

# Data assimilation & parameter estimation for global aerosol

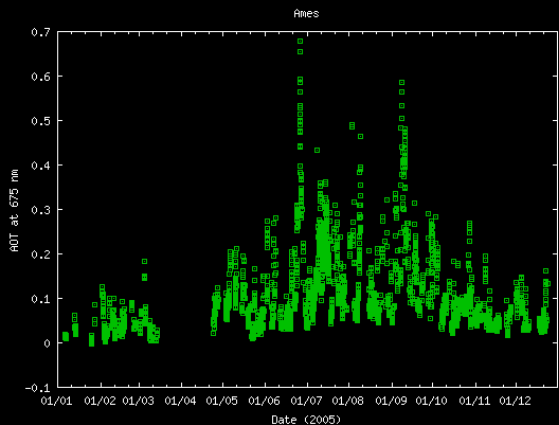
Nick Schutgens<sup>Oxford U.</sup>

Terry Nakajima<sup>Tokyo U.</sup>

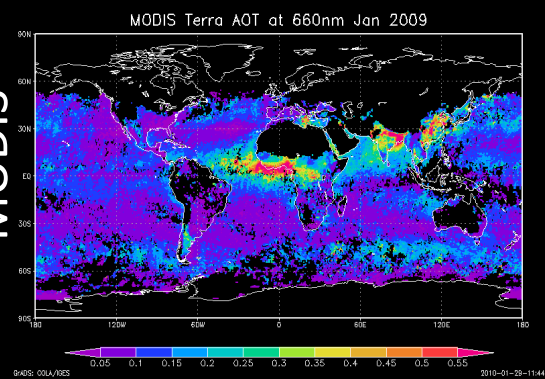
Makiko Nakata<sup>Kinki U.</sup>

Eiji Oikawa<sup>Tokyo U.</sup>

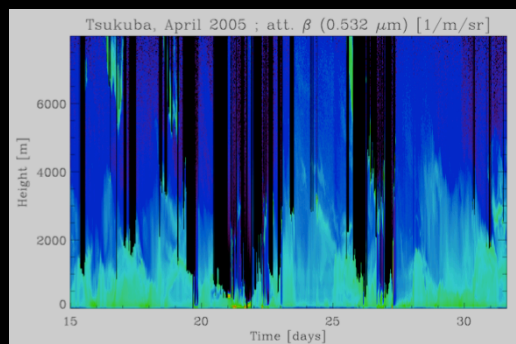
AERONET



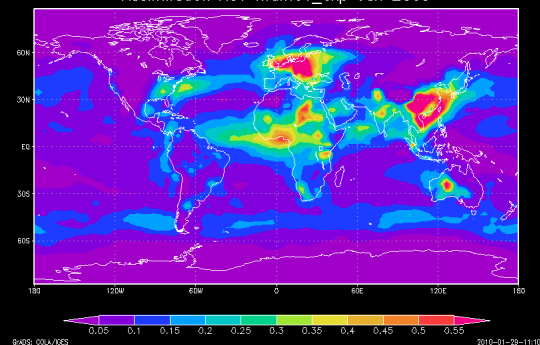
MODIS



LIDAR

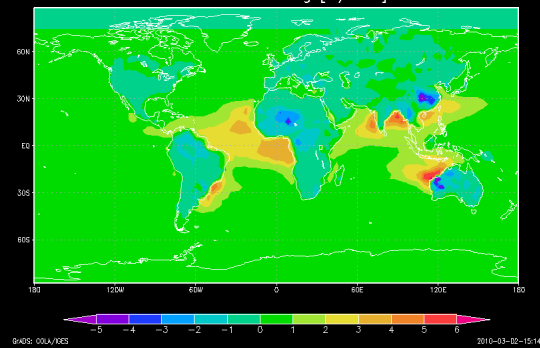


Assimilation AOT mam01\_exp Jan 2009



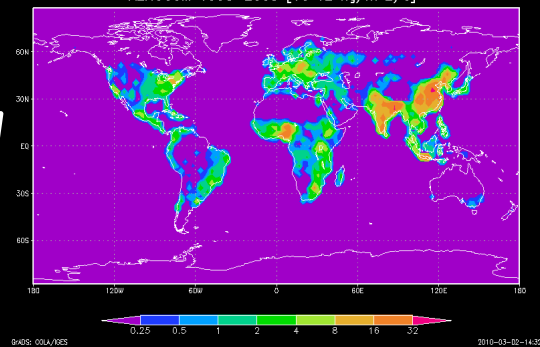
AOT / AE / SSA

SW aerosol forcing [W/m<sup>2</sup>]



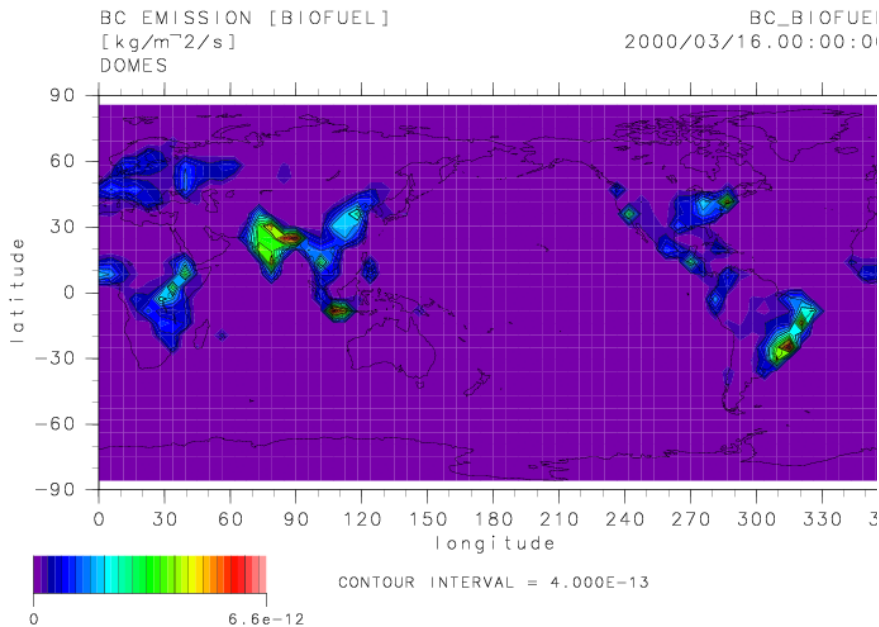
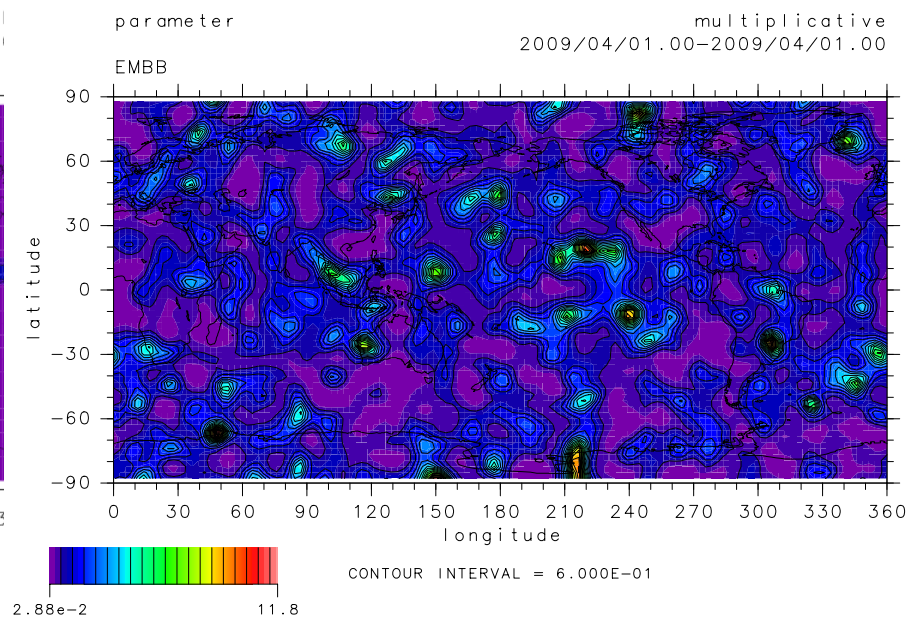
ARF

anthropogenic OC emission  
AEROCOM 1998-2008 [10<sup>-12</sup> kg/m<sup>2</sup>/s]



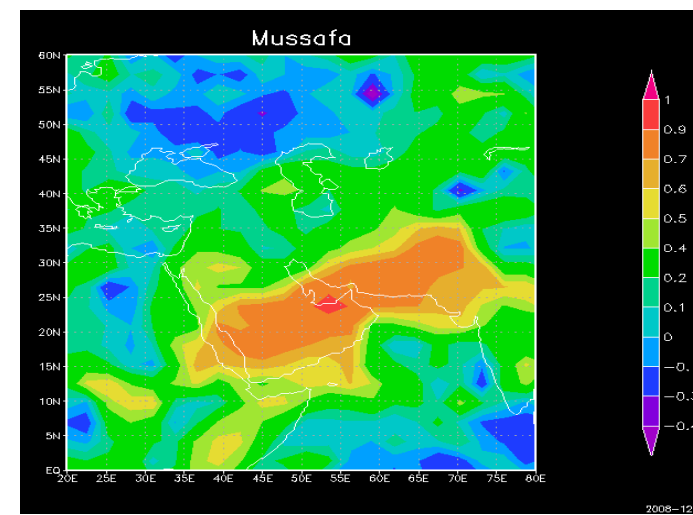
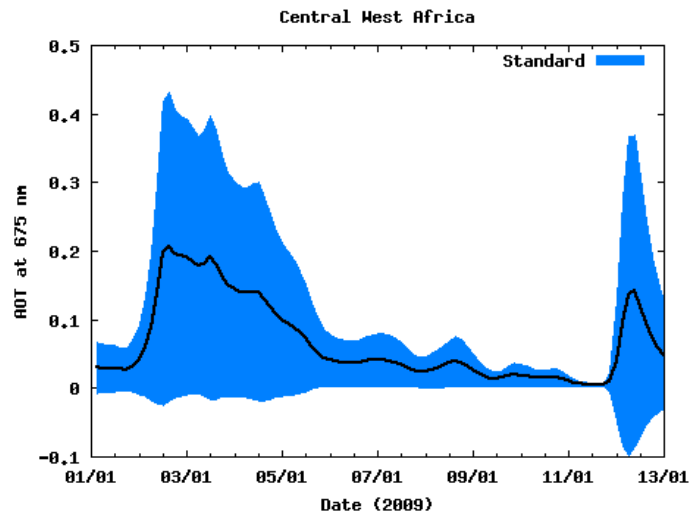
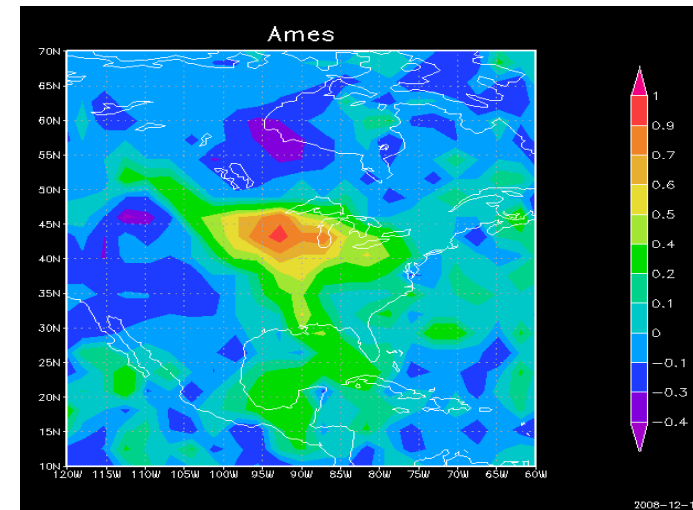
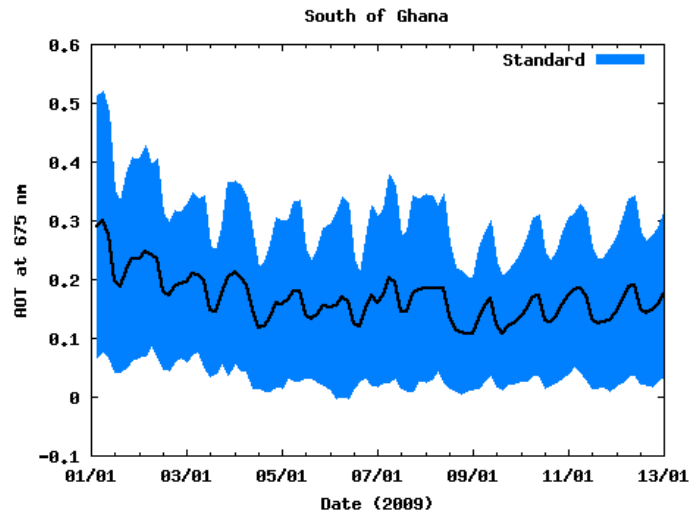
emission

# Creating the ensemble

 $E_0$  $f_{\text{rnd}}$ 

$$E(\phi, \theta) = E_0(\phi, \theta) f_{\text{rnd}}(\phi, \theta)$$

# The model prediction covariant



# The Kalman equation

Mixing ratios

Observation operator  
Mixing ratio  
Observation errors

$$\mathbf{x}_a = \mathbf{x}_f + \mathbf{P}_a \mathbf{H}^T \mathbf{R}^{-1} (\mathbf{y} - \mathbf{H} \mathbf{x}_f)$$

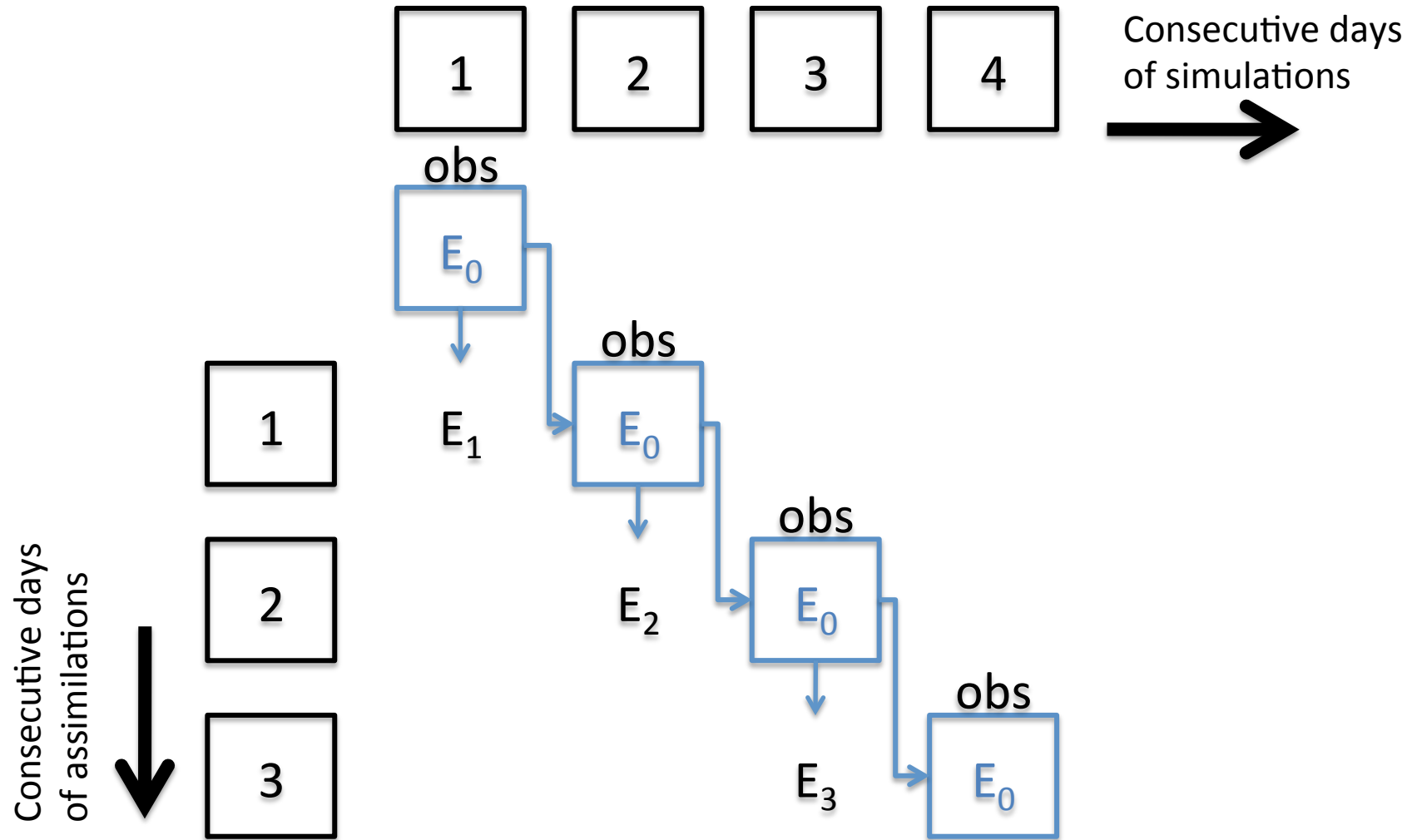
Emissions

Emissions  
Transport operator & Observation operator  
Transport & Observation errors

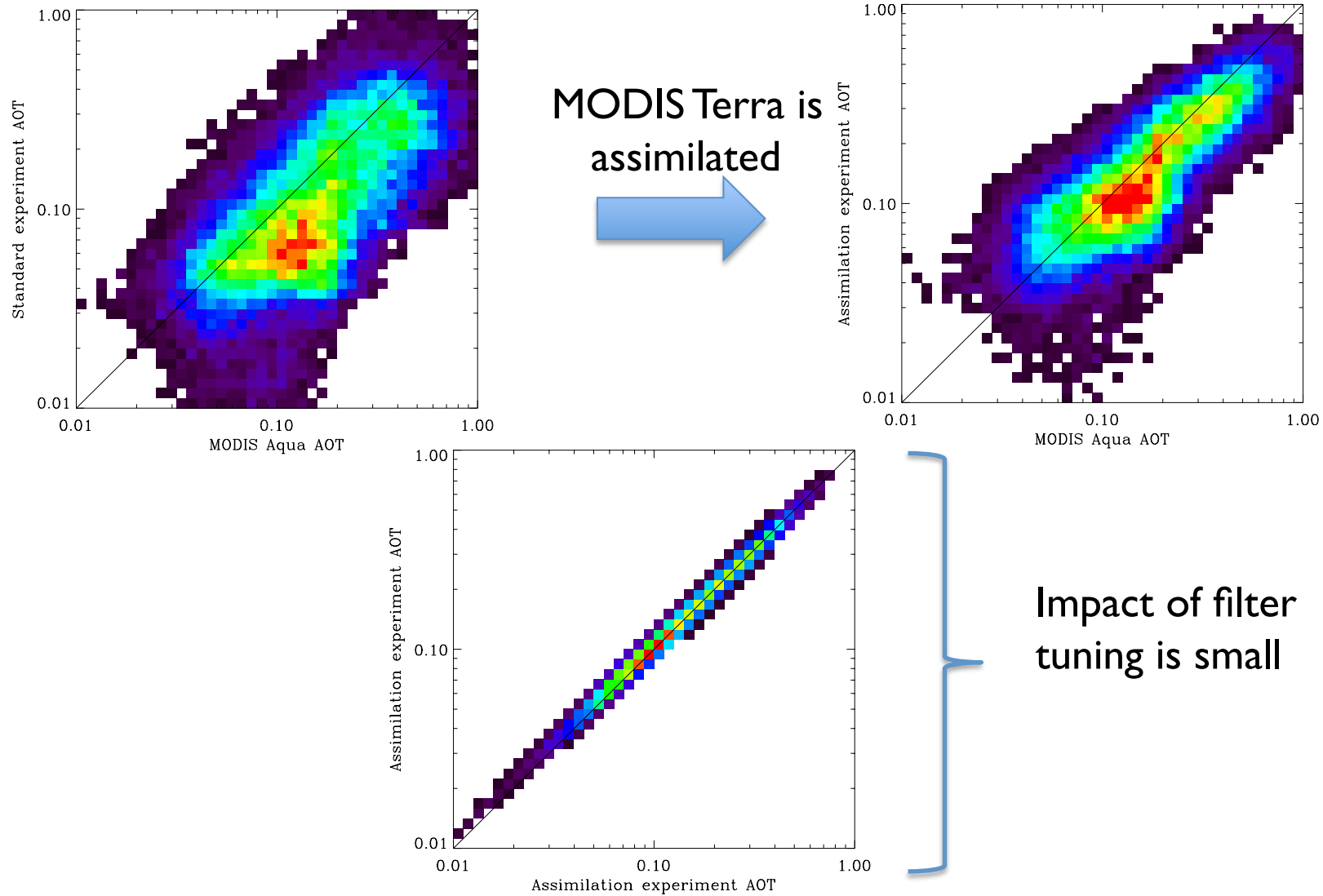
Regular DA

Parameter estimation

# Regular Data Assimilation

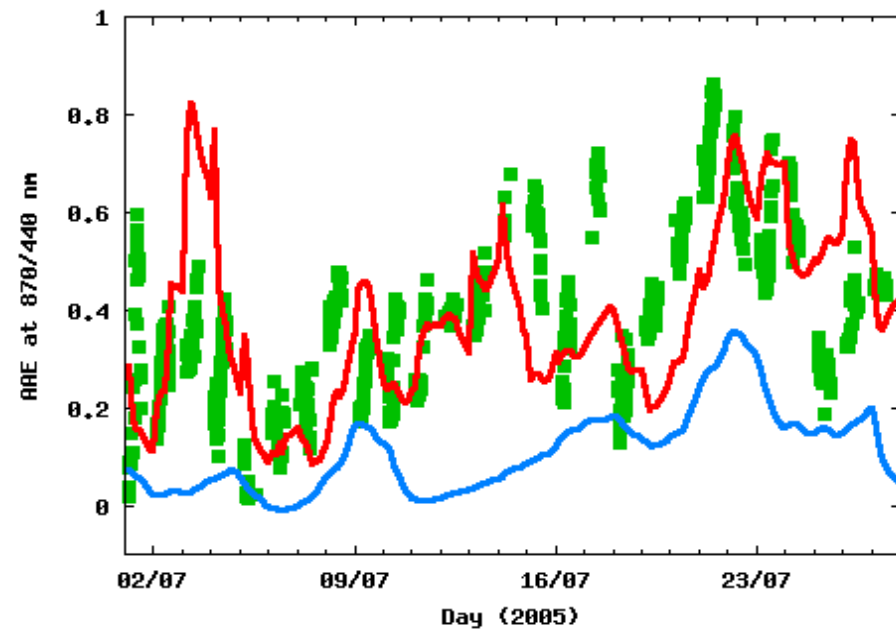
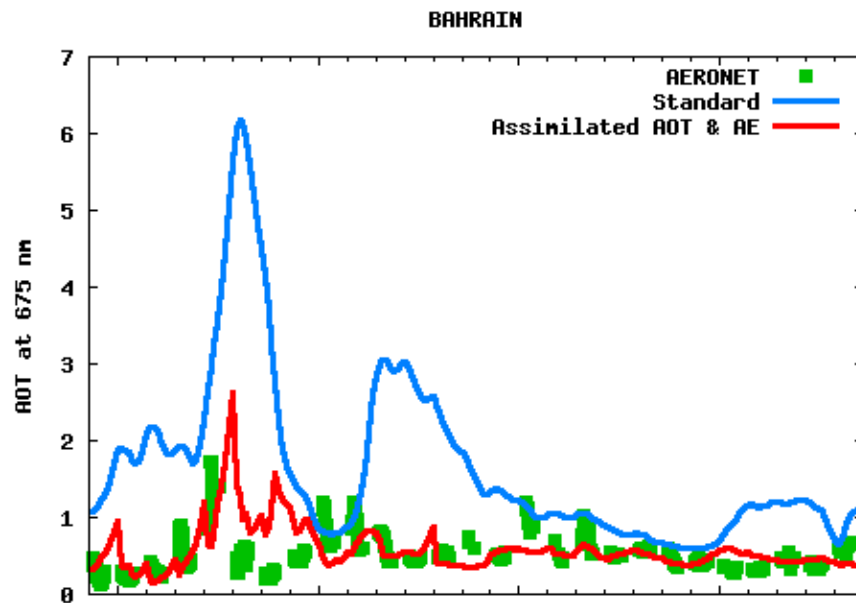


# Regular DA: robust estimates of AOT etc.



# Regular DA: AERONET AOT & AE

- Assimilate AERONET AOT & AE
- Evaluate against independent AERONET sites

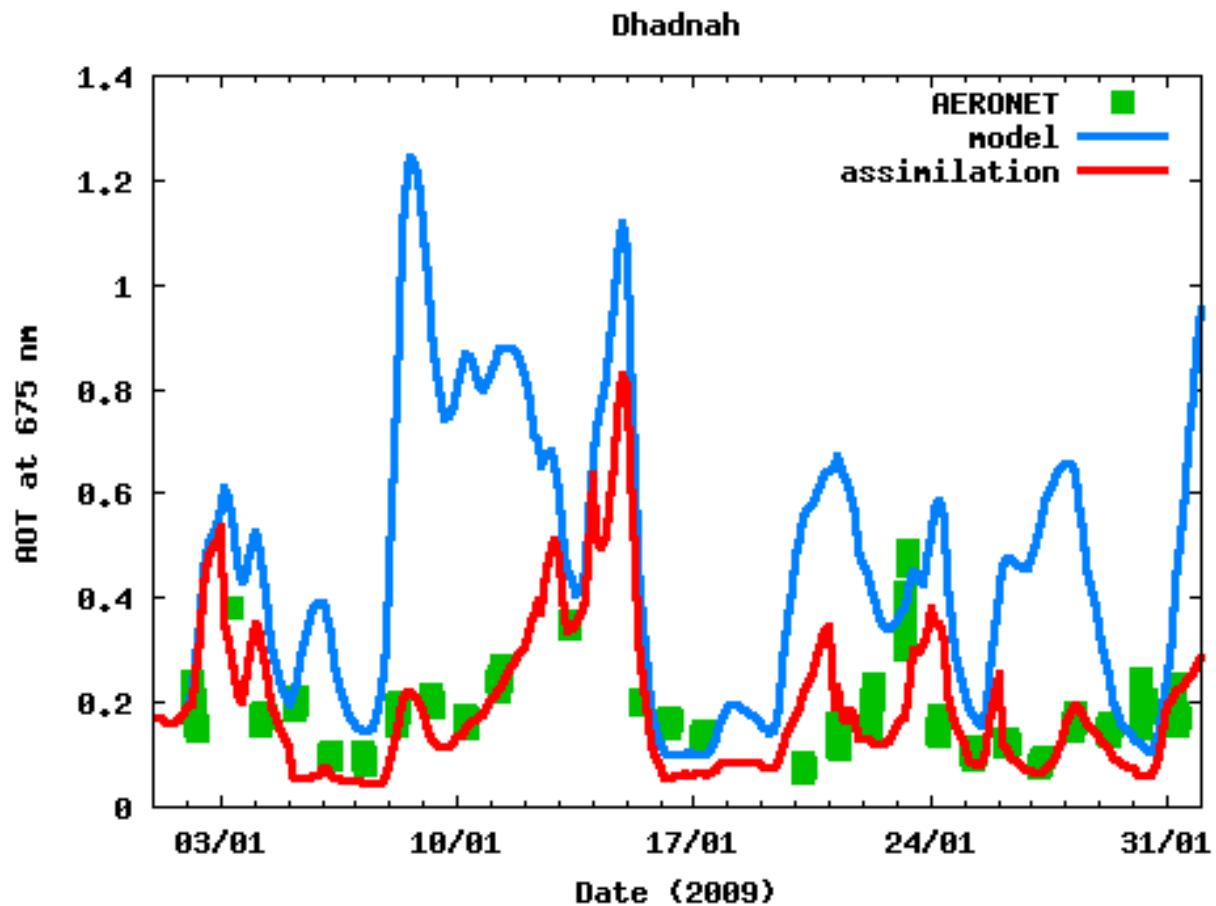


Mixing ratios are adjusted by filter to give correct AE

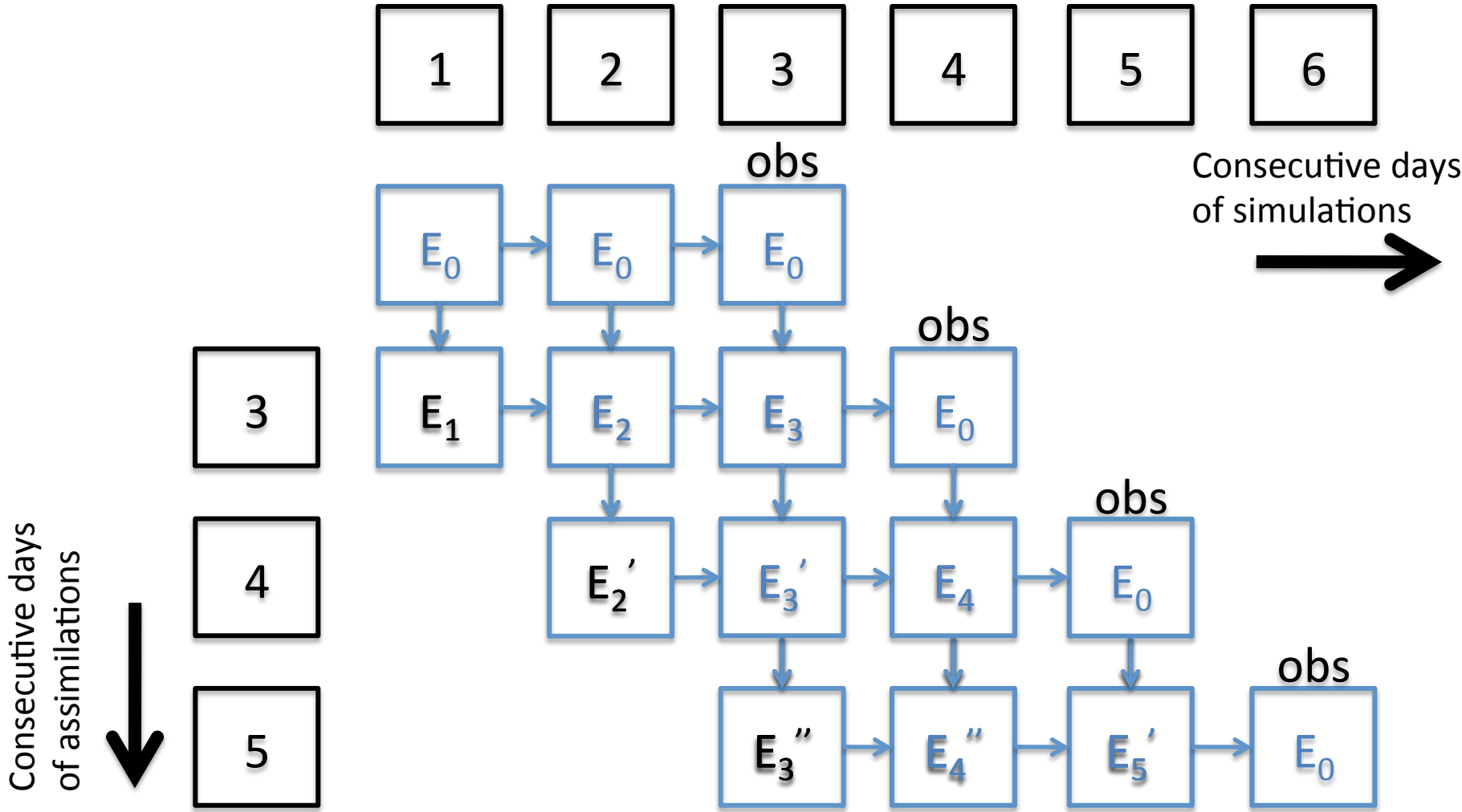


# Regular DA: CALIOP backscatter

- Assimilate CALIOP night-time attenuated backscatter
- Evaluate against AERONET



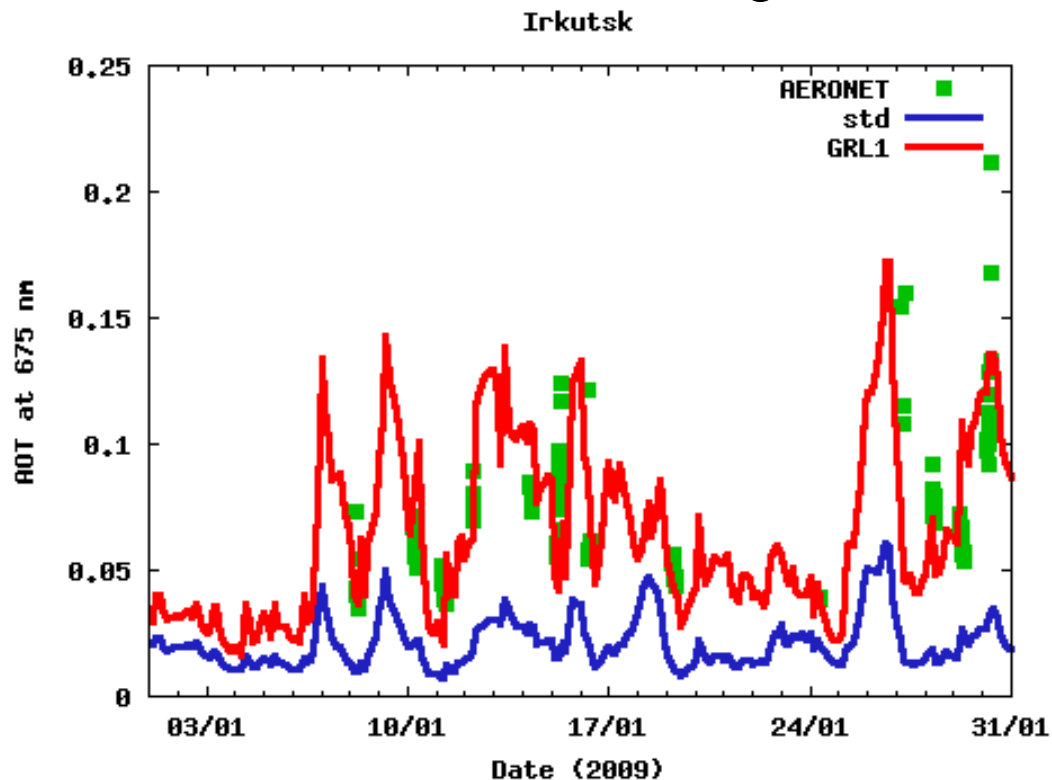
# Parameter estimation



# Parameter estimation

First, we estimate new emissions based on ensemble simulations (perturbed standard emissions) and observations (over land: AERONET AOT & AE, over ocean: MODIS Terra AOT)

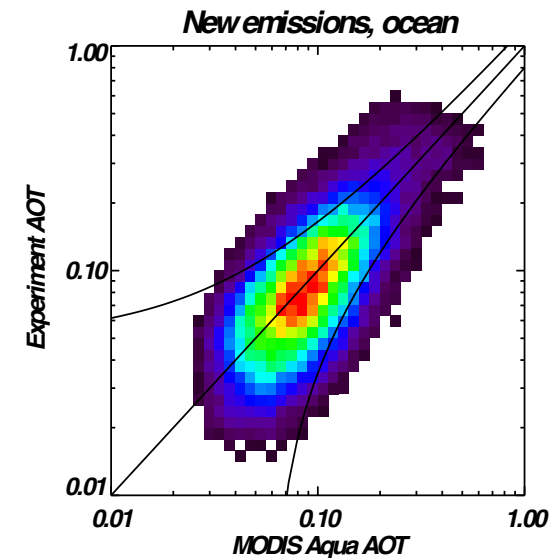
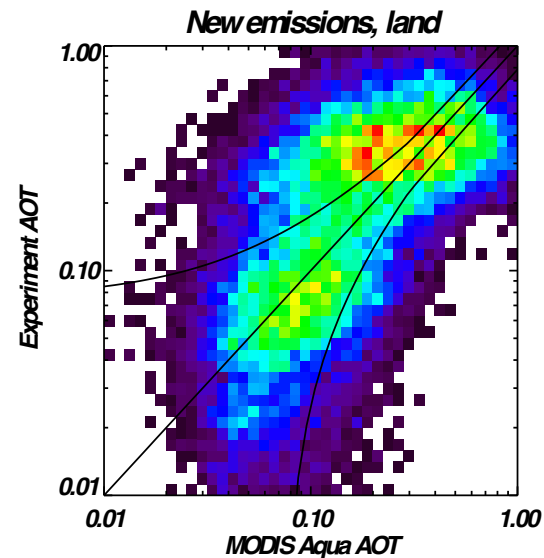
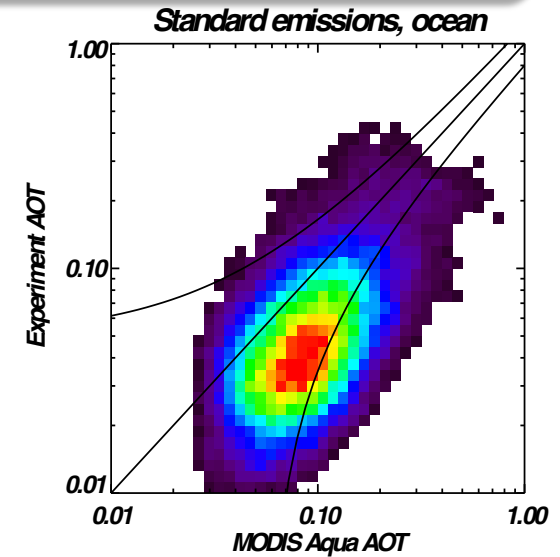
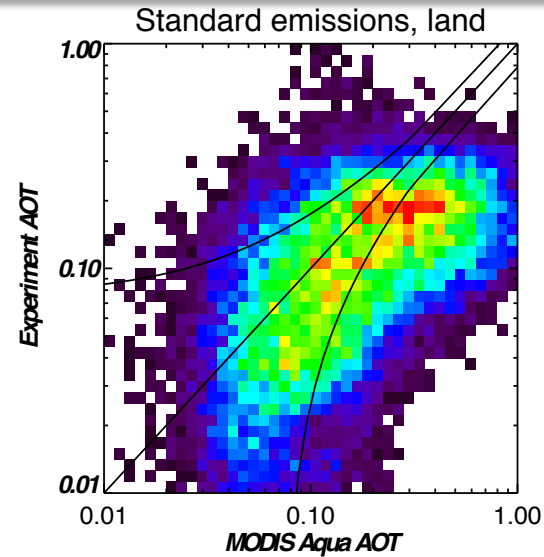
Next, we simulate AOT & AE using those new emissions.



Sanity check:  
AOT forecasts with either the **standard** or **new** emissions

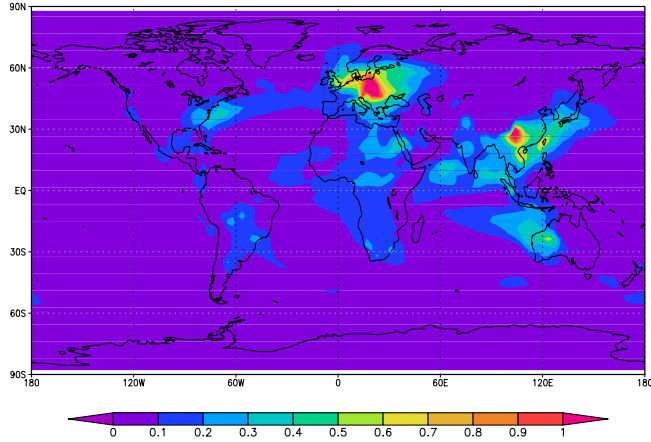
# Parameter estimation: evaluation vs Aqua

		bias	slope	corr.
land	std	-0.045	0.31	0.51
	new	0.008	0.8	0.57
ocean	std	-0.04	0.34	0.50
	new	0.005	0.79	0.64

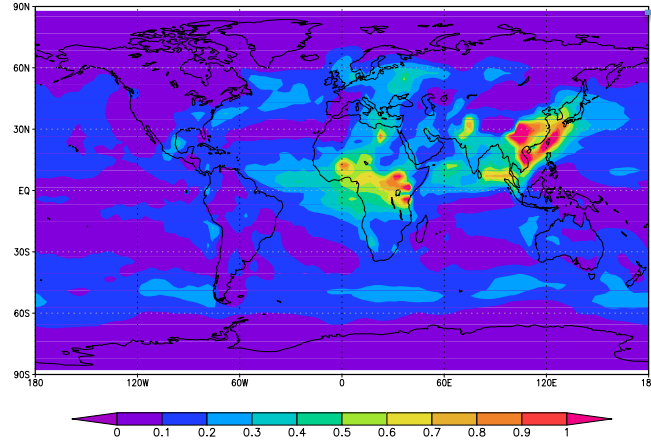


Standard emissions

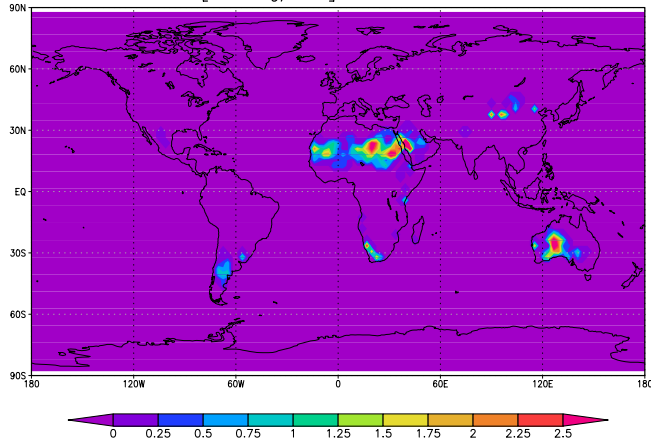
AOT at 550nm for standard emissions



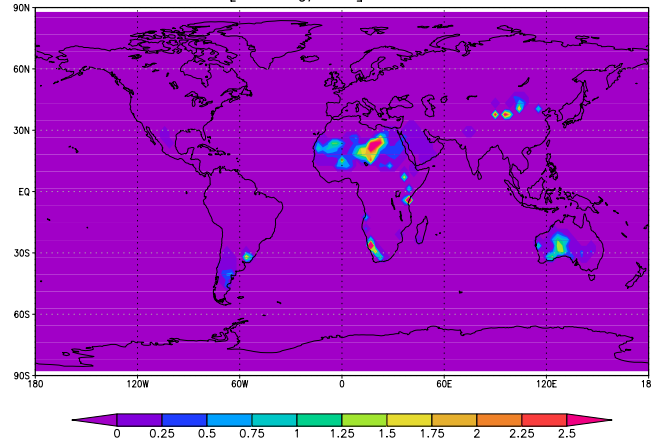
AOT at 550nm for new emissions



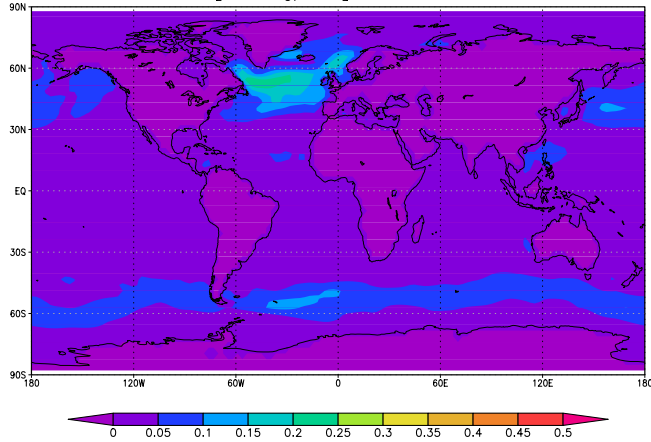
Dust flux [ $10^{-8}$  kg/m<sup>2</sup>s] for standard emissions



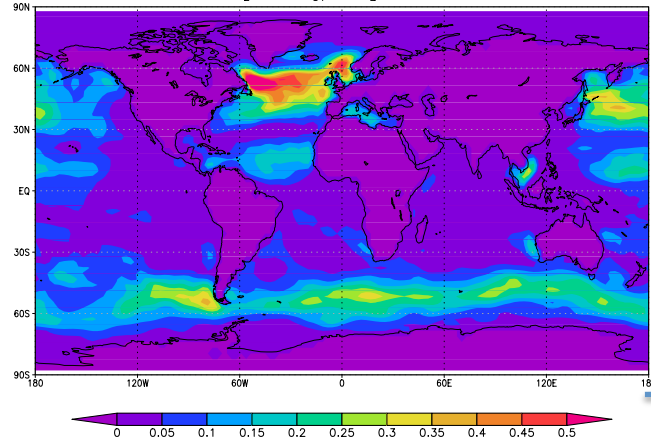
Dust flux [ $10^{-8}$  kg/m<sup>2</sup>s] for new emissions



Seasalt flux [ $10^{-8}$  kg/m<sup>2</sup>s] for standard emissions



Seasalt flux [ $10^{-8}$  kg/m<sup>2</sup>s] for new emissions




New emissions

# Parameter estimation: global emissions

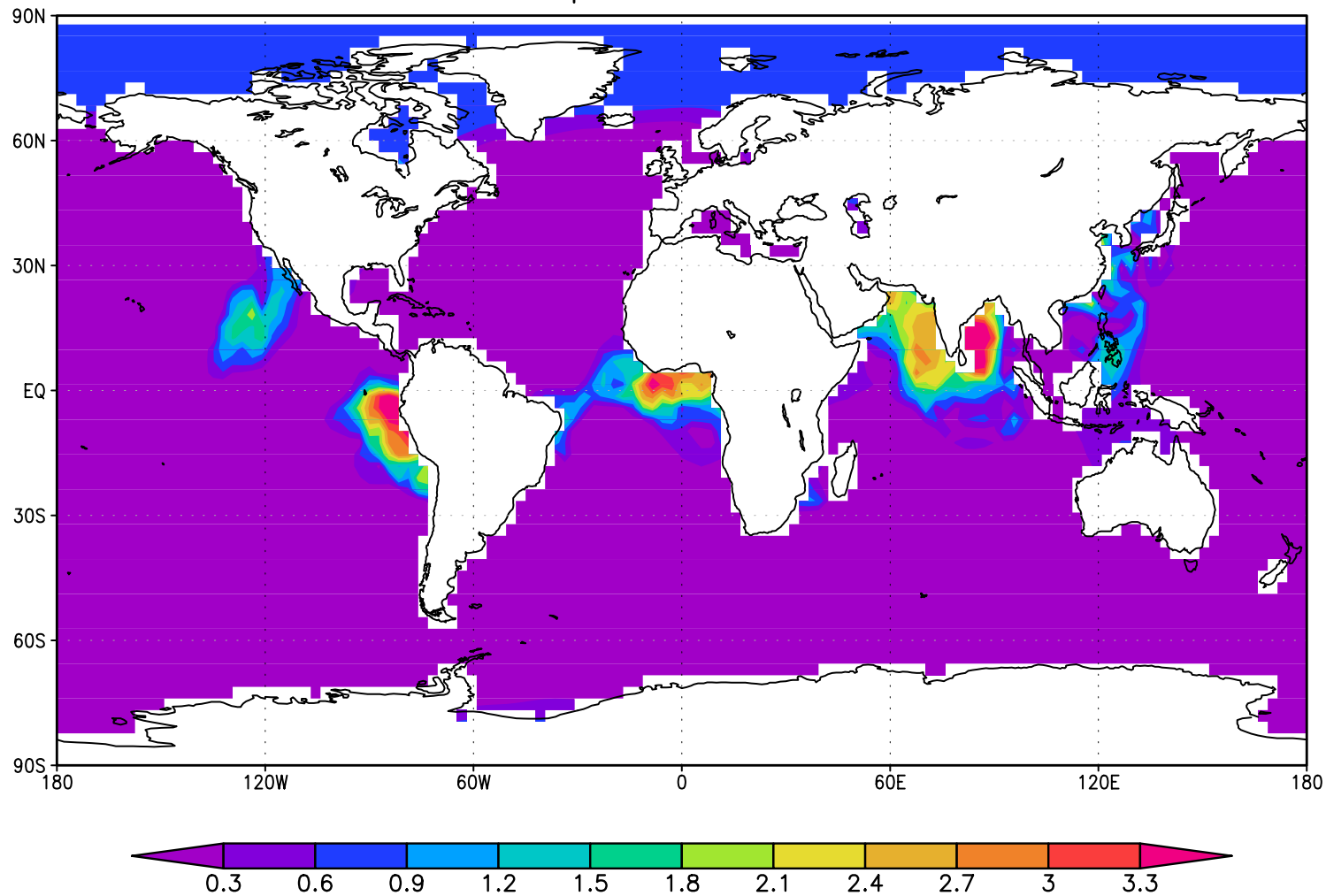
Species	AEROCOM		MIROC-SPRINTARS		
	Mean [Tg/yr]	Diversity [%]	Std [Tg/yr]	New [Tg/yr]	Error [%]
SO <sub>2</sub>			145	219	78
carbons	109	26	83	136	78
dust	1840	49	4470	3244	62
seasalt	16600	199	3145	9073	18

Estimated emission error  
(assumes structural errors are negligible)



# Parameter estimation: remaining errors

Relative spread in seasalt flux

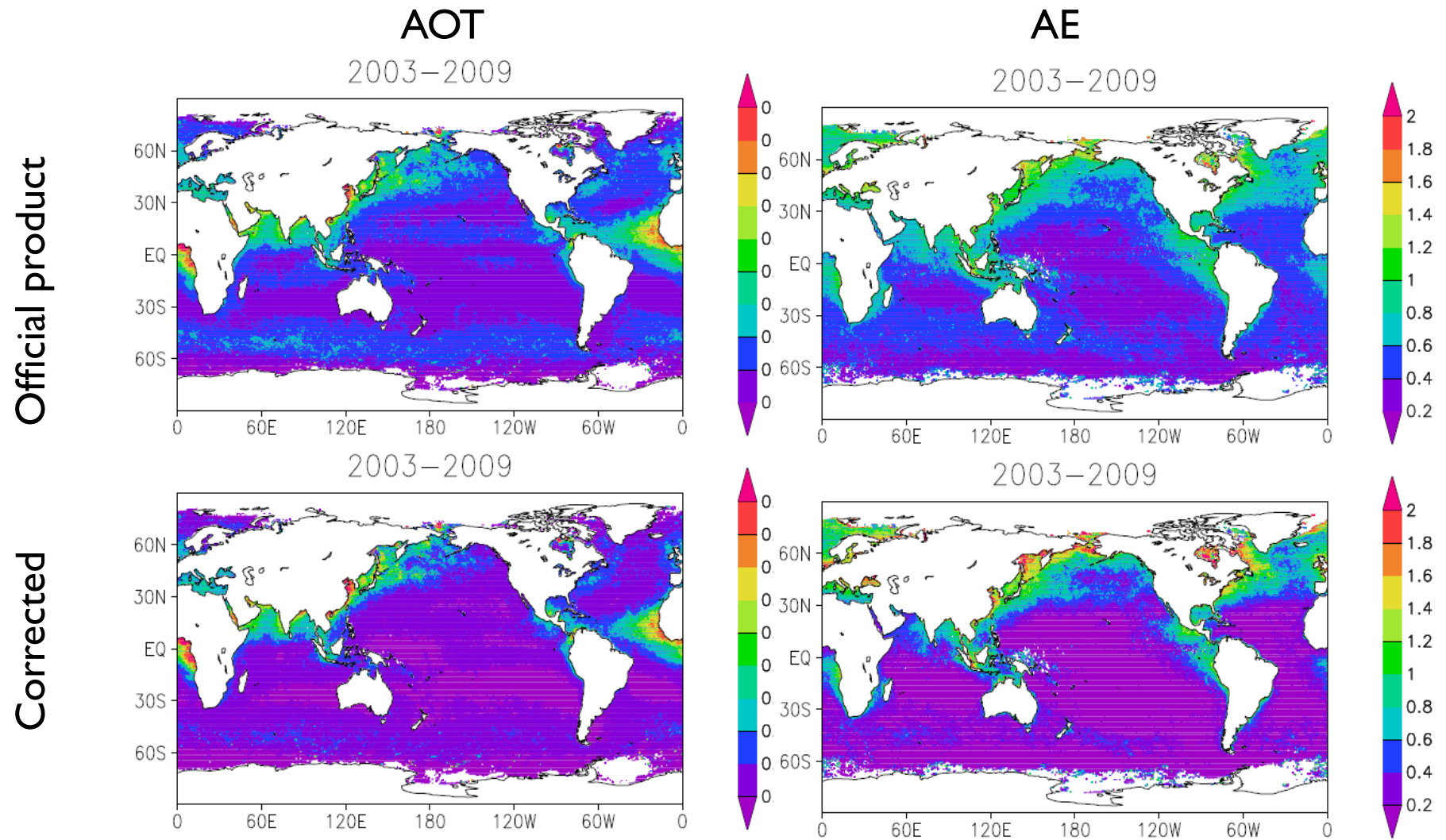


# Summary

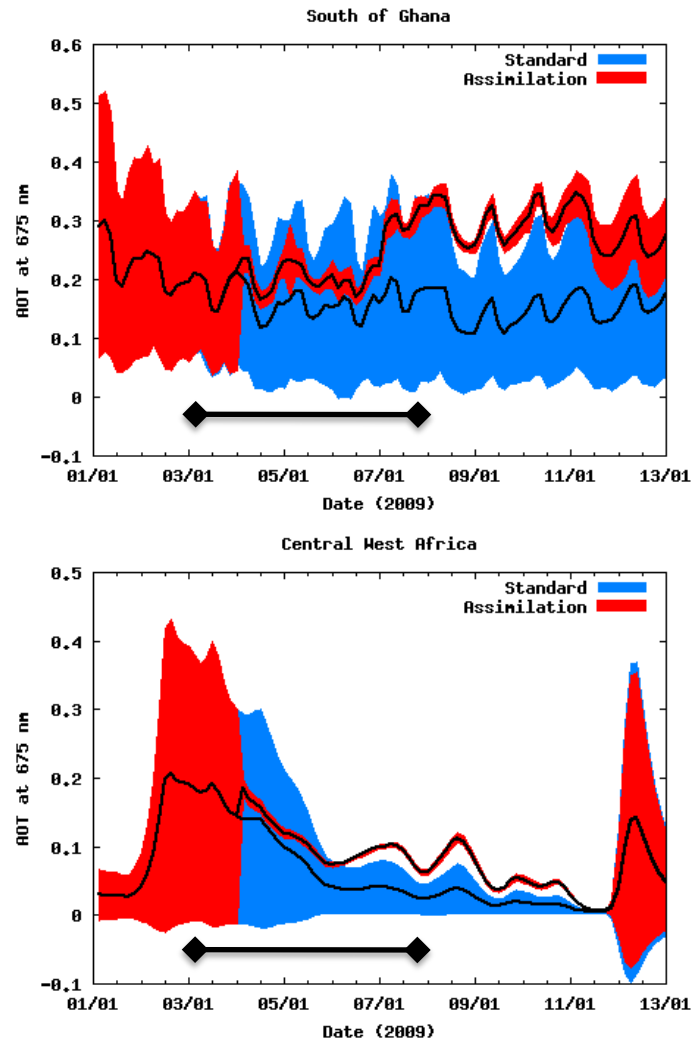
- A single framework for regular data assimilation (DA) and parameter estimation
- Ensemble Kalman filter
- Regular DA:
  - Japanese GOSAT & GCOM-C satellite missions
  - Tokyo metropole AQ forecasts
- Parameter (emission) estimation



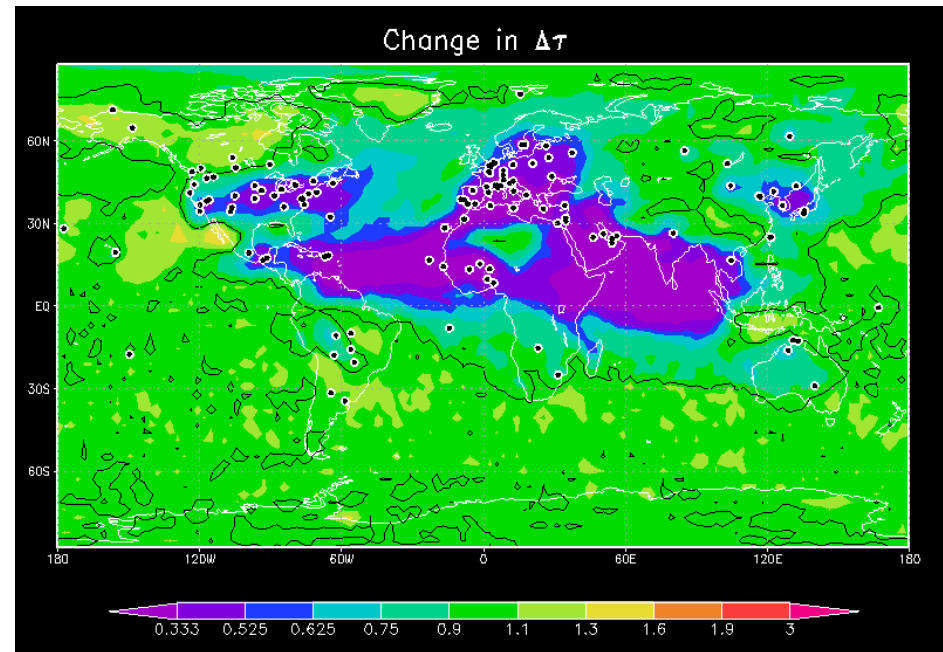
# MODIS over ocean



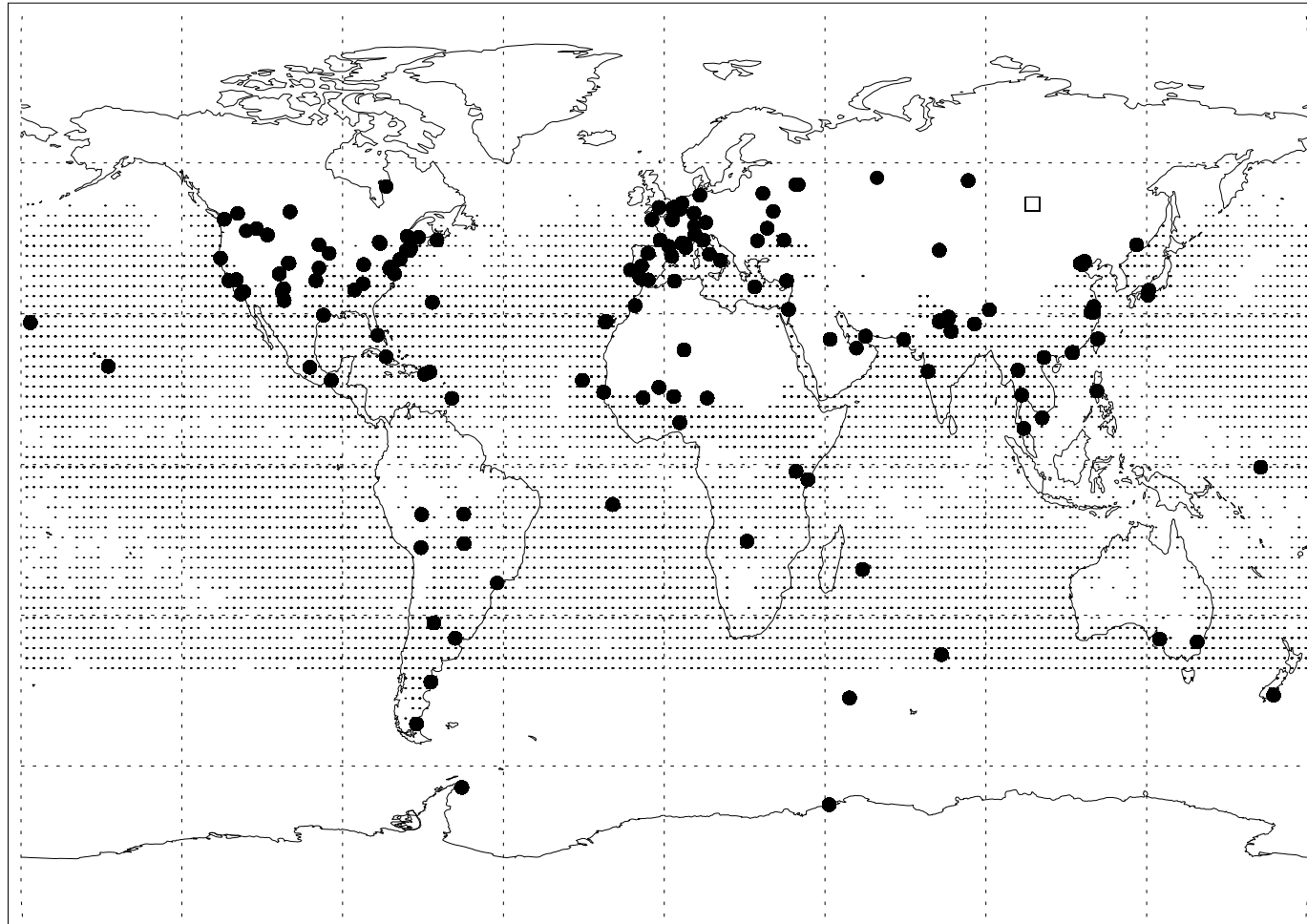
# The model prediction error



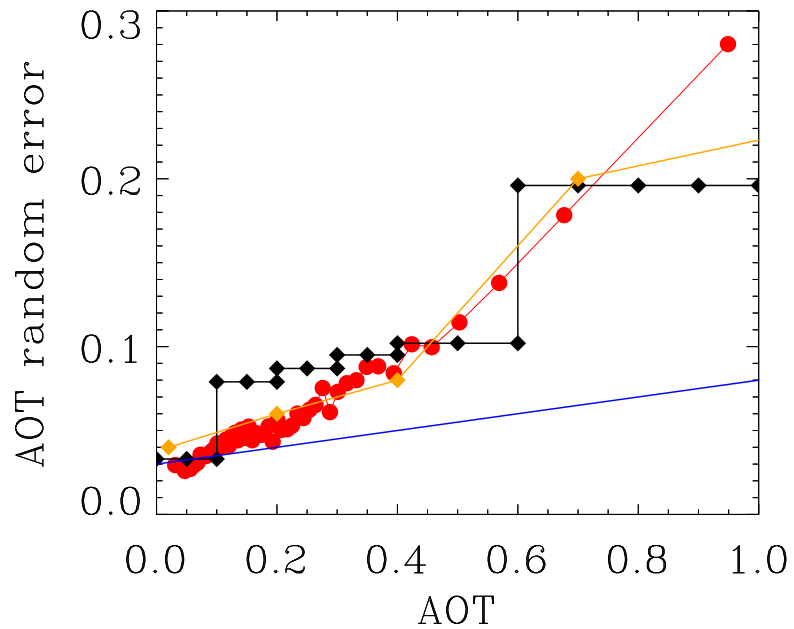
The estimated error in AOT (ensemble spread) evolves naturally, i.e. according to the physics of the model and the information content of the observations.



# Observational sampling



# MODIS random error

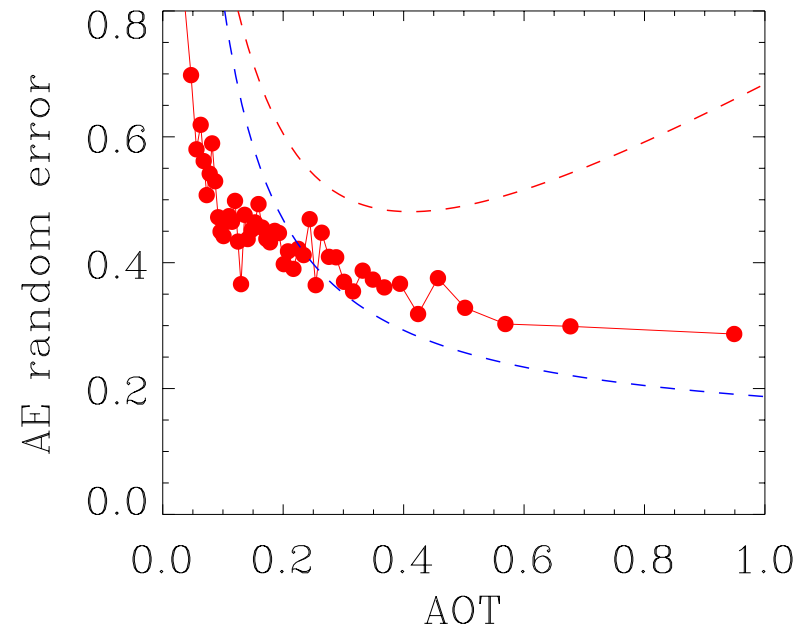


Schutgens et al. 2013

Remer et al. 2005:  $0.03 + 0.05 \text{ AOT}$

Zhang & Reid 2006

Shi et al. 2011



Schutgens et al 2013

Using Remer et al. AOT error