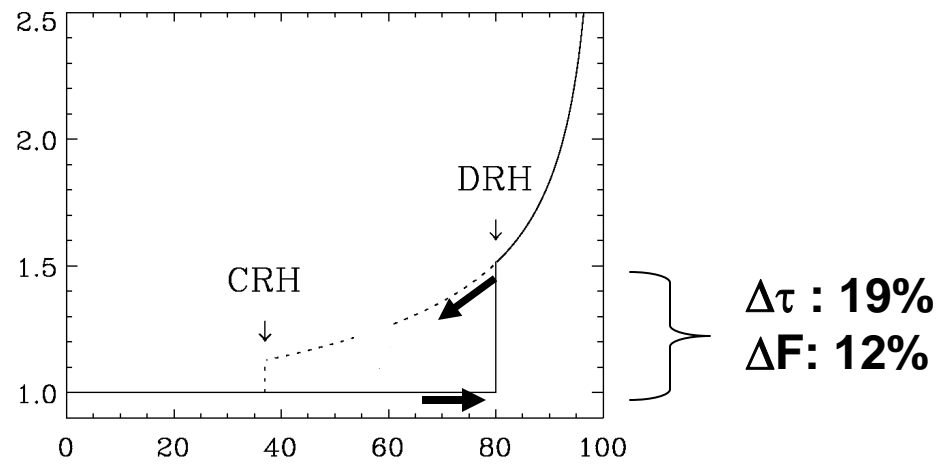
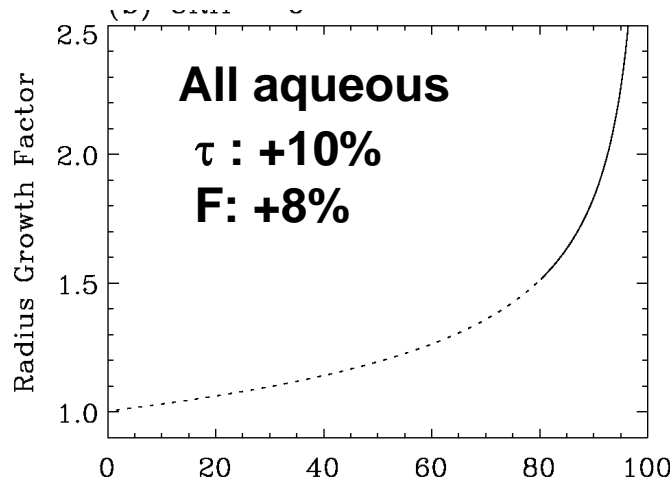
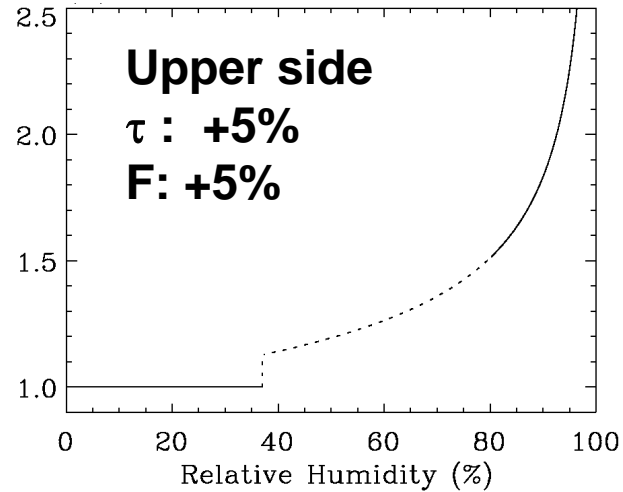
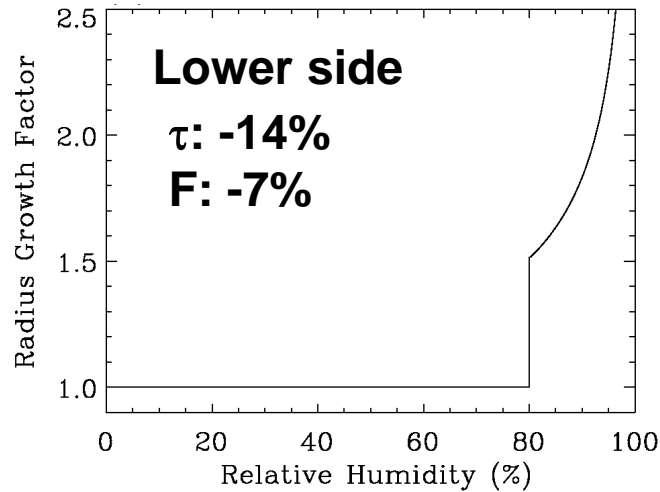


Sulfate forcing:  $-0.17 \text{ Wm}^{-2}$   
CO<sub>2</sub> warming:  $1.6 \text{ Wm}^{-2}$

**Solids: 41% in burden, 26% in optical thickness, 37% in full-sky forcing; negative correlation between solids fraction and cloud fraction solids sulfate forcing.**

# SENSITIVITY ANALYSIS TO THE HYSTERESIS EFFECT

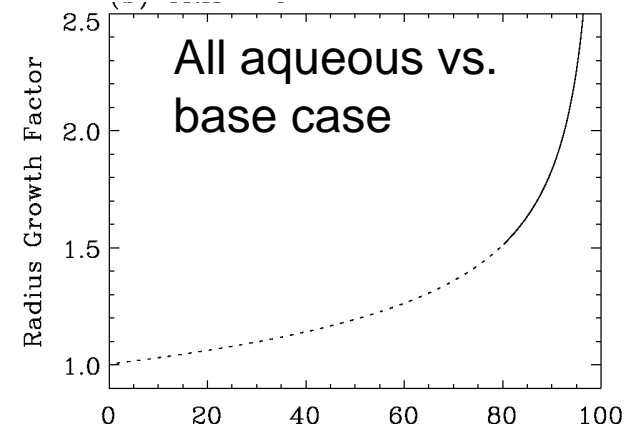
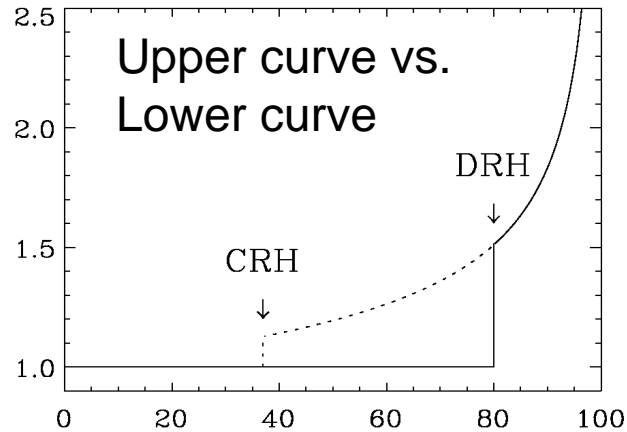
(compared to the base case; anthropogenic component only)



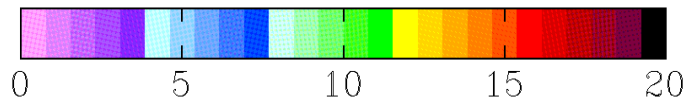
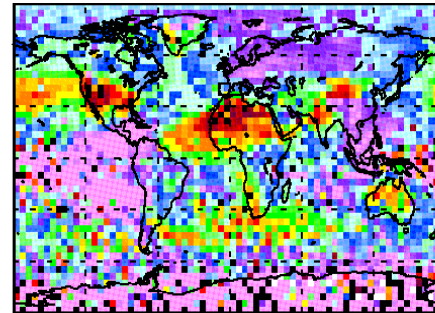
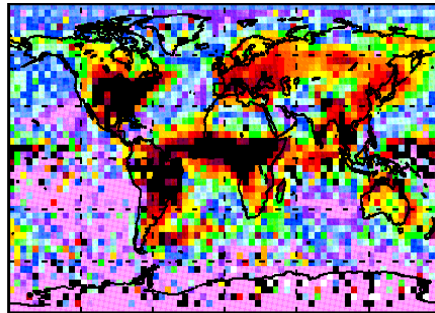
“lower side” and “upper side” difference



# FORCING DIFFERENCE DUE TO HYSTERESIS IN DIFFERENT REGIONS



$\Delta\text{SDCF}/\text{SDCF}$  (%)



**Regional difference due to hysteresis can be as large as ~20%.  
Those are systematical biases.**



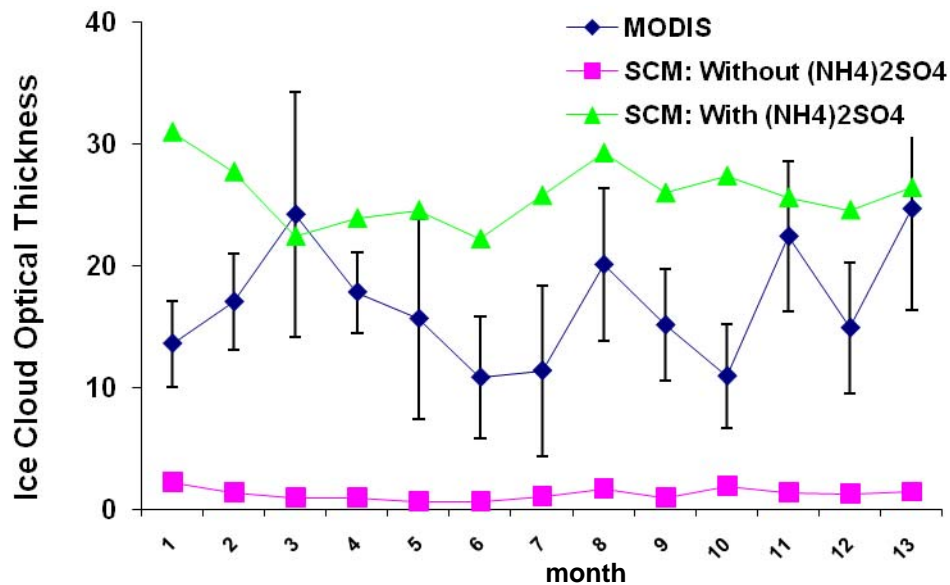
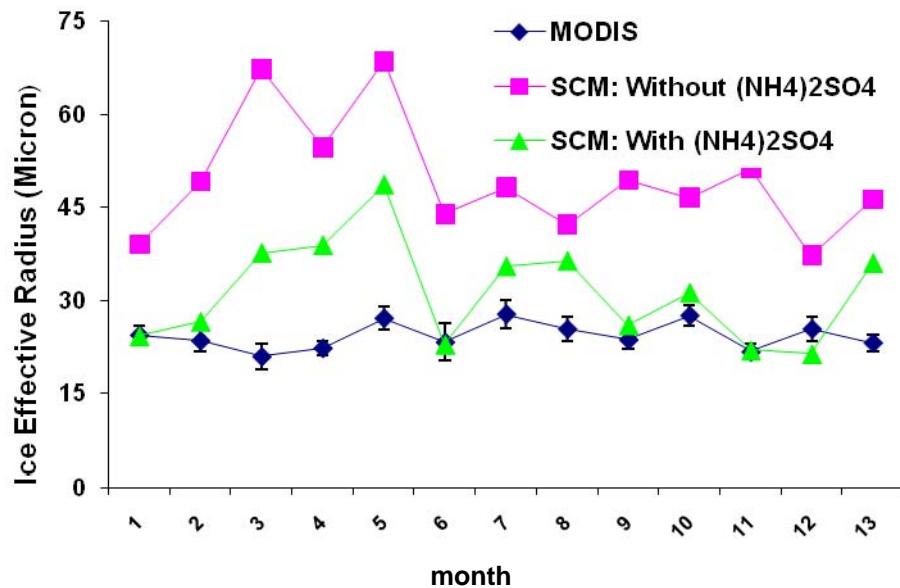
# Summary

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- **The dominance of solids in the upper troposphere arises in part from high sulfate neutralization, reflecting in our simulation a low retention efficiency of  $\text{NH}_3$  upon cloud freezing.**
- **Anthropogenic sulfate particles in solid phase contributes 41% in burden, 26% in optical thickness, and 37% in full-sky direct climate forcing of sulfate.**
- **Hysteresis can result in the uncertainty in the estimate of sulfate forcing by 12% (-7% – +5%) in global average and 20% in various regions.**
- **More percentage of solids is expected as the industrial emission of sulfate is decreasing in U.S. and Europe.**
- **Normalized growth factor of optical thickness should be considered as a standard output to facilitate meaningful intercomparisons among different forcing calculations.**



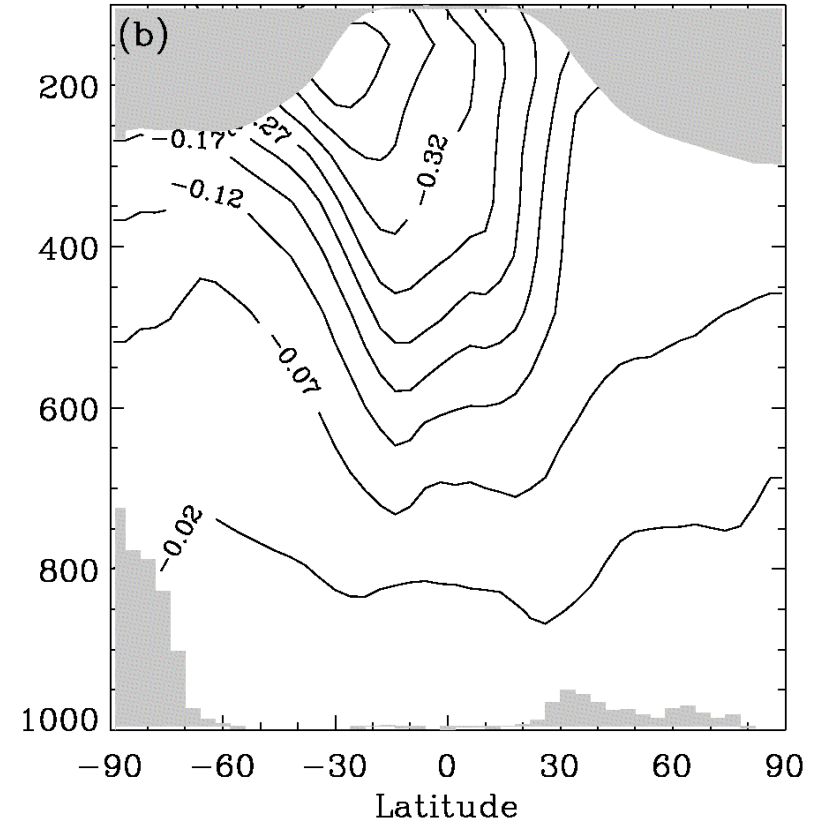
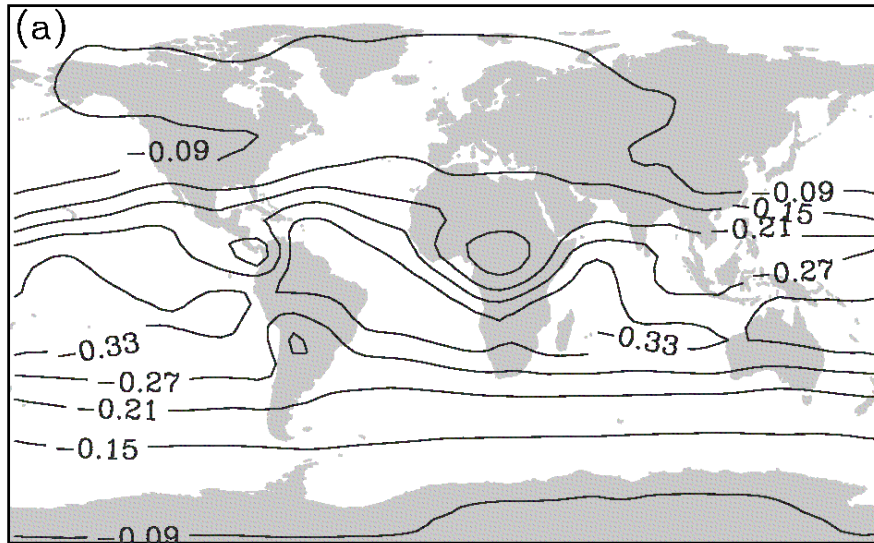
# PRELIMINARY STUDY USING A SINGLE COLUMN MODEL AT ARM SGP SITE



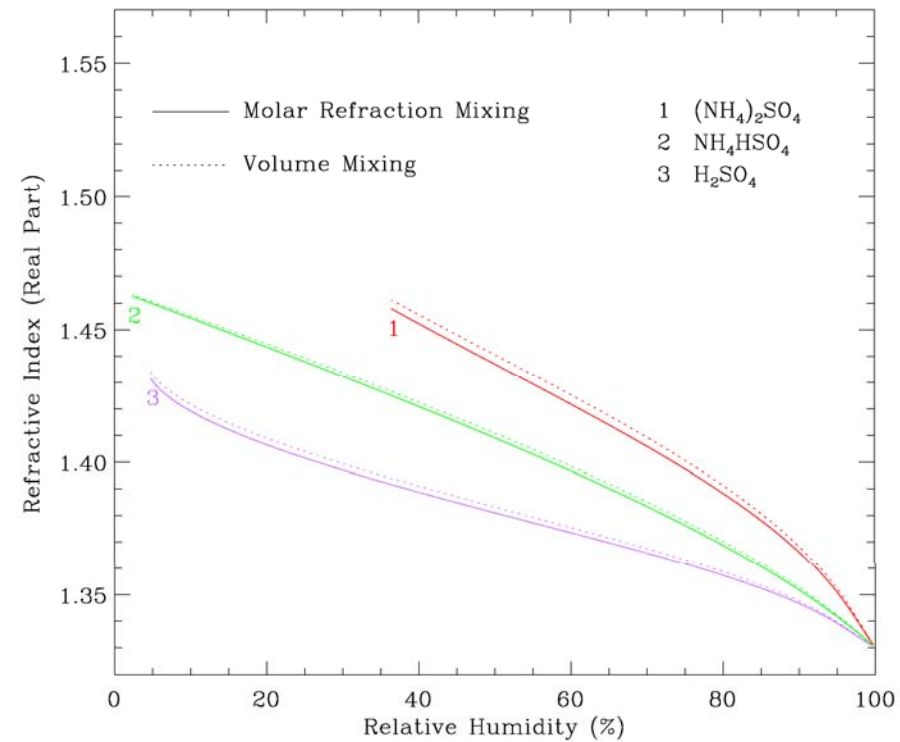
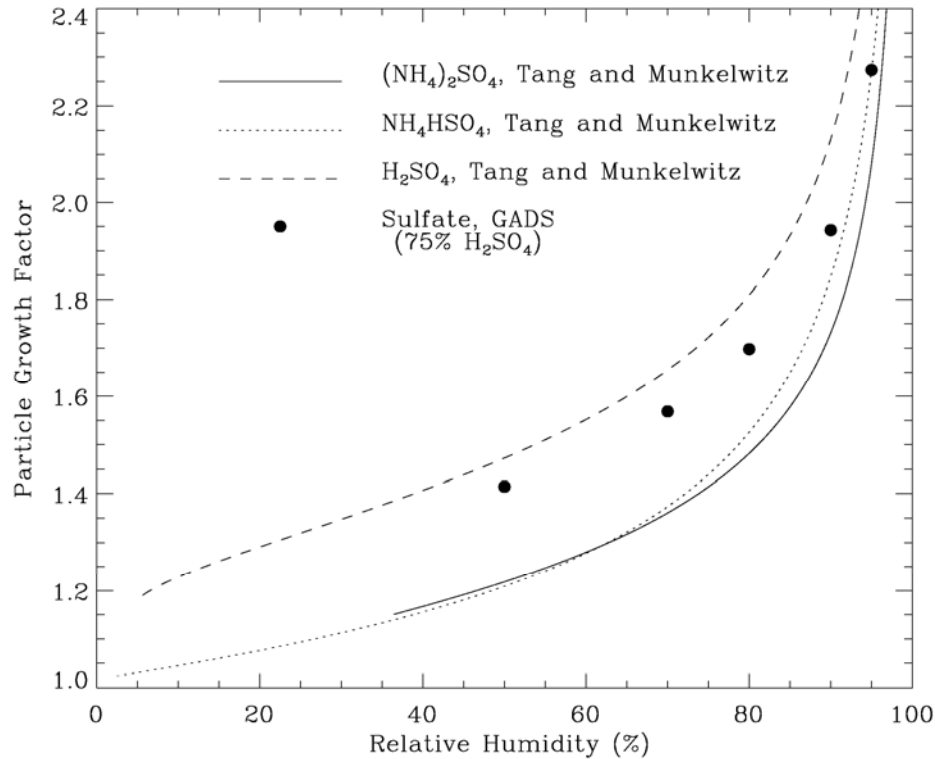
Thank you !

# RESULT SENSITIVITY TO RETENTION EFFICIENCY OF NH<sub>3</sub>

$X(\text{rcoeff} = 1) - X(\text{rcoeff} = 0.05)$

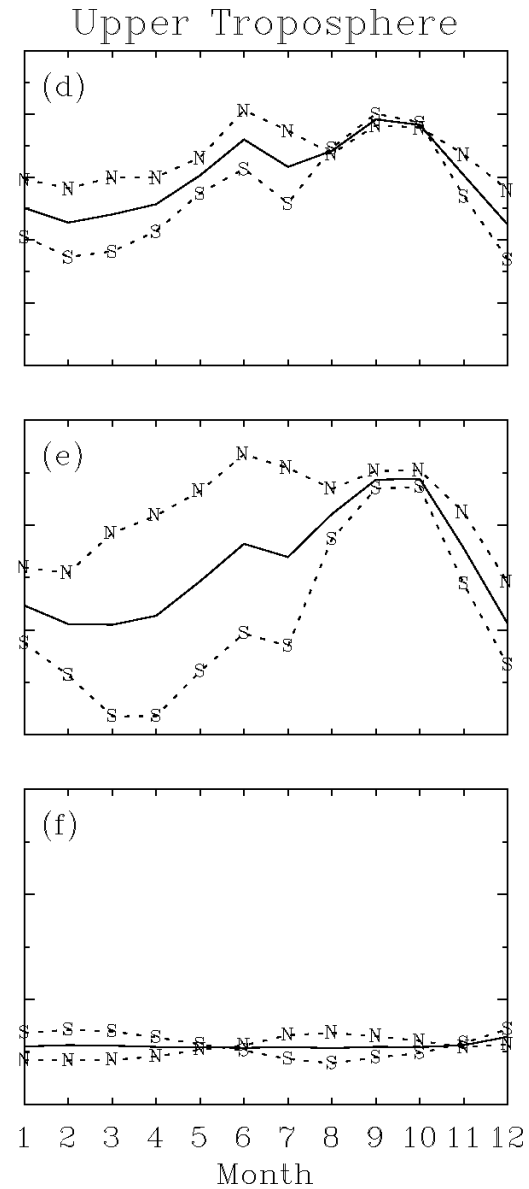
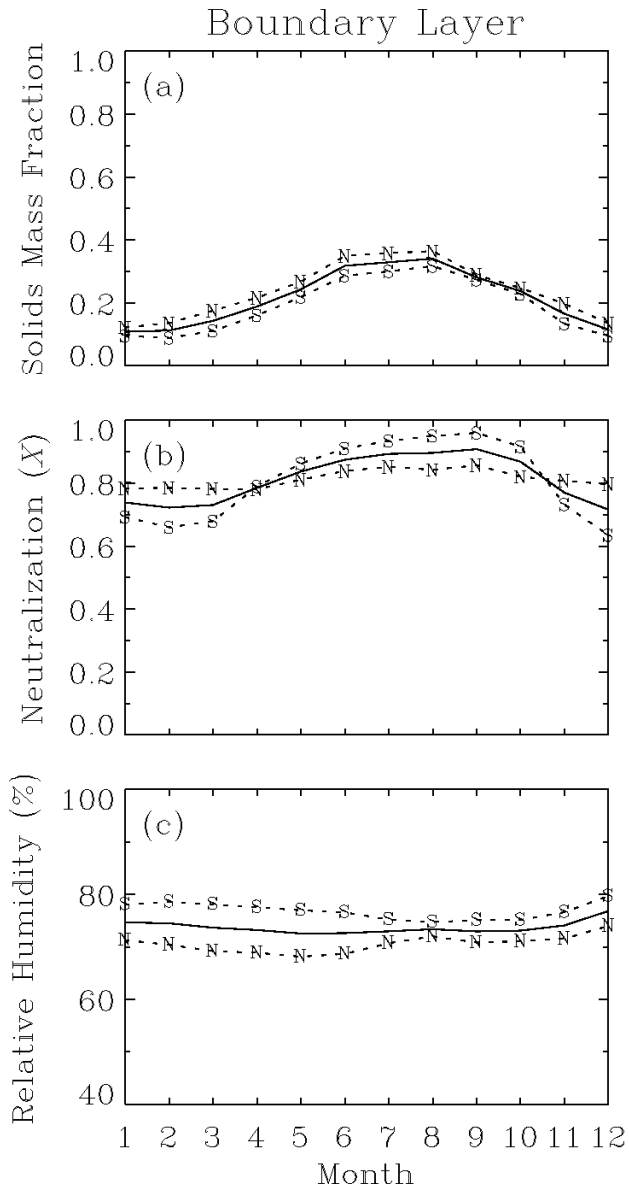


# CHANGE OF PARTICLE SIZE AND REFRACTIVE INDEX WITH HYGROSCOPICITY



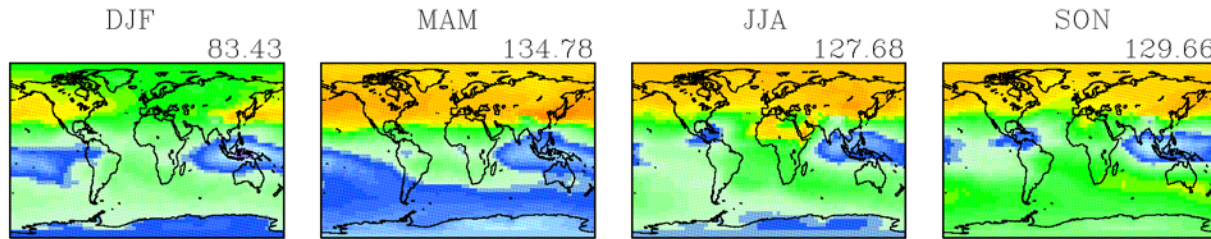


# SEASONALITY

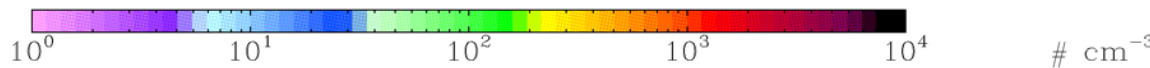
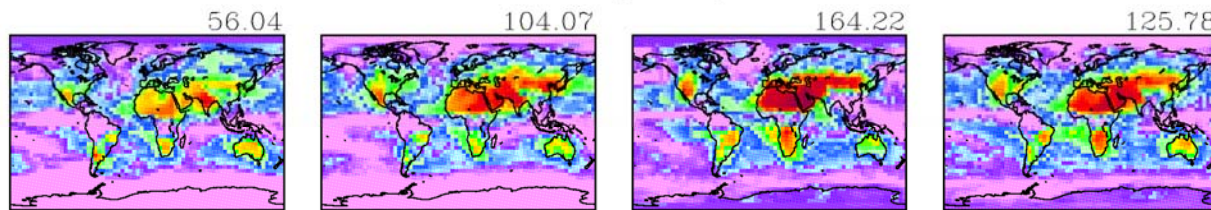


# NEXT STEP: ADDING SULFATE PATHWAY FOR CIRRUS CLOUD FORMATION IN GEOS-5 GCM

Upper Troposphere



Boundary Layer



NASA GEOS GCM

Fountoukis and Nenes (2005)  
For aerosol activation as CCN

Modified Seifert and Beheng (2006)  
For autoconversion and accretion

Liu and Penner (2005)  
For cloud ice nucleation

Khvorostyanov and Curry (1999a)  
For cloud drop effective radius

+ McRAS

= McRAS - AC

Collaboration with:  
Yogesh Sud, Eric Wilcox, Peter Colarco, Julio Bacmeister,  
Lazaros Oropoulos, Gregory Walker, ...

# OBSERVATIONAL EVIDENCE OF PHASE TRANSITIONS

GEOPHYSICAL RESEARCH LETTERS, VOL. 35, L22801, doi:10.1029/2008GL035650, 2008



## Phase changes of ambient particles in the Southern Great Plains of Oklahoma

Scot T. Martin,<sup>1</sup> Thomas Rosenoern,<sup>1</sup> Qi Chen,<sup>1</sup> and Donald R. Collins<sup>2</sup>

Received 11 August 2008; revised 23 September 2008; accepted 8 October 2008; published 18 November 2008.

**Efflorescence occurred 72% of the time for particles sampled at ambient RH in June.**

**For other evidences, see reviewed in Martin (2001), *Chem. Rev.* 2000, 100, 3403-3453**



# Acknowledgement

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GEST Visiting Fellows Program

NOAA Climate and Global Change Postdoctoral Fellowship program  
under the administration of UCAR visiting scientist program



# COMPARISON WITH OTHER MODELS

	$\tau_{an} \times 10^4$	SDCF	NSDCF <sup>a</sup>	NE <sup>b</sup>	NG <sub><math>\tau</math></sub>	Remarks
<i>Reddy et al. (2005)</i>	300	-0.41	-135	9.90	2.30	For RH < 30%, $E_{sd}$ applies. For RH > 30%, Mie-calculated $E_{aq}$ values apply for increasing RH.
<i>Koch et al. (1999, 2001)</i>	280	-0.68	-206	8.48	1.70	For RH < 60%, $E_{sd}$ applies. For RH > 60%, $E_{aq}$ at 85% RH and $E_{sd}$ are interpolated to obtain $E_{aq}$ at intermediate RH values.
<i>Schulz et al. (2006)</i>						
Mean	190	-0.35	-161	9.10		Statistics from nine CTMs having the same emissions
Standard deviation	±90	±0.15	±41	±2.70		
This Study						
Base Case	103	-0.17	-136	8.26	1.60	$E_{sd}$ and $E_{aq}$ depend on particle composition X. The hysteresis loop is fully considered in the base case.

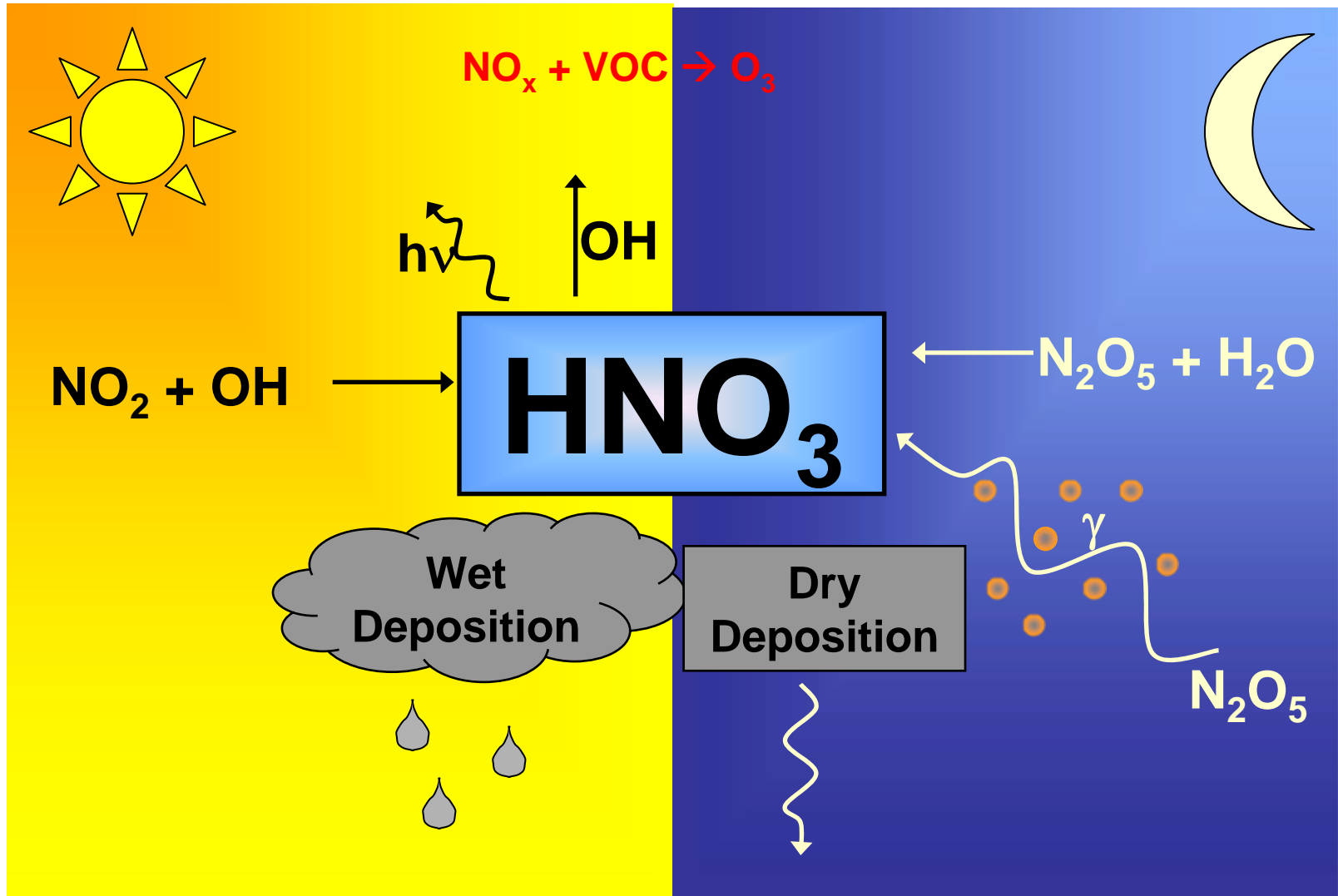
$$\text{NSDCF} = \text{SDCF}/\tau$$

$$\text{NE} = \tau/\text{burden}$$

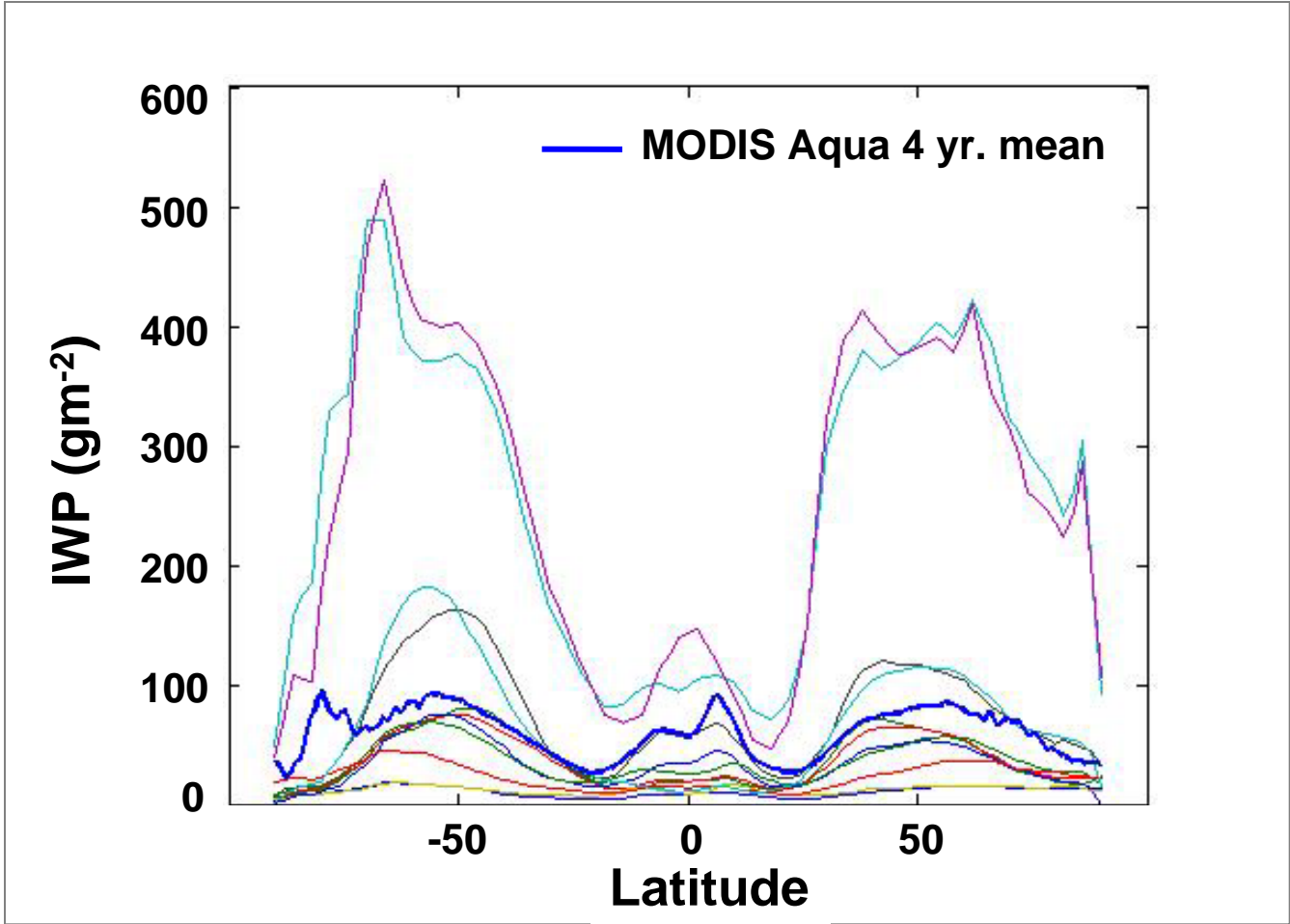
$$\text{NG} = \tau_{\text{with\_hygroscopicity}} / \tau_{\text{no\_hygroscopicity}}$$

**Normalized growth factor of optical thickness should be another parameter in comparing CTM results.**

# Production and loss of HNO<sub>3</sub>



Phase effect: increase in daytime + decrease in night time = ?



# Next Steps (1)

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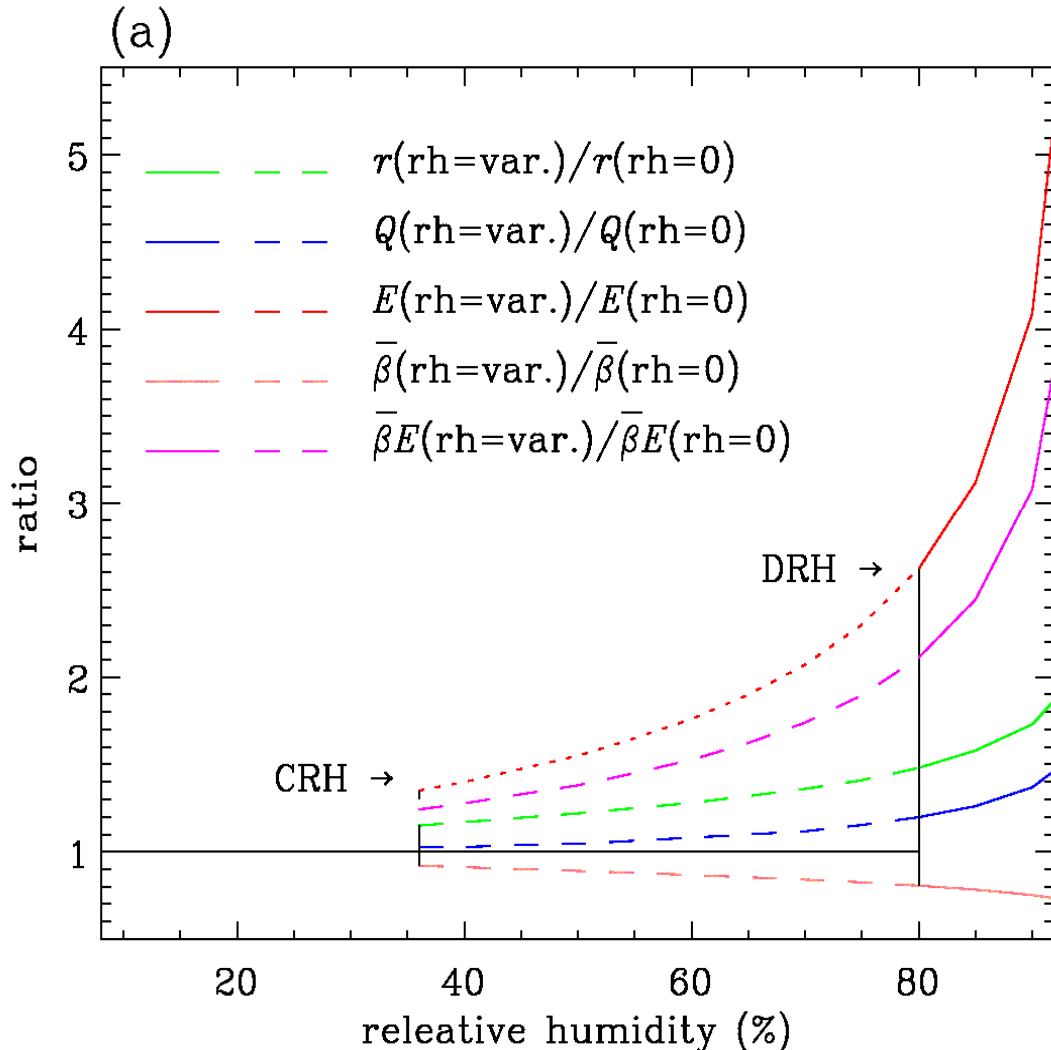
## **Goal:**

**Include the sulfate phase into a GCM to study its effect on cirrus cloud formation and radiative forcing.**

## **Approach:**

**Coupled the sulfate/ammonia cycle including sulfate phase transition in CTM with GCM.**

# sulfate physical state vs. scattering properties



Between DRH and CRH, sulfate particles can be in either solid or aqueous phase.

For aqueous sulfate particles, as RH increases,

$r$  increases

$Q$  and  $E$  increase

However,

$\beta$  decreases

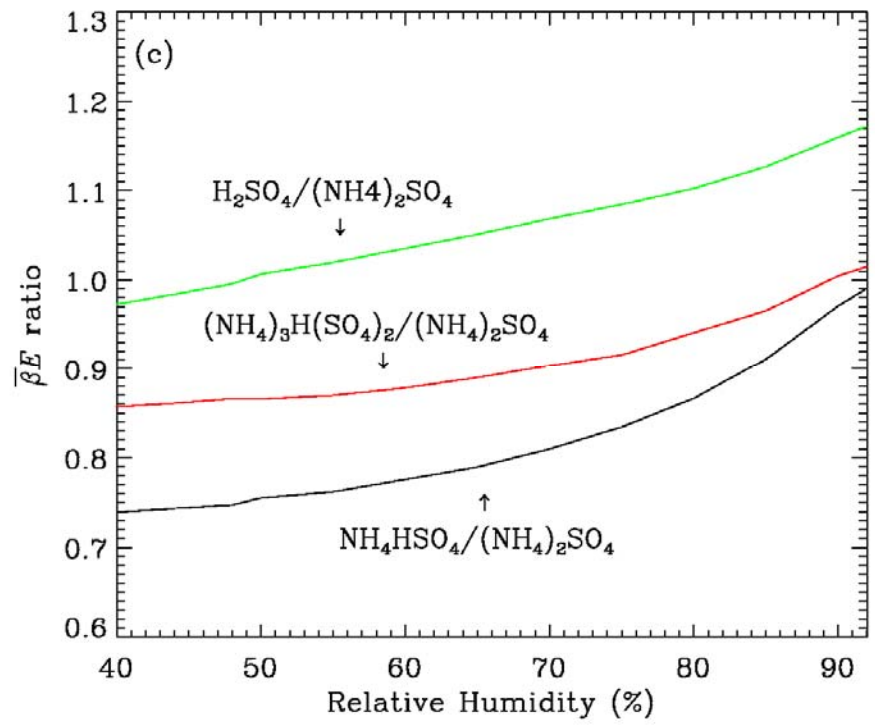
Overall, increase the aerosol forcing.

## Next Steps (2)

# Variability in Nocturnal Nitrogen Oxide Processing and Its Role in Regional Air Quality

S. S. Brown,<sup>1\*</sup> T. B. Ryerson,<sup>1</sup> A. G. Wollny,<sup>1,2</sup> C. A. Brock,<sup>1</sup> R. Peltier,<sup>3</sup> A. P. Sullivan,<sup>3</sup> R. J. Weber,<sup>3</sup> W. P. Dubé,<sup>1,2</sup> M. Trainer,<sup>1</sup> J. F. Meagher,<sup>1</sup> F. C. Fehsenfeld,<sup>1,2</sup> A. R. Ravishankara<sup>1,4</sup>

Nitrogen oxides in the lower troposphere catalyze the photochemical production of ozone ( $O_3$ ) pollution during the day but react to form nitric acid, oxidize hydrocarbons, and remove  $O_3$  at night. A key nocturnal reaction is the heterogeneous hydrolysis of dinitrogen pentoxide,  $N_2O_5$ . We report aircraft measurements of  $NO_3$  and  $N_2O_5$ , which show that the  $N_2O_5$  uptake coefficient,  $\gamma(N_2O_5)$ , on aerosol particles is highly variable and depends strongly on aerosol composition, particularly sulfate content. The results have implications for the quantification of regional-scale  $O_3$  production and suggest a stronger interaction between anthropogenic sulfur and nitrogen oxide emissions than previously recognized.

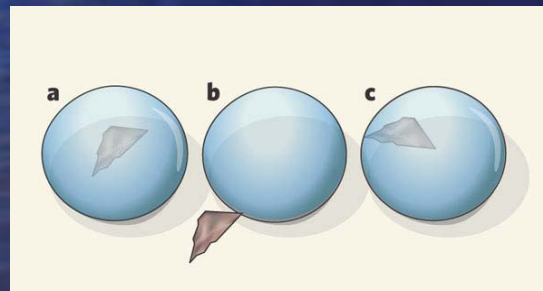
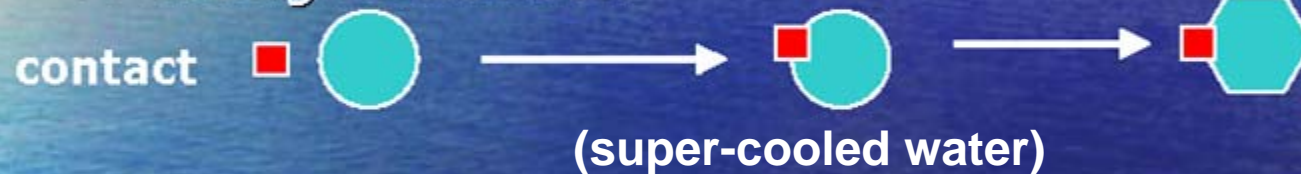


# Heterogeneous ice nucleation mechanisms

- Deposition nucleation (deposition nuclei)



- Freezing nucleation





# Radiative Forcing Components

