



# ESA Aerosol\_cci progress on pixel level uncertainties



Thomas Popp, Gerrit de Leeuw, Simon Pinnock, Miriam Kosmale,  
Larisa Sogacheva, Pekka Kolmonen, Gareth Thomas, Adam Povey,  
Caroline Poulson, Peter North, Andreas Heckel, Lars Klüser,  
Virginie Capelle, Lieven Clarisse, Sophie Vandenbussche,  
Oleg Dubovik, Pavel Litvinov, Christine Bingen, Charles Robert,  
Jacques Descloitres, Marco Vountas, Luca Lelli, Linlu Mei,  
Stefan Kinne, Michael Schulz, Jan Griesfeller, Kerstin Stebel,  
Christoph Brühl, David Neubauer, Pepijn Veefkind, Gijsbert Tilstra,  
Yong Xue, Yves Govaert, Jürgen Fischer, Martin de Graaf



Max-Planck-Institut  
für Meteorologie



Swansea University  
Prifysgol Abertawe



MAX-PLANCK-INSTITUT  
FÜR CHEMIE



Université  
Lille1  
Sciences et Technologies



Meteorologisk  
institutt  
met.no



ETH  
Eidgenössische Technische Hochschule Zürich  
Swiss Federal Institute of Technology Zurich



ILMATIETEEN LAITOS  
METEOROLOGISKA INSTITUTET  
FINNISH METEOROLOGICAL INSTITUTE



Science & Technology Facilities Council  
Rutherford Appleton Laboratory



UNIVERSITY OF  
OXFORD



# Sources of uncertainties



| Source of uncertainty                      | Description                                                                                                                                        | Qualitative estimate of contribution                                                 |
|--------------------------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|
| <b>Cloud screening and safety zone</b>     | Capabilities depend on available spectral range (e.g. thermal bands are important); safety zone also masks elevated AOD around clouds              | High for UV/VIS sensors, medium for stratospheric algorithms                         |
| <b>Overpass time</b>                       | Polar orbiting sensors provide typically one or two sun-synchronous overpass times per day                                                         | High when comparing to different sensors or against models                           |
| <b>Land surface reflectance (BRDF)</b>     | Can be estimated from vegetation index and/or mid-infrared bands, drawn from a climatology or ECV, or retrieved alongside AOD from multi-view data | High for nadir-only sensors, with larger uncertainty at higher reflectances          |
| <b>Ocean surface reflectance</b>           | Estimated using white caps parameterisation and possibly a climatology of ocean colour                                                             | Medium                                                                               |
| <b>Calibration</b>                         | Absolute radiance calibration is critical with spectral calibration being less critical due to the broad-band features considered                  | Medium                                                                               |
| <b>Aerosol optical properties</b>          | This includes spectral extinction, absorption, phase function and shape (degree of sphericity)                                                     | Medium to high for sensors with low information content, low for AOD < 0.15          |
| <b>Vertical aerosol profile</b>            | Different assumptions are made for different aerosol types but sensitivity at TOA is small for VIS/IR sensors, increasing in the TIR               | Medium for UV observations and absorbing aerosol, low otherwise                      |
| <b>Directional reflectance ratio</b>       | Ratio between nadir and forward views is transferred from mid-infrared to visible bands                                                            | Medium for multi-view sensors                                                        |
| <b>Pixel size</b>                          | Ranges from 1x1 km <sup>2</sup> for radiometers to 16x7 km <sup>2</sup> for polarization instruments to approximately 0.25x0.5° for spectrometers  | Medium when pixels dimension approach 50 km (approximate scale of aerosol variation) |
| <b>Temperature vertical profiles</b>       | Usually of very high accuracy and precision, but might be significantly affected by the presence of high absorbing aerosol load                    | Low to medium (only for TIR sensors)                                                 |
| <b>Trace gas concentration profiles</b>    | Critical absorption bands are usually avoided                                                                                                      | Low                                                                                  |
| <b>Radiative transfer forward model</b>    | Typical accuracy < 1%                                                                                                                              | Low                                                                                  |
| <b>Look-up table discretization</b>        | Uncertainty often a function of the number of discretization points                                                                                | Low                                                                                  |
| <b>Wind speed</b>                          | Used to estimate ocean reflectance                                                                                                                 | Low                                                                                  |
| <b>Sampling</b>                            | Practically all sensors under-sample the aerosol fields in time; different samplings lead to bias between different products                       | Depends strongly on the repeat cycle of the sensor and its swath width               |
| <b>Aggregation to 10x10 km<sup>2</sup></b> | Aims to improve the signal-to-noise ratio and exclude outliers                                                                                     | Reduces random error (but not systematic) and may decrease representivity of data    |



Error propagation of dominant terms for different sensors  
(ATSR, IASI, GOMOS, ...)

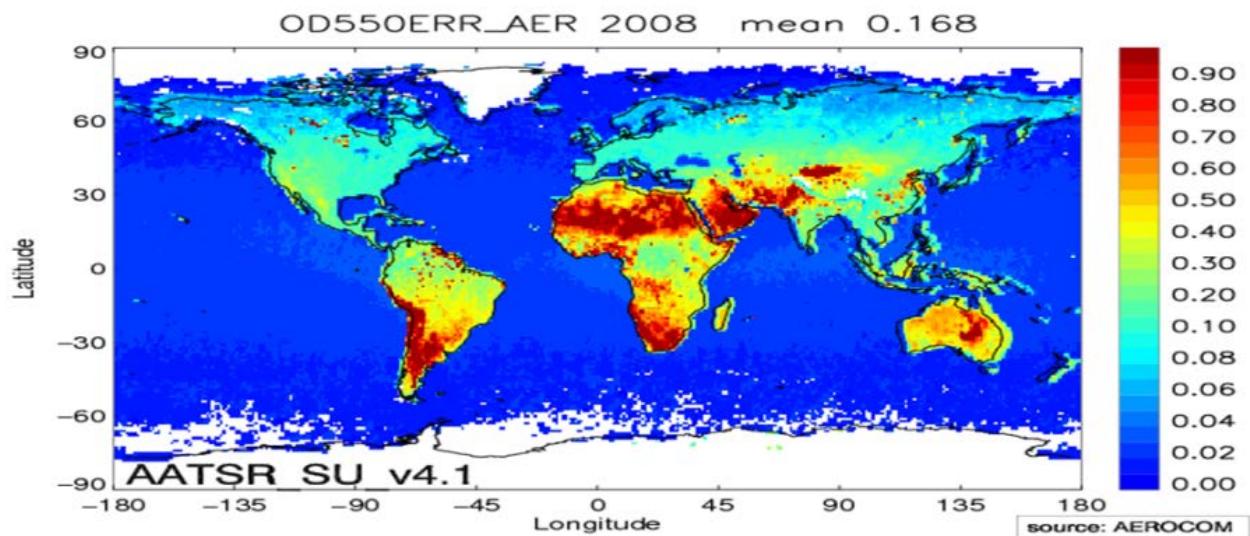
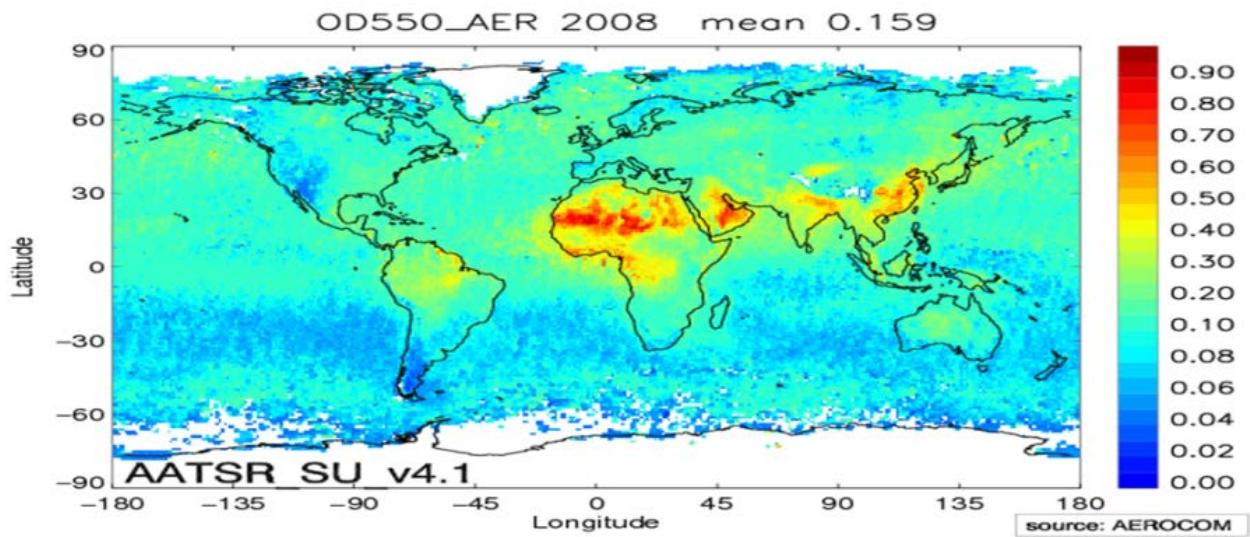
- One IASI example (ULB):

- Aerosol altitude: standard deviation of CALIOP heights,  $\sigma_{ALT} = \sigma_{cal}$
- IASI instrumental noise on R: by definition  $\sigma_R = 1$
- IASI instrumental noise on input channels:  $\sigma_{BL} = 0.28$  K
- Temperature profile:  $\sigma_{TEMP} = 1$  K
- Humidity profile:  $\sigma_{HUM} = 10\%$
- Assumption: all contributions are random

- $$\sigma_{OD} = \sqrt{\left(\frac{\partial OD}{\partial A} \sigma_{ALT}\right)^2 + \left(\frac{\partial OD}{\partial R} \sigma_R\right)^2 + \left(\frac{\partial OD}{\partial B} \sigma_{BL}\right)^2 + \left(\frac{\partial OD}{\partial T} \sigma_T\right)^2 + \left(\frac{\partial OD}{\partial H} \sigma_{HUM}\right)^2}.$$

Partial derivatives \* parameter uncertainties

# Average Uncertainties



# Validating Uncertainties



land

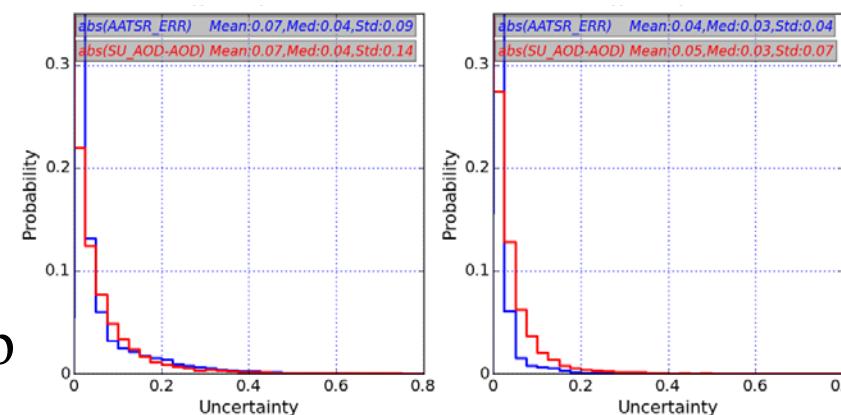
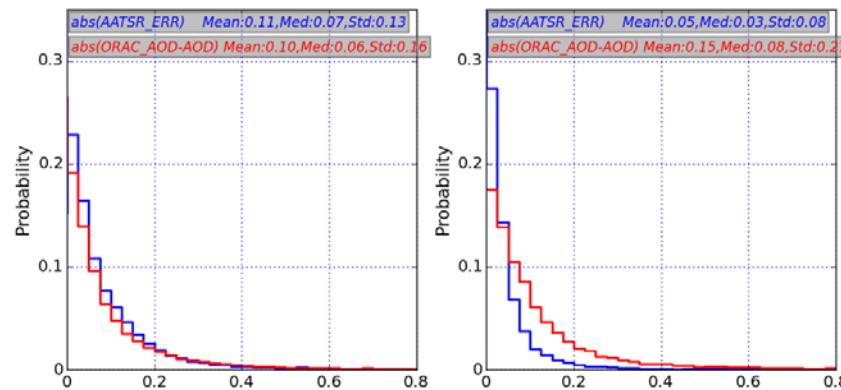
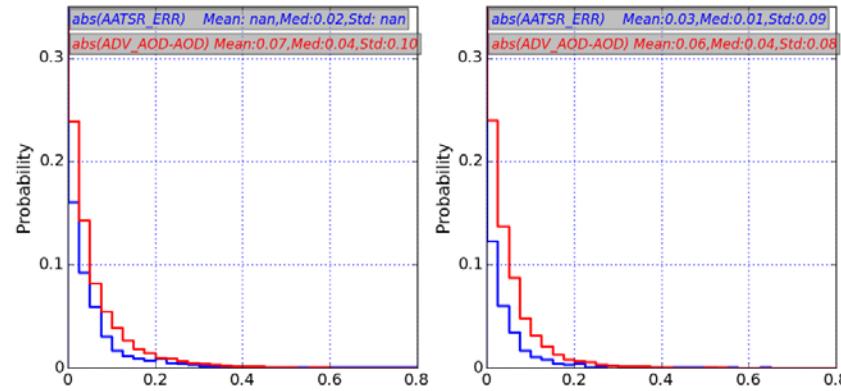
ocean

ADV

ORAC

SU

uncertainty  
„true“ error

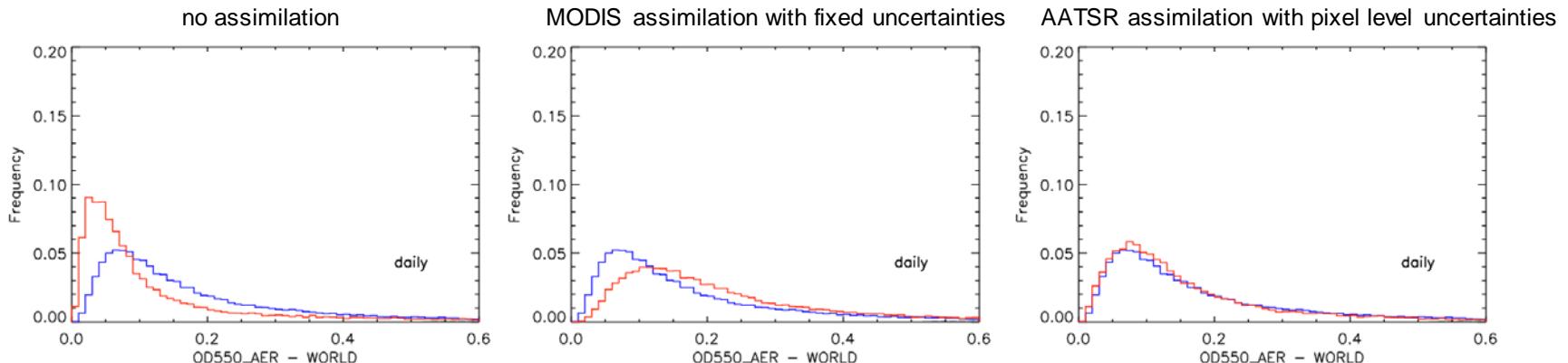




# esa Uncertainties in data assimilation



- ↗ MACC model assimilation test / all 2008 / AOD550
- ↗ MODIS collection 5.1: fixed uncertainties (0.1 / 0.05), online bias correction
- ↗ AATSR ADV: pixel level uncertainties, no bias correction
- ↗ Validation against AERONET
- ↗ Both datasets improve correlation and rmse vs. no assimilation case ( $R_{MOD} = 0.90$ ,  $R_{ATS} = 0.84$ ,  $R_{no} = 0.71$ )
- ↗ Combined assimilation improves even slightly further ( $R=0.92$ )



# Uncertainties for ensemble

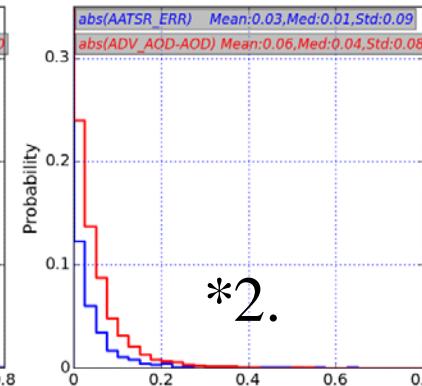
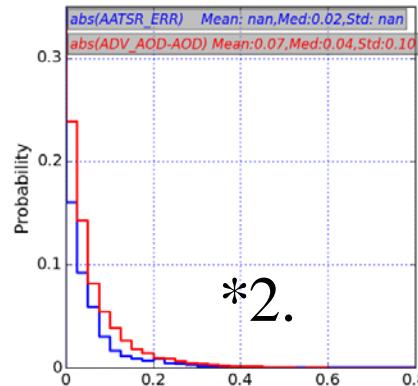


land

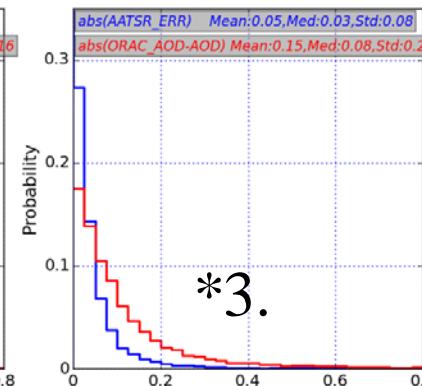
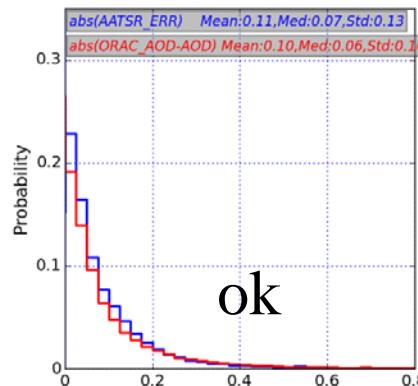
ocean

uncertainty  
„true“ error

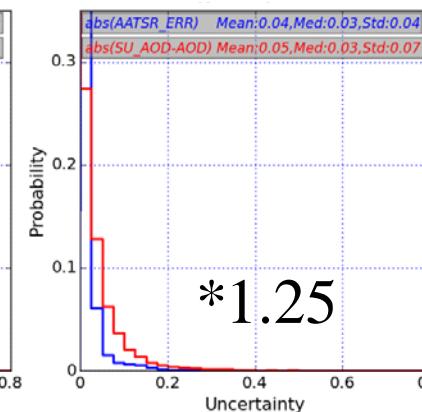
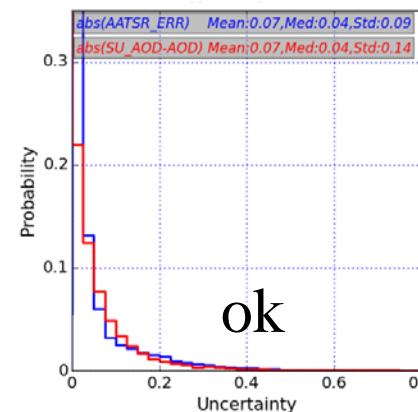
ADV



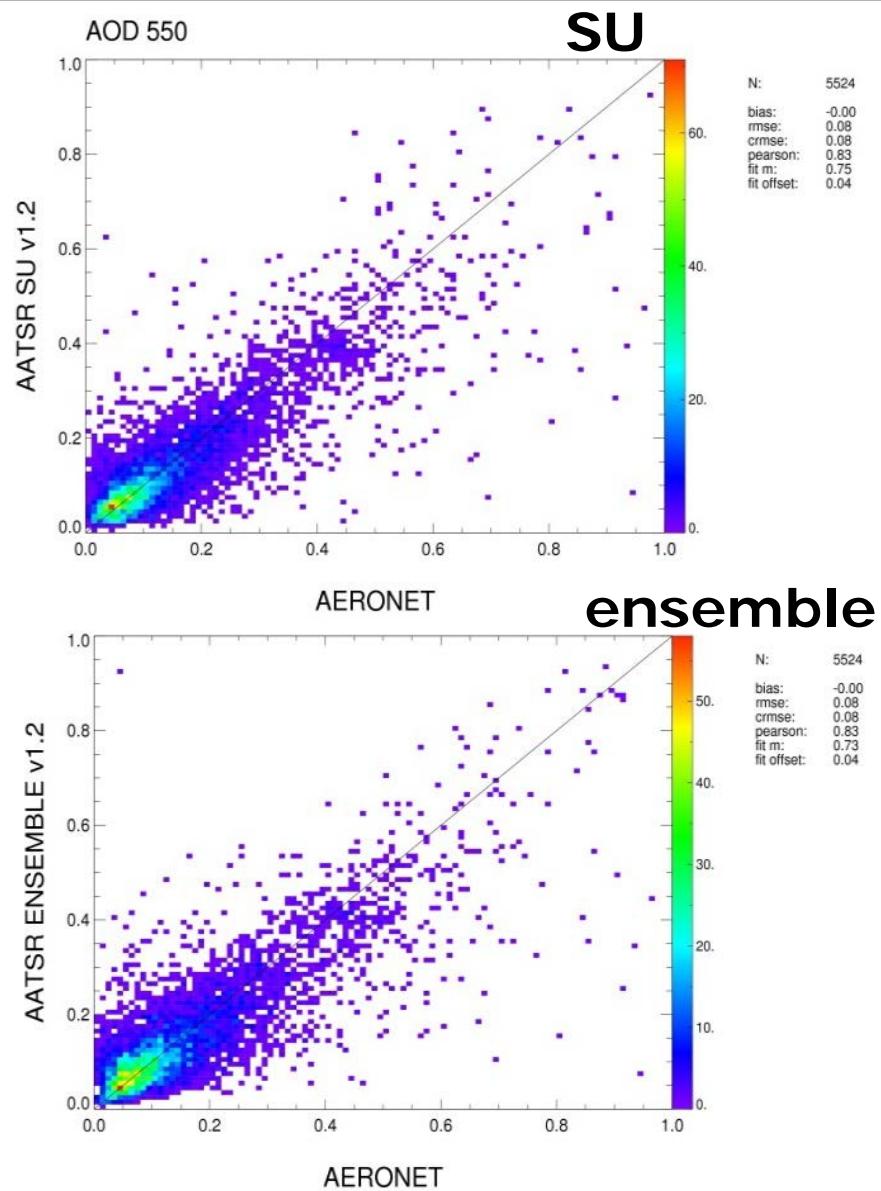
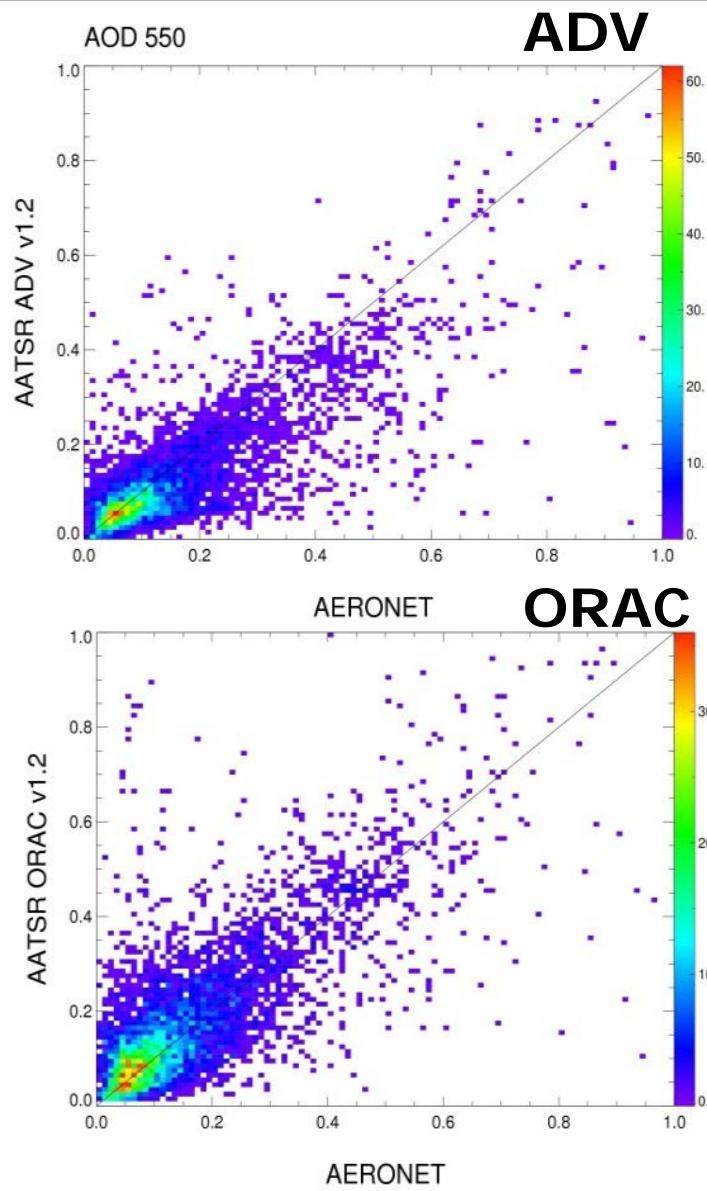
ORAC



SU



# AATSR ensemble

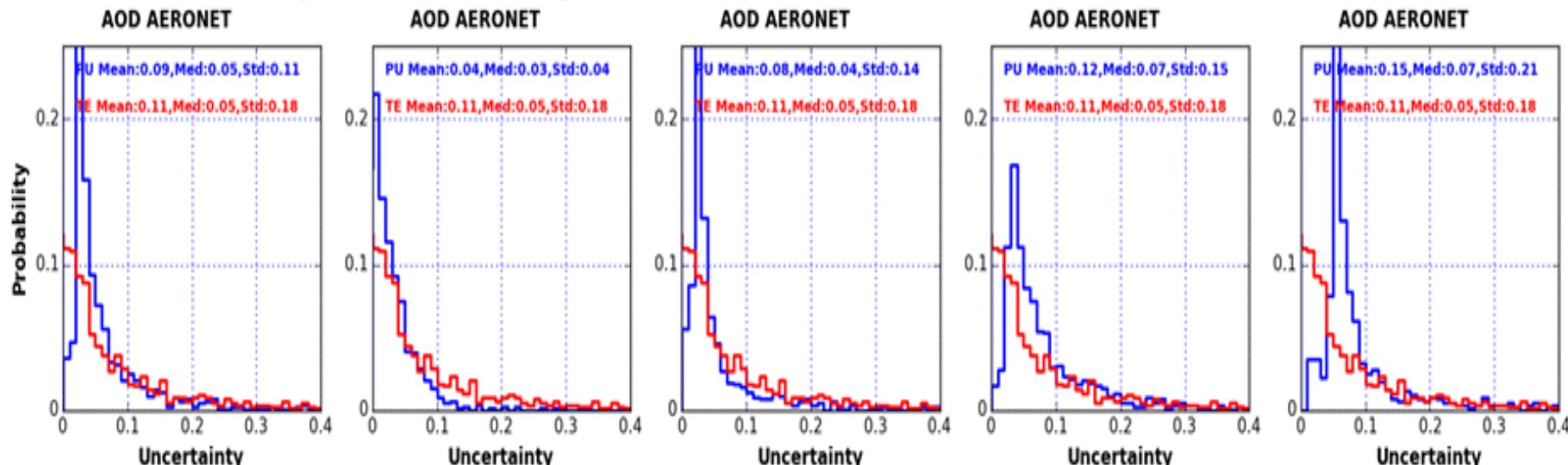




| Validation with AERONET for 2011 |             |            |              |                 |
|----------------------------------|-------------|------------|--------------|-----------------|
|                                  | ADV<br>2.30 | SU<br>4.21 | ORAC<br>3.02 | Ensemble<br>2.6 |
| N                                | 6557        | 6324       | 8532         | 6949            |
| BIAS                             | -0.02       | 0.00       | 0.07         | 0.00            |
| RMSE                             | 0.10        | 0.11       | 0.20         | 0.10            |
| CRMSE                            | 0.10        | 0.11       | 0.18         | 0.10            |
| Pearson                          | 0.82        | 0.82       | 0.59         | 0.85            |
| fit m                            | 0.76        | 0.75       | 0.74         | 0.83            |
| fit offset                       | 0.02        | 0.05       | 0.12         | 0.03            |



- ↗ mean uncertainty  $\frac{1}{N} \sum_i \sigma_i$  (confidence in used pixels)
- ↗ standard deviation  $\sqrt{\sum_i \frac{(AOD_i - \overline{AOD})^2}{N-1}}$  (natural variability)
- ↗ propagated uncertainty  $\frac{1}{N} \sqrt{\sum_i \sigma_i^2}$  (independent random)
- ↗ sum of 2 and 3 (represent dominant sources of error)
- ↗ worst-case propagation  $\frac{1}{N} [\sum_i (AOD_i + \sigma_i) - \sum_i (AOD_i - \sigma_i)]$ , simplistic upper boundary of uncertainty



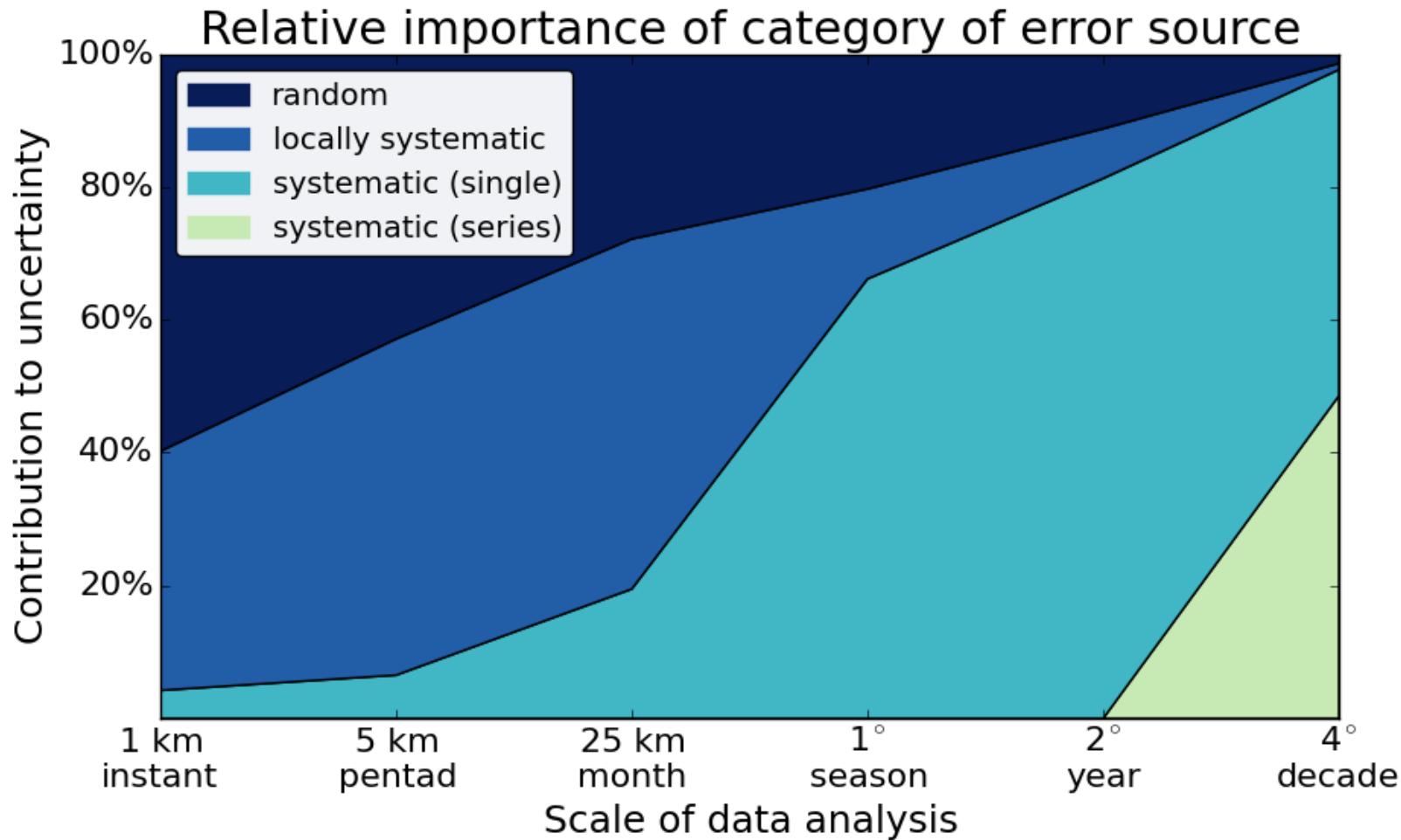
# Discussion (1)



- Error propagation provides **useful pixel-level uncertainties**
  - Spatial / temporal variation of uncertainties
  - Weighting in ensemble
  - Weighting in data assimilation



- What we cannot (yet) cover
  - Uncertainty of **cloud** masks
  - Validation of uncertainties where **no reference data** exist (partial clouds, coastal water, ...)
  - Separation into **systematic / random** (all known biases are corrected in the retrieval, all others are treated as random)
  - Rigid propagation to **gridded datasets**
  - Treatment of uncertainty terms with different **correlations**
- This information is described for users in
  - **Pixel level flags**
  - **User guide / quality statement**



from <http://dx.doi.org/10.6084/m9.figshare.1483408> (Chris Merchant, CCI SST project)



## Aims and outputs

- Learn to do **well-characterised uncertainties in Climate Data Records (CDRs)**
- Knowledge about observational stability from first principles
- New infra-red, visible and microwave “easy-FCDRs” with  $\varepsilon$ 's
- New CDRs for UTH, sea & lake ST, aerosol, albedo,
- **Techniques, toolbox and training for tracing uncertainty from detector to geophysical product**

## Project headlines

- 4 year project under H2020
- 10 partners including a national metrological institute
- “**Metrology for Earth Observation**” across all wavelength domains for EO
- 2 international workshops
- 10 new datasets with rigorous traceable uncertainty info
- Cookbooks, open source tools, e-learning