

# Polarimetric remote sensing of atmospheric aerosols: POLDER and beyond

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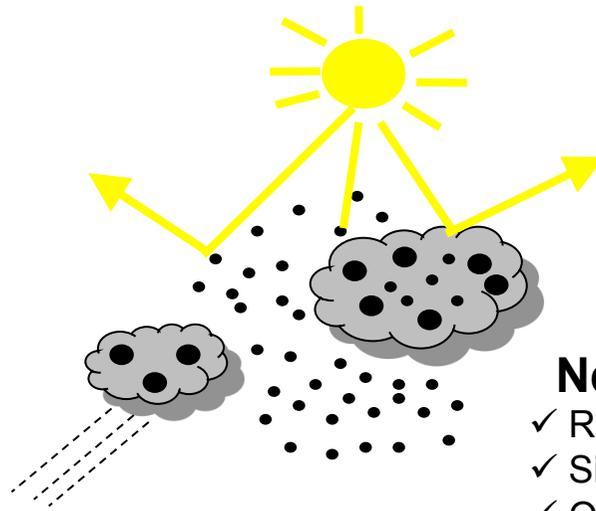
3 Laboratory for Atmospheric Optics (LOA), Lille, France

4 Leiden University

5 NASA Ames

The logo for SRON (Netherlands Institute for Space Research) features the letters 'SRON' in a bold, white, sans-serif font. The 'S' is significantly larger and overlaps the 'R'. The logo is positioned on a dark grey background that transitions into a blue gradient at the bottom of the slide.

# Aerosols & Climate



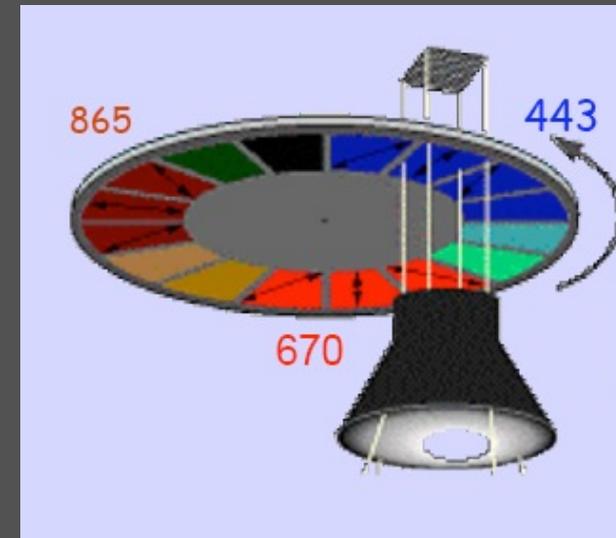
## Needed (globally):

- ✓ Refractive index (composition, water uptake).
- ✓ Size, shape, and concentration.
- ✓ Optical properties (AOT, SSA, phase function)
- ✓ Measurements close to and above clouds

Multi-angle photo-polarimetry essential to retrieve the relevant aerosol properties [Mishchenko and Travis, JGR, 2007; Hasekamp and Landgraf, Appl. Opt., 2007; Kokhanovsky et al, AMT, 2010]

# POLDER-3 on PARASOL

- 2004 - 2013
- Wide FOV CCD Camera with  $\pm 43$  degrees cross track -  $\pm 51$  degrees along track.
- Each ground pixel is viewed under multiple viewing angles (up to 16).
- 9 spectral bands between 443-1020 nm, polarization (Stokes parameters Q,U) at 490, 670, 865 nm.
- Polarimetric accuracy estimated between 0.01 (ocean)-0.02 (land)
- In this work 4 wavelengths are being used:  
490, 670, 865 , 1020 nm.



# New Generation of Algorithms

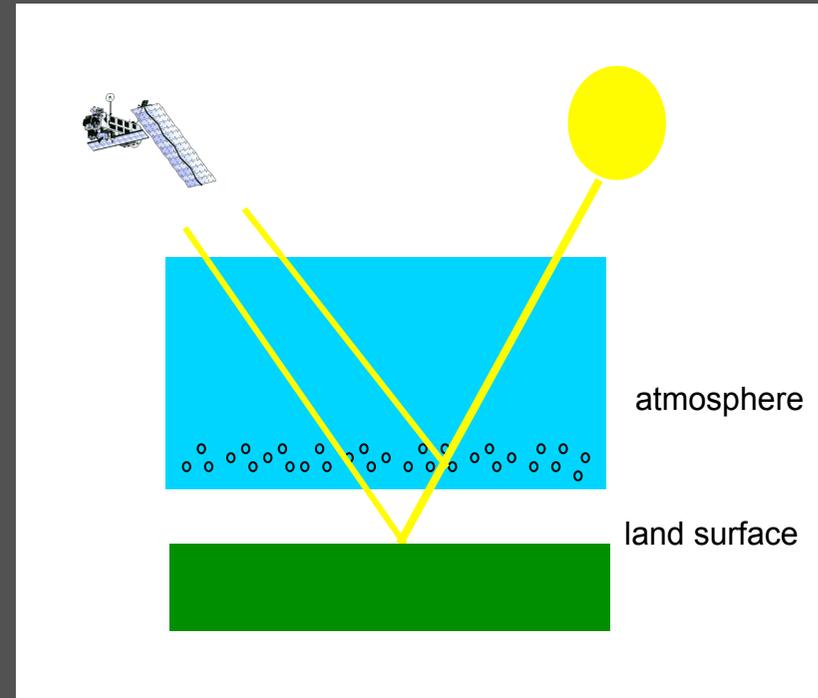
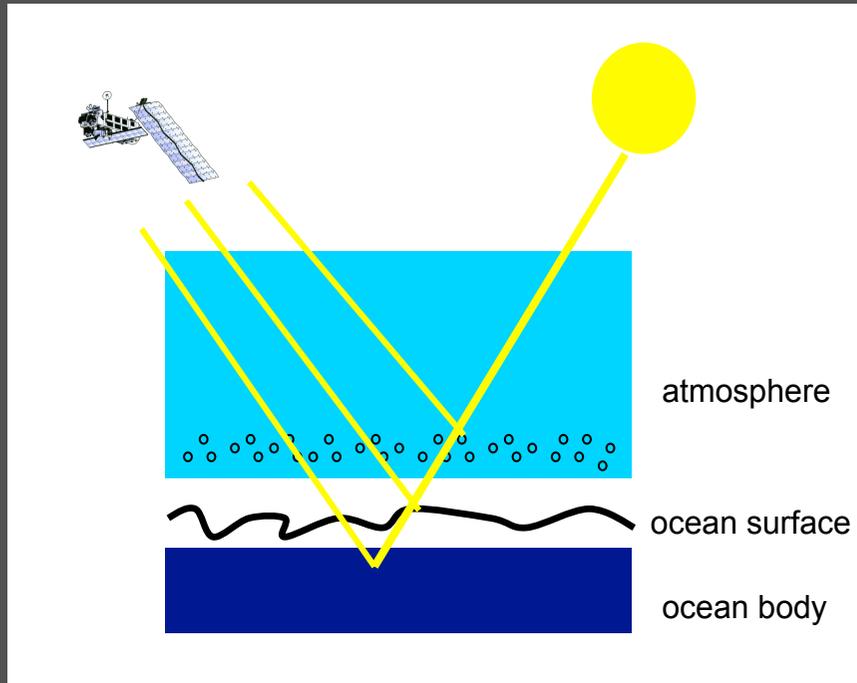
Current standard retrieval approaches are not able to cope with (potential) capabilities of POLDER:

- Limited number of "standard" aerosol models.
- Simplified treatment of ocean / land reflectance.

New generation of algorithms is currently under development for POLDER (Dubovik et al., AMT, 2011; Hasekamp et al., JGR, 2011)

- No restriction to "standard" aerosol models (size distribution, refractive index, shape) but retrieve properties of aerosol models.
- Retrieve land / ocean properties simultaneously with aerosol.
- Fit full polarized RT model to multi-angle, multi-wavelength measurements of radiance and polarization

# Forward Model



- **Ocean surface:** Cox & Munk, 1954
- **Ocean body:** optical properties as function of  $[Chl_a]$  (Morel, 2001; Chowdhary 2010)

- **Land BRDF:** Rahman-Pinky-Verstraete or kernel based Ross-Roujean / Ross-Li
- **Land BPDF:** Surface facets + shadowing (Litvinov et al (RSE 2011))

## Atmosphere

- Landgraf et al. (JQSRT 2001; JGR 2002)
- Hasekamp and Landgraf (JQSRT 2002; JGR 2005)
- Hasekamp and Butz (JGR 2008)
- Hasekamp et al (JGR 2011)

# Inversion Approach

Tikhonov regularization:

$$\hat{\mathbf{x}} = \min_{\mathbf{x}} ( \| \mathbf{S}_e^{-1/2} (\mathbf{F}(\mathbf{x}) - \mathbf{y}) \|^2 + \gamma \| \mathbf{\Gamma}(\mathbf{x} - \mathbf{x}_a) \|^2 )$$

Initial guess and a priori:

- Use LUT with pre-calculated values of I, Q, and U for 192 aerosol models.
- Fit AOT (fine), AOT (coarse), Chlorophyll-a concentration, and wind speed using LUT as forward model (interpolation between node points).

# Fit Parameters

## Aerosols:

	$r_{\text{eff}}$	$v_{\text{eff}}$	$m_r$	$m_i$	N	$f_{\text{sph}}$
fine mode	✓	✓	✓	✓	✓	x
coarse mode	✓	✓	✓	✓	✓	✓

Derived optical properties: AOT, SSA, phase matrix

## Ocean

- Chlorophyll-*a* concentration.
- Foam coverage.
- Wind speed (2 components).

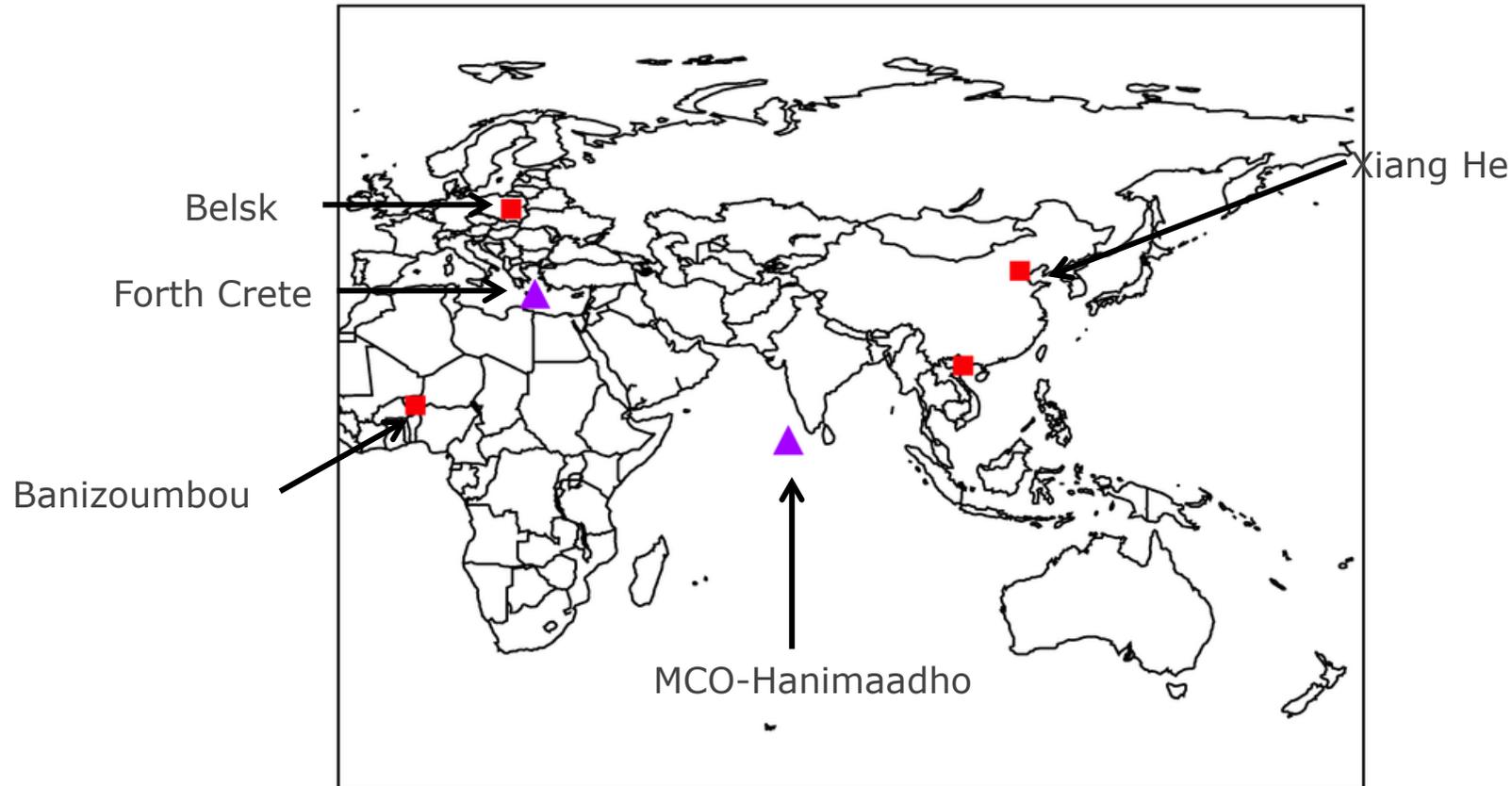
## Land Surface

- Total reflectance **at each wavelength**
- Directional parameters (RPV parameters or kernel coefficients).
- Polarization scaling and variance of facet distribution

# Validation with AERONET (2006)

Ocean (blue triangles):  
distance < 40 km  
 $\Delta T < 1$  hour

Land (red squares):  
distance < 15 km  
 $\Delta T < 1$  hour



# Retrievals over Ocean

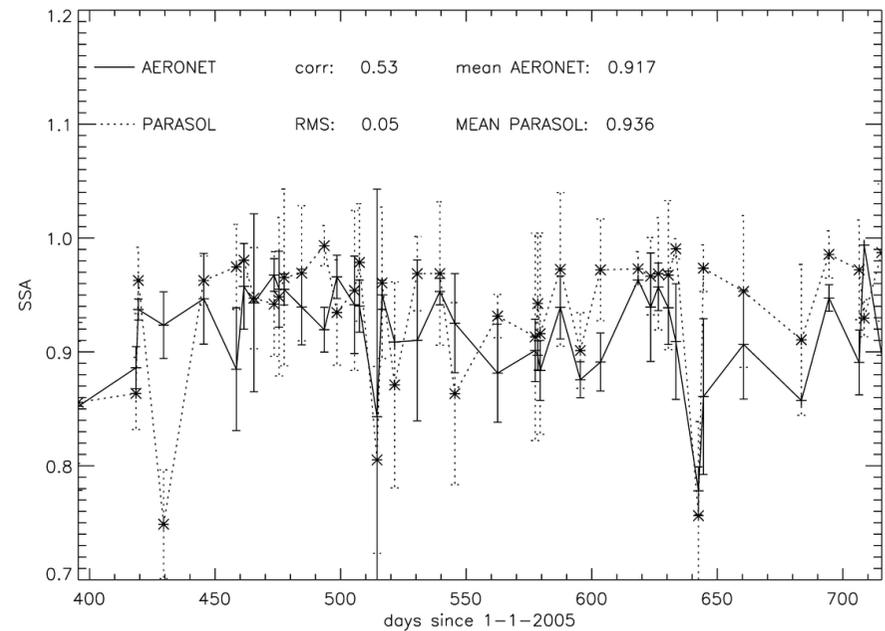
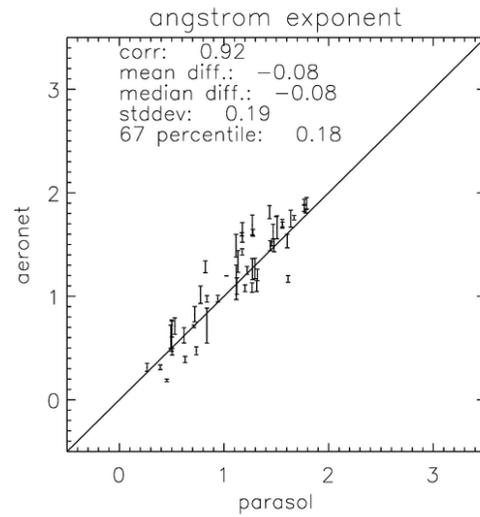
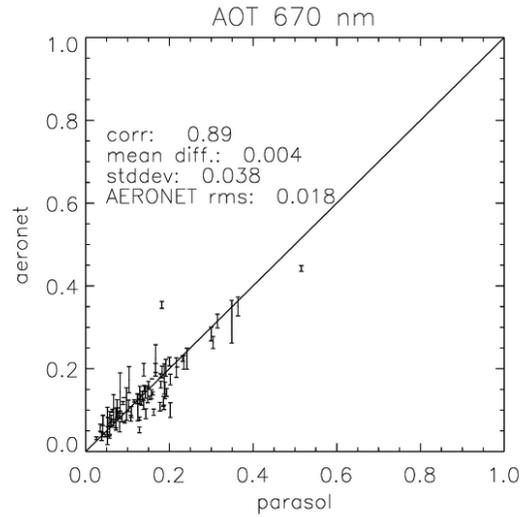
Hasekamp et al, JGR, 2011, with improvements:

- Use 490, 670, 865, 1020 nm bands instead of only 490 and 670 nm.
- Include non-spherical particles for coarse mode (Dubovik Kernels).
- Different iteration / regularization approach

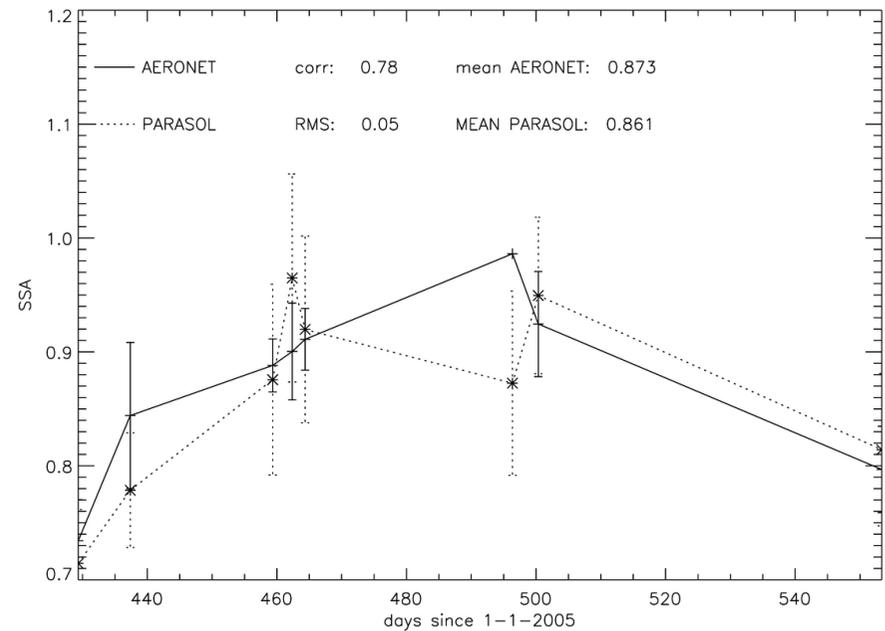
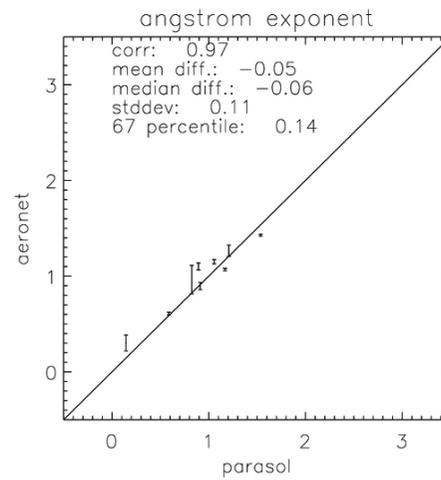
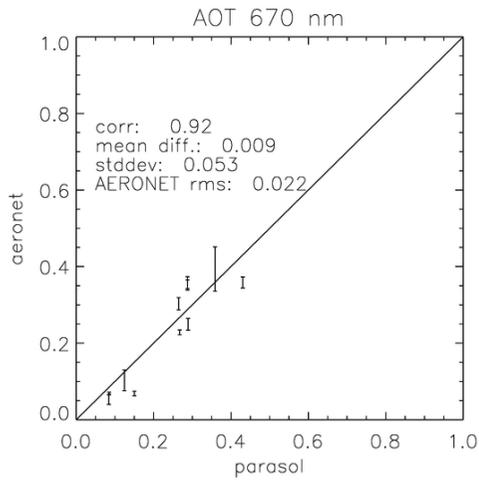
## **Filtering:**

- Clouds (PARASOL cloud mask)
- Goodness of fit
- Refractive index  $> 1.33$

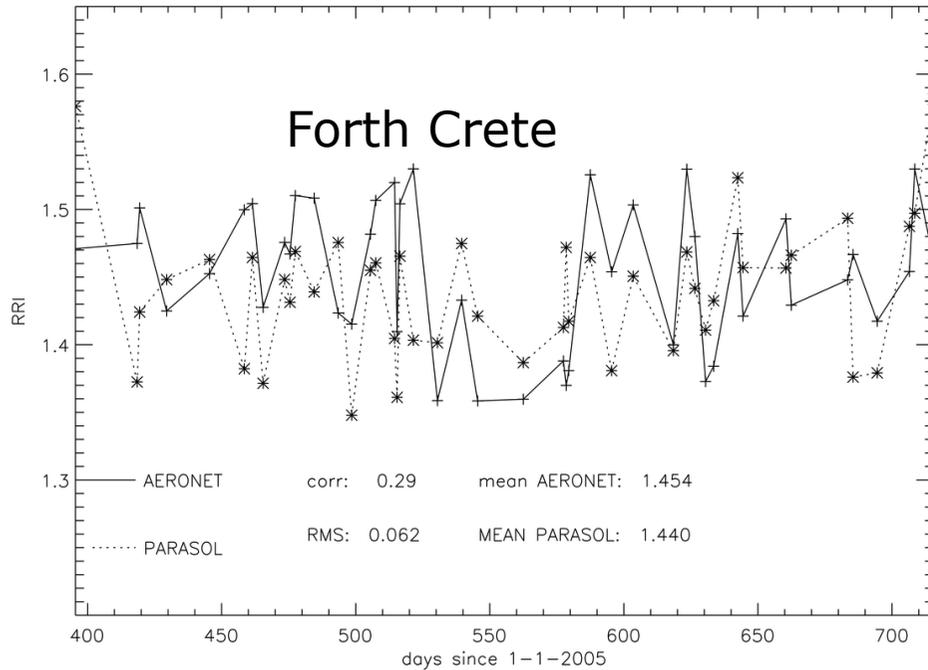
# Forth Crete (Ocean)



# Hanimadhoo (ocean)

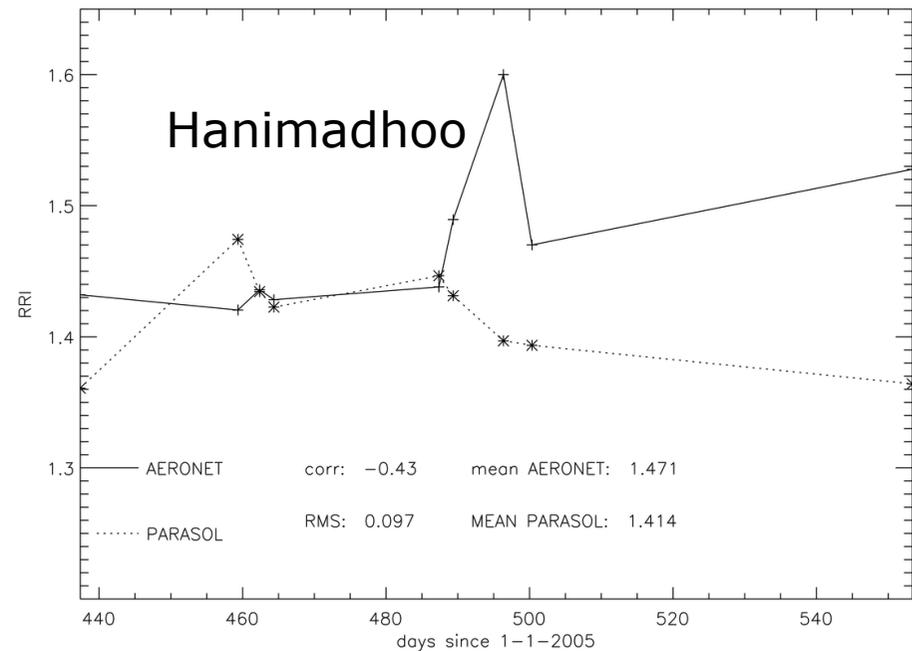


# Refractive Index



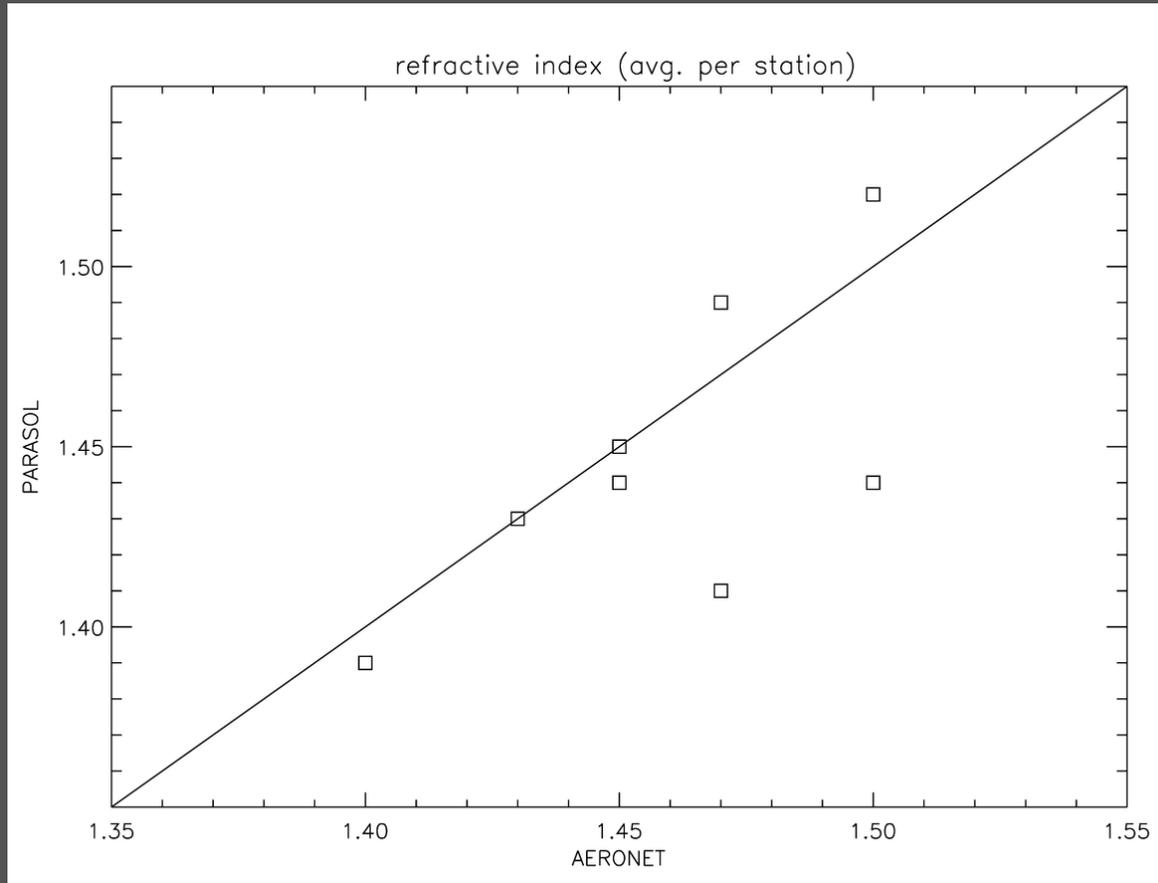
## Note:

AERONET does not retrieve the same quantity (size independent, wavelength dependent) as we do from PARASOL (size dependent, wavelength independent)



$$m_{comp} = \frac{V_{fine} m_{fine} + V_{coarse} m_{coarse}}{V_{fine} + V_{coarse}}$$

# Refractive Index: Average per Station



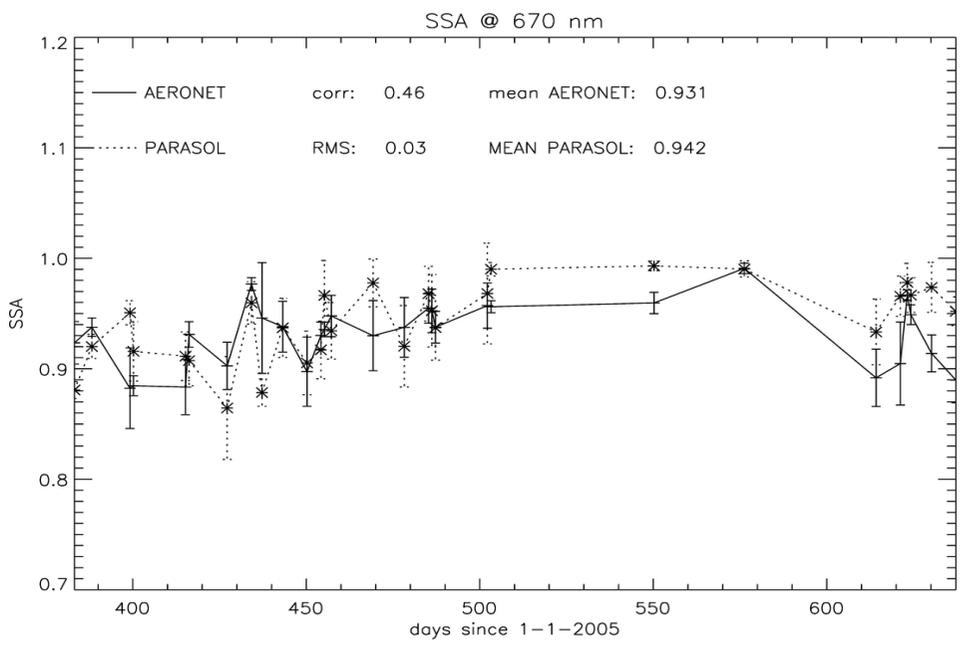
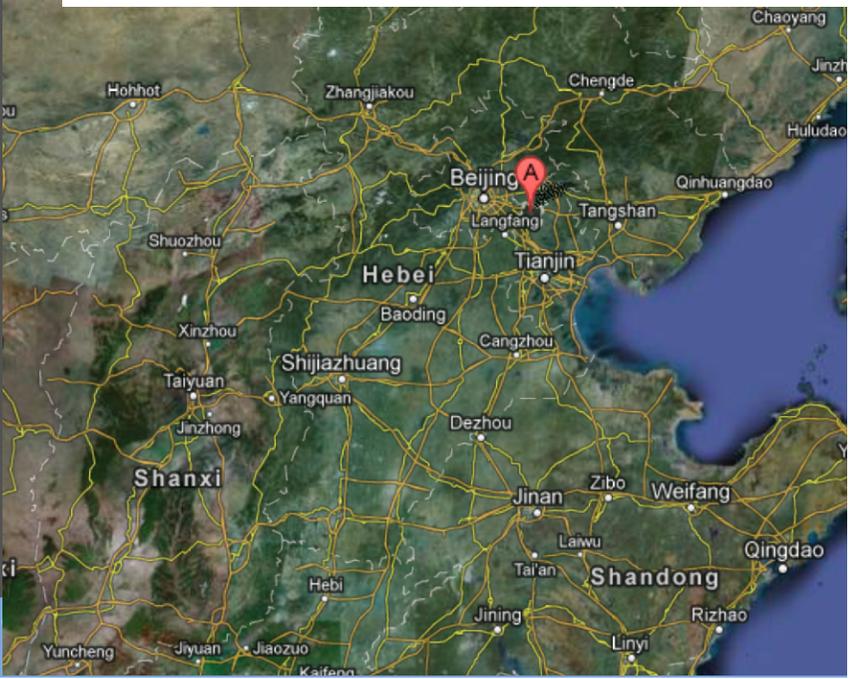
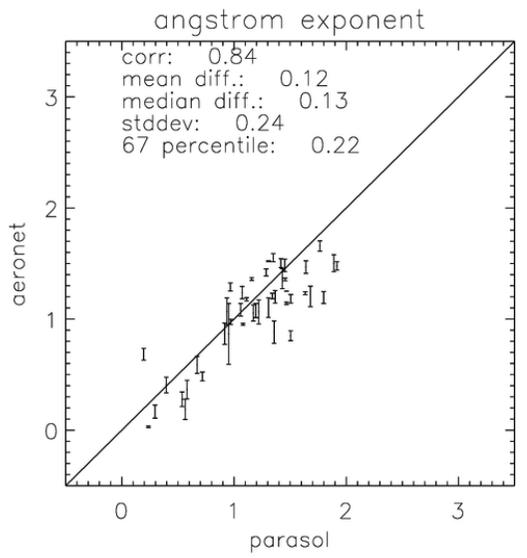
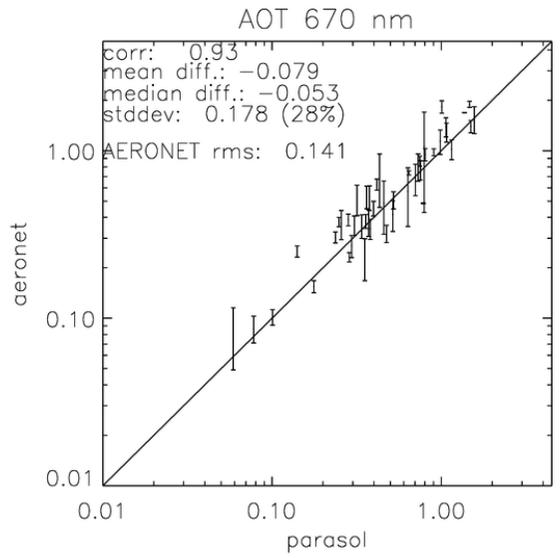
## Stations:

- Anmyon
- Cheng Kung
- Forth Crete
- Gosan Snu
- Guam
- IMS-METU-ERDEMLI
- Hanimaadhoo
- Sevastopol

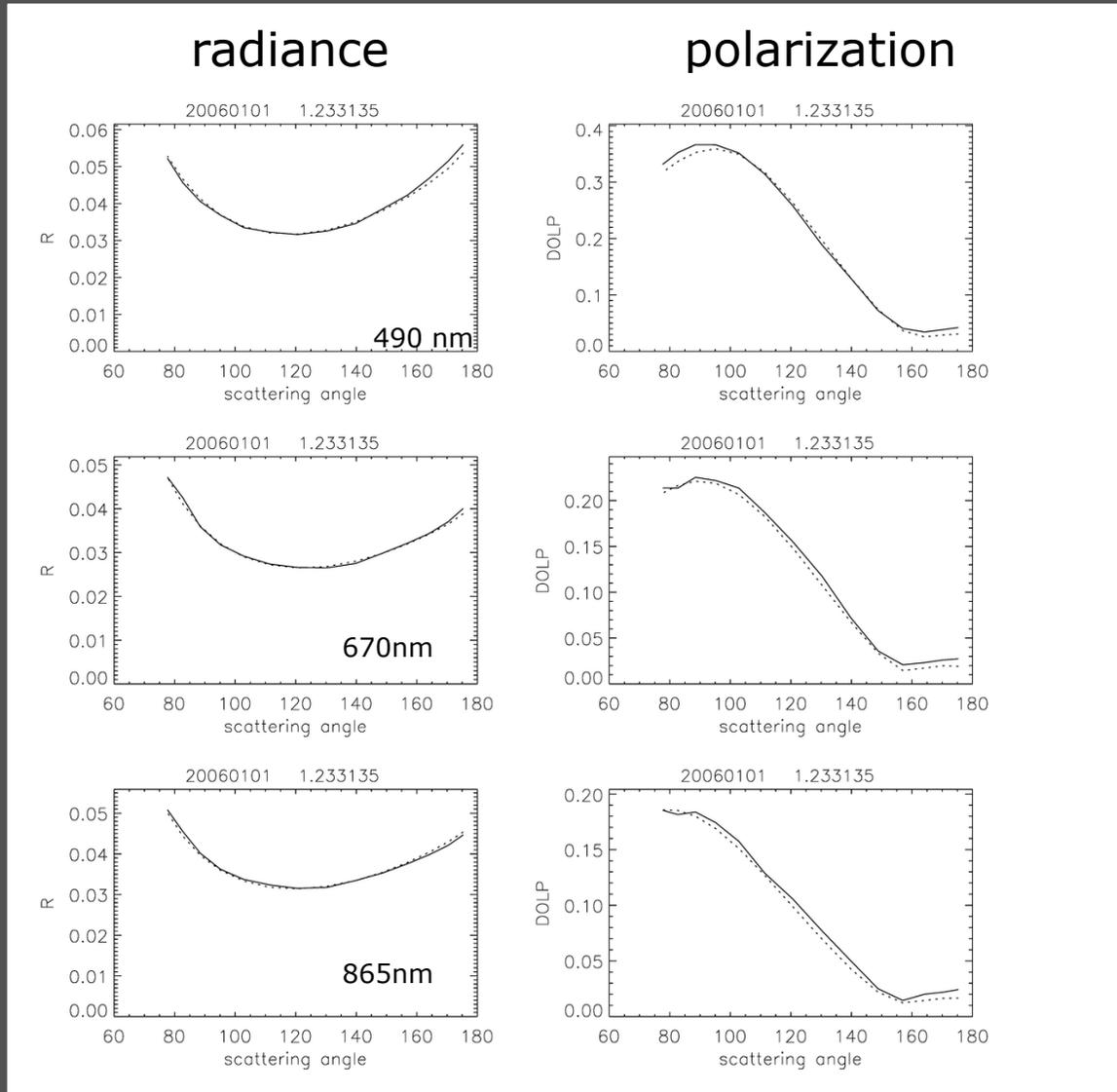
# Under development: Retrievals over Land

- Retrievals performed for both RPV and Ross-Roujean surface models
- RPV gives best results
- Cloud filtering only based on  $\chi^2$  of fit (see talk Arjen Stap).

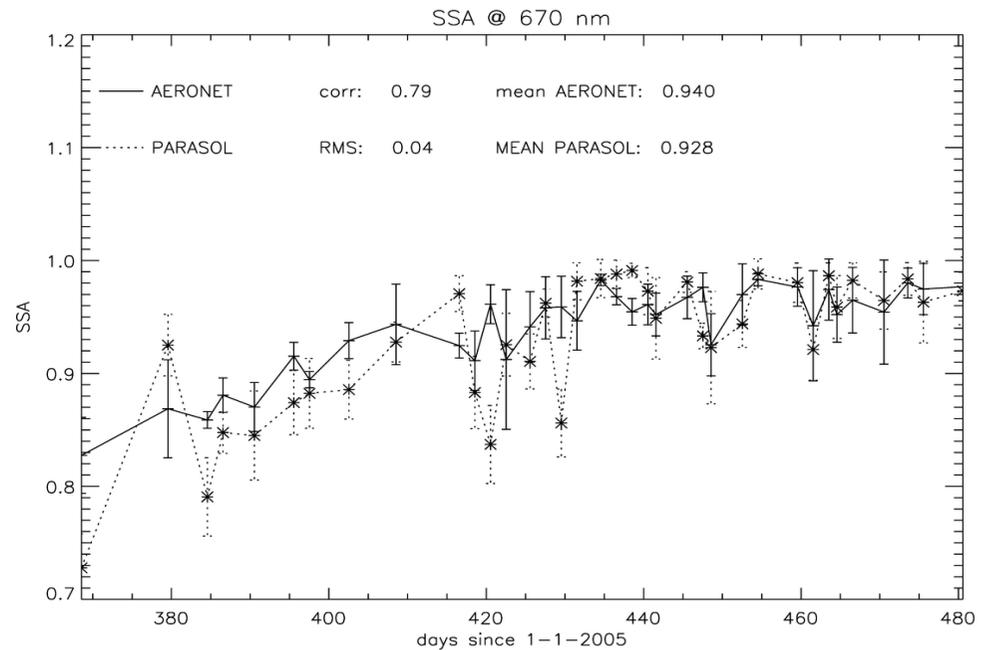
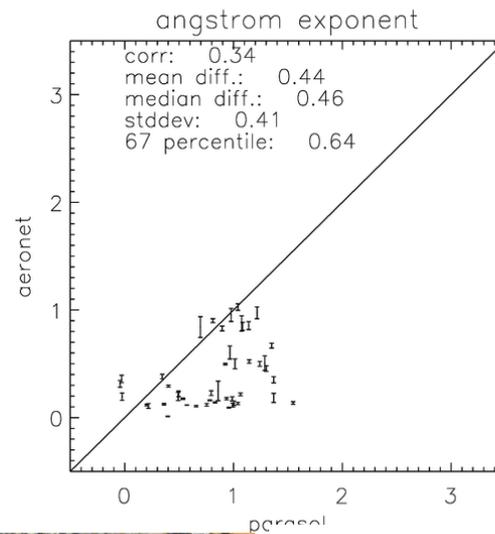
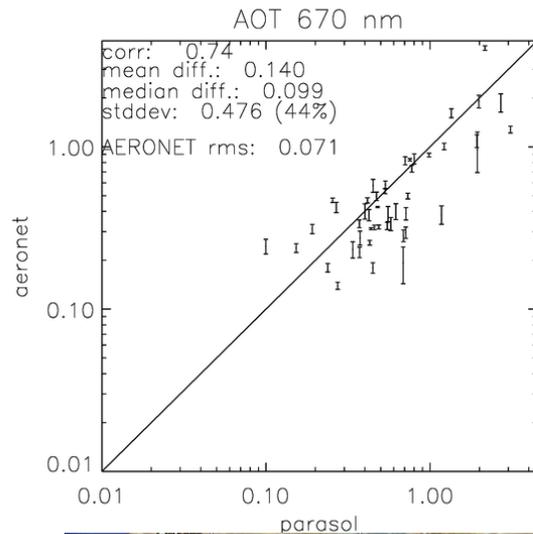
# Xiang He (Land)



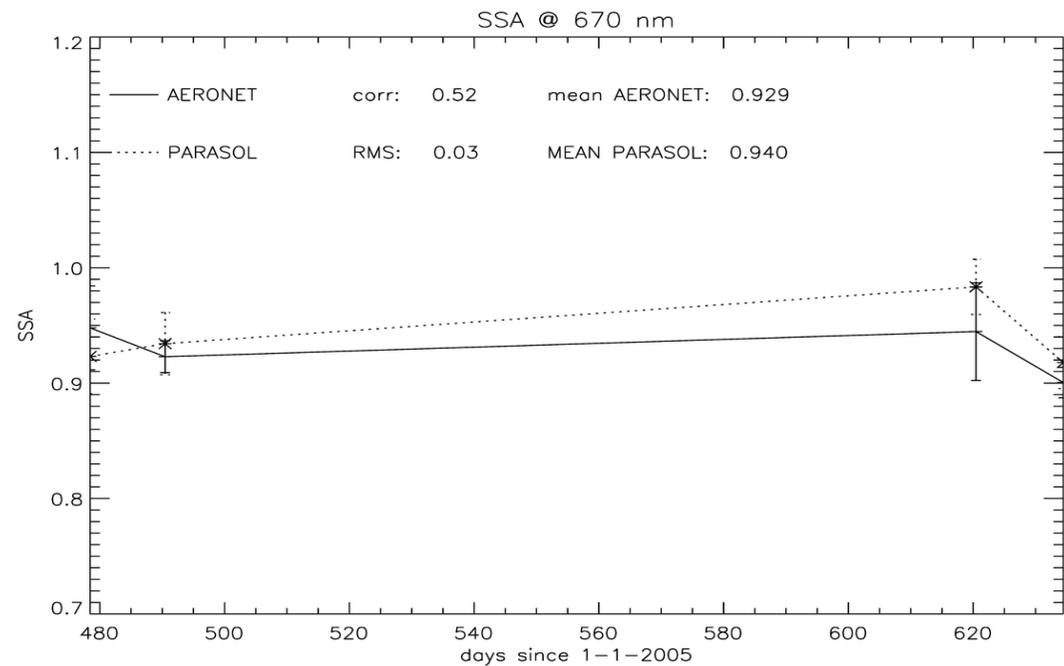
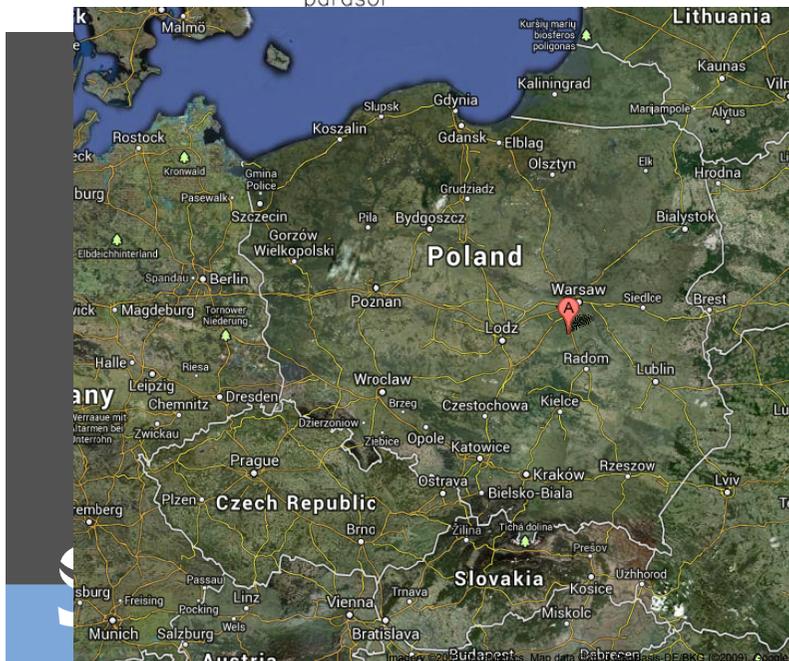
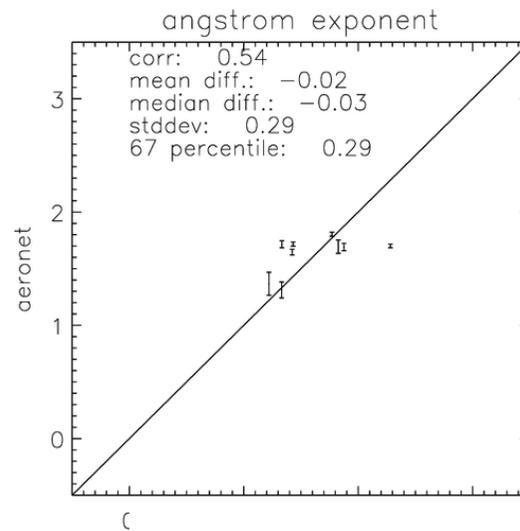
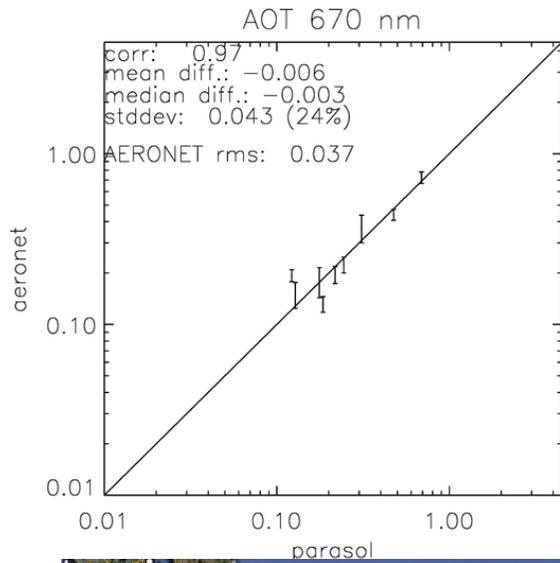
# Land: Xiang He - Fits



# Banizoumbou (land)

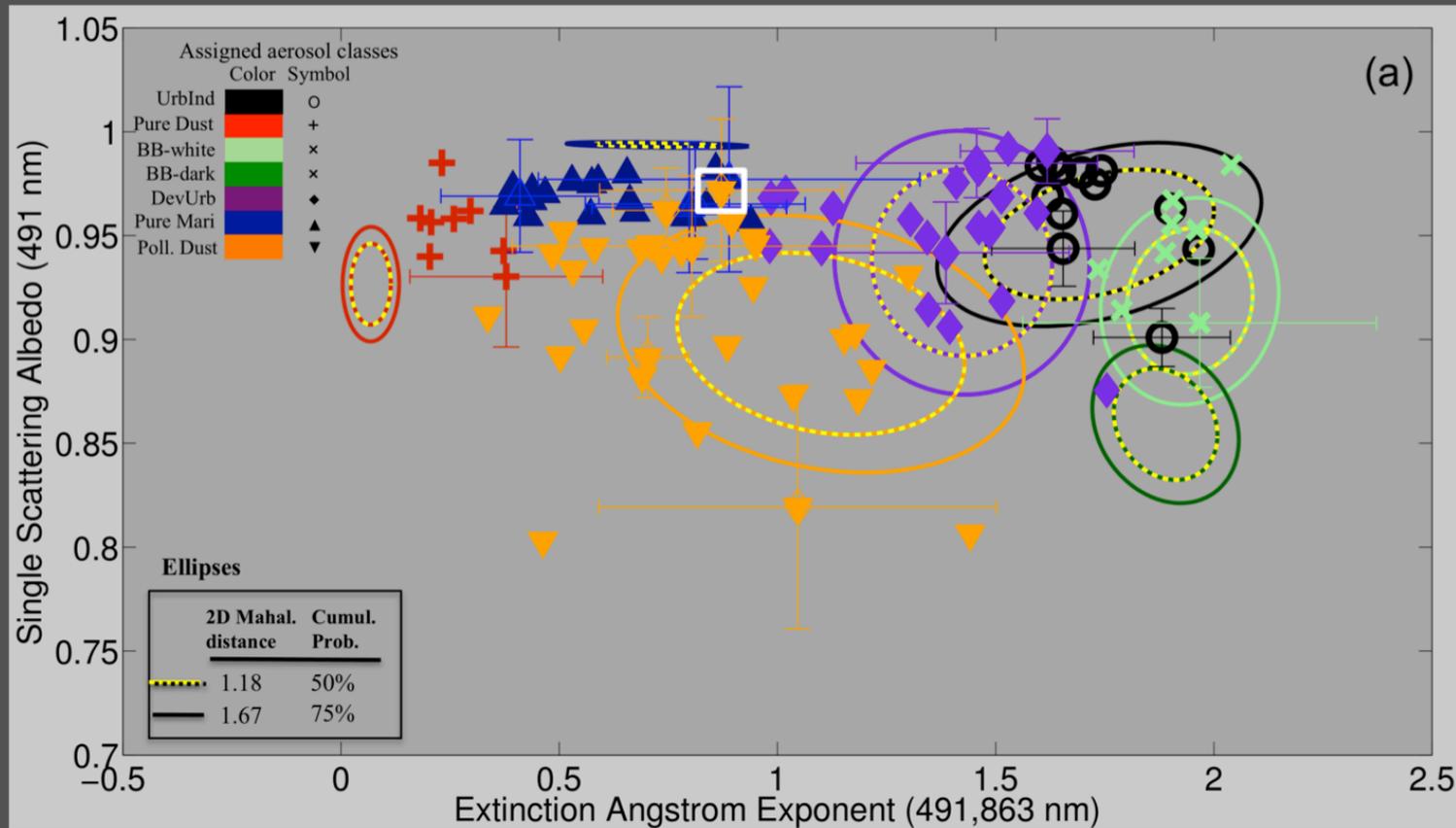


# Belsk (Land)



# Application: Aerosol Classification (@NASA-AMES)

Aerosol classification from POLDER over Forth Crete



.... and beyond

# The Next Generation of Instruments

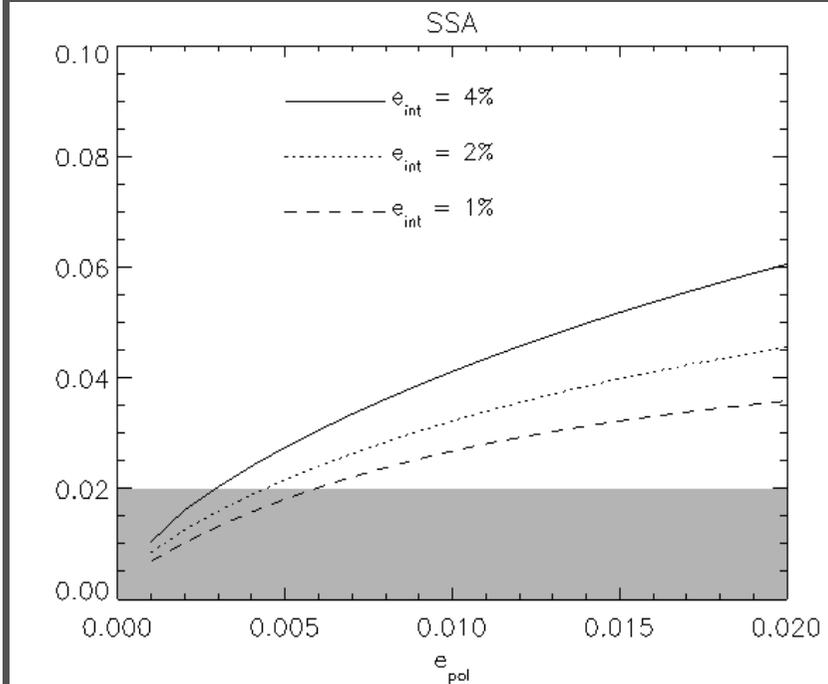
POLDER is at the forefront of aerosol satellite remote sensing, but has a number of shortcomings. The most important improvements needed for the next generation of polarimeters are:

- Improvement of polarimetric accuracy for refractive index and SSA.
- Increase number of viewing angles to distinguish clouds and aerosols.
- Increase spectral resolution to measure O2-A band (aerosol height)
- Extend spectral range to SWIR for coarse aerosol characterization
- Extend spectral range to blue/UV for aerosol absorption (+ fine mode)

POLDER's successor 3MI, with extended spectral coverage, will provide operational monitoring of aerosols with **daily global coverage** > 2020

In addition, a **high accuracy polarimeter** is needed to meet refractive index and SSA requirements, preferably in combination with LIDAR.

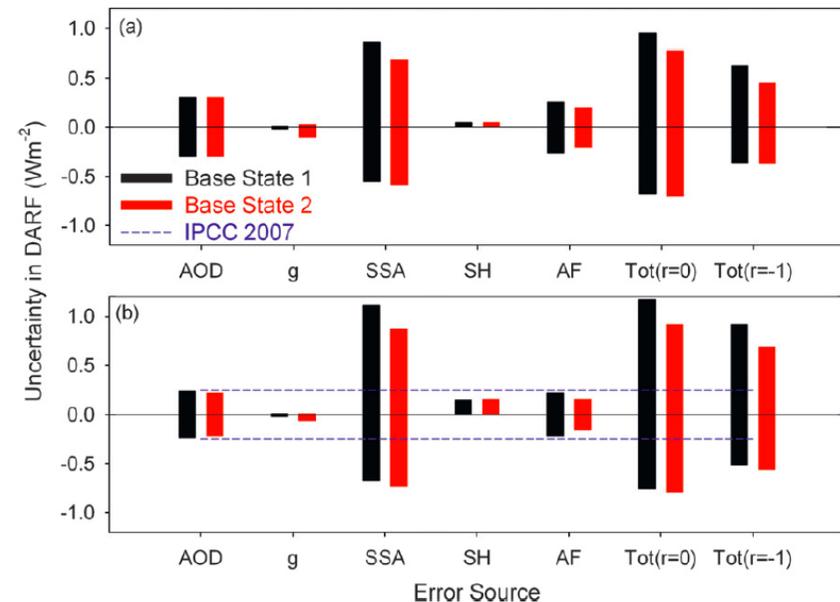
# Polarimetric accuracy $\rightarrow$ SSA $\rightarrow$ DARF



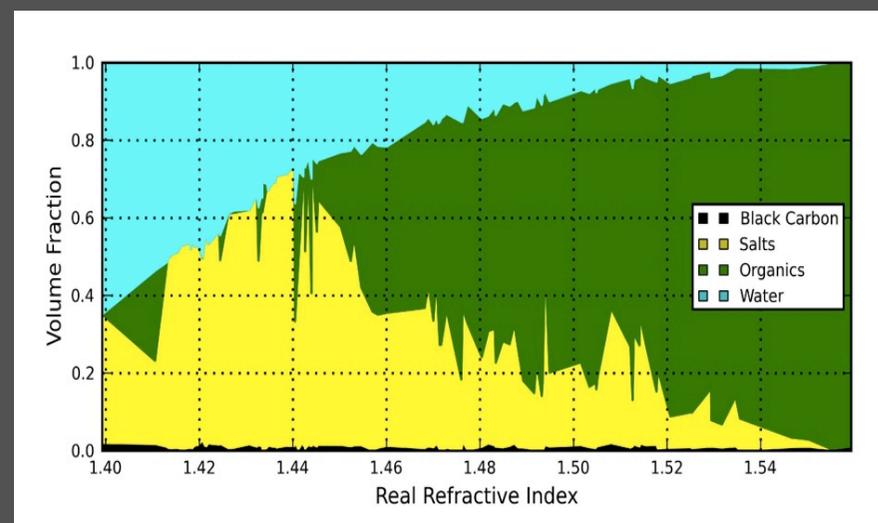
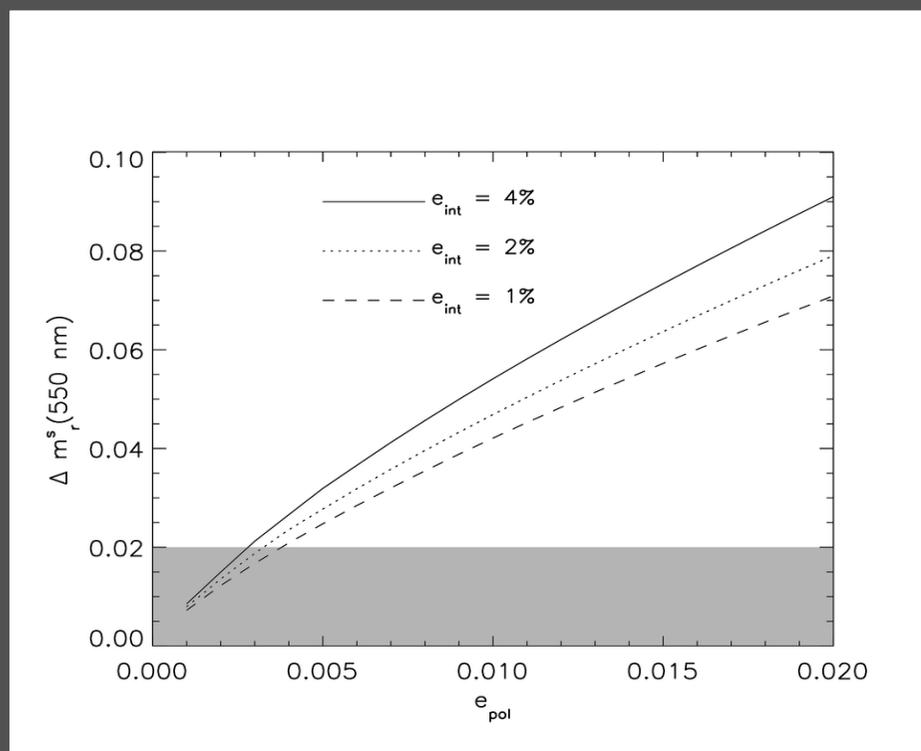
The Direct Forcing uncertainties are mostly related to aerosol absorption (SSA)

With a polarimetric accuracy of 0.002 an SSA accuracy of 0.01-0.02 is achievable

Loeb and Su, 2010



# Polarimetric accuracy $\rightarrow$ Refractive index $\rightarrow$ water uptake



van Beelen et al, ACPD, 2013

See poster Arjan van Beelen

# SPEX: Polarimetry for aerosol characterization

Multi-angle spectropolarimeter

## Innovative instrument concept:

Simultaneous measurement of polarization and radiance

Wavelength range 370- 850 nm

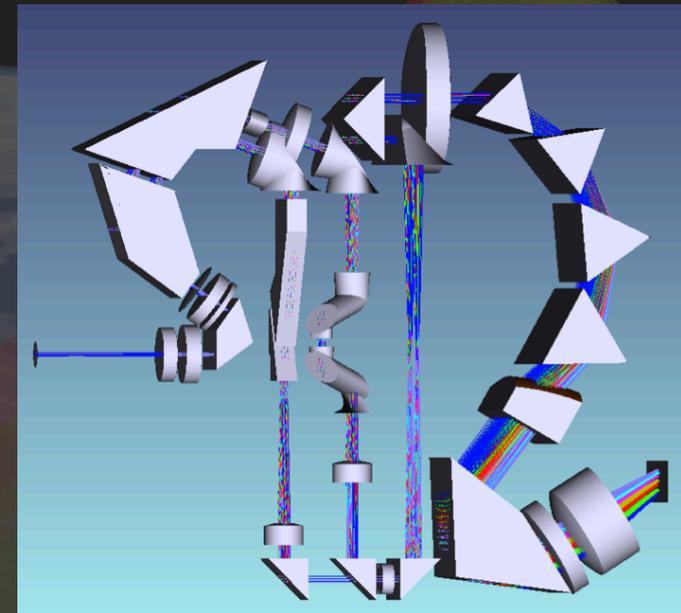
1200-1600 nm

30° swath, 0.29° x 0.35° IFOV

30 viewing directions

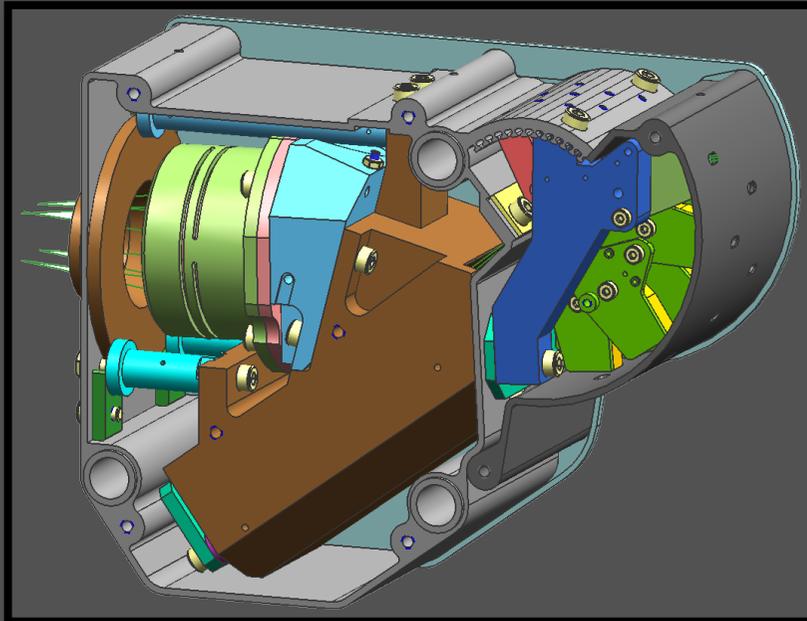
No moving parts in optics

Modular design

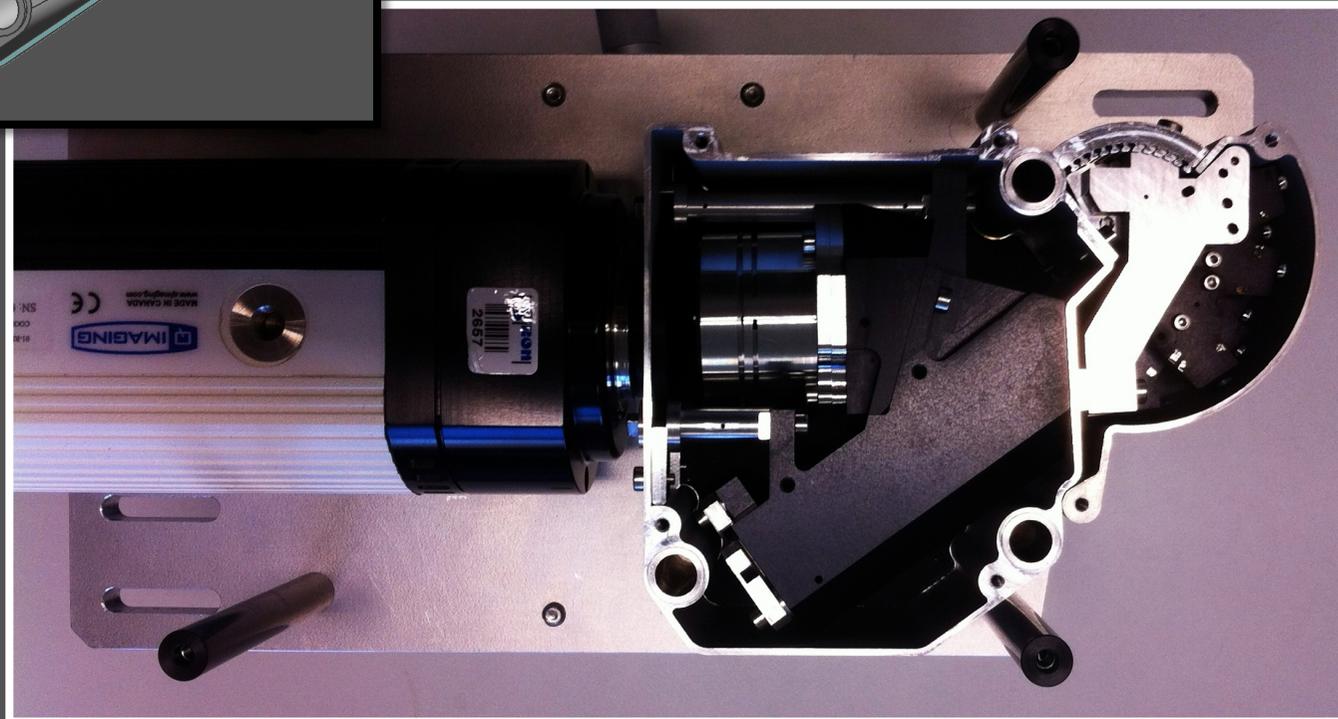


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# SPEX: Prototype for Mars Mission



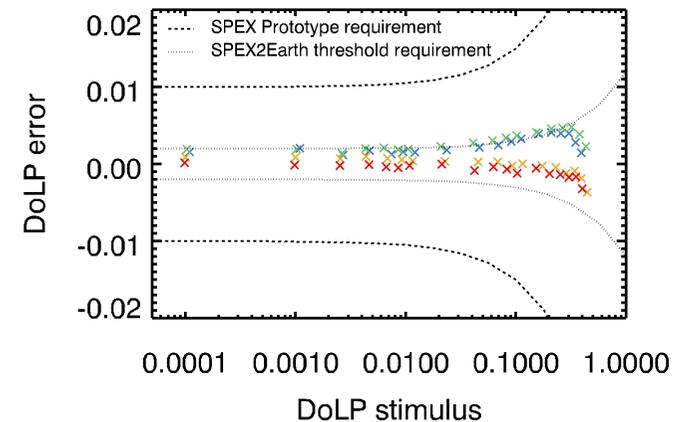
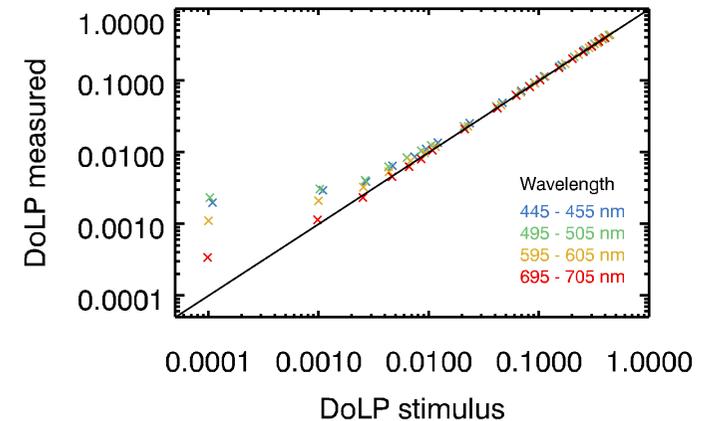
- 9 viewing directions
- Single spectrograph
- Volume 2 dm<sup>3</sup>
- Mass 2 kg
- Commercial detector



# Summary of latest SPEX results (Nov 2013)

- SPEX absolute DoLP Calibration with Leiden Polarization Stimulus (ESA-MREP program)
- Further optimization of stimulus:  $\Delta\text{DoLP}(\text{SPEX-testpol})$  can improve!

Prototype: demonstrates the capabilities of the SPEX modulation technology!



# Summary

- Novel algorithm fully exploits available information.
- No restriction to standard aerosol models
- Retrieve ocean / land properties simultaneously with aerosols
- Retrieval of new aerosol products (SSA, refractive index) possible.
  
- AOT, Angstrom Exponent and SSA compare well with AERONET.
- Refractive index hard to compare, but seems to be in agreement
- Banizoumbou performance is worse than for other sites.
- SSA retrieval more accurate over land than over ocean

## Beyond POLDER

- POLDER's successor 3MI will provide operational monitoring of aerosols with (near) daily global coverage > 2020
- In addition there is need for a high accuracy polarimeter for SSA and refractive index (process studies, climatology → daily global coverage not essential).